



# **U.S. Drought: Observed Changes in Drought Risk during 1901-2012 and NMME Climate Forecasting Performance**

**Jonghun Kam**

The Atmospheric and Oceanic Program, Princeton University  
Geophysical Fluid Dynamics Laboratory/NOAA, Princeton

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# Outline

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- I. Short Biography**
- II. Background: What is drought?**
- III. Part 1: Observed changes in U.S. drought risk (1901-2012):  
The influence of Atlantic and Pacific Oceans**
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- V. Future research plan**

# Short Biography

## At GFDL/NOAA

### Climate Impacts and Extremes Group

The Climate Impacts and Extremes Group works to improve scientific understanding of climate impacts and extremes in a changing climate. The group conducts research to produce and effectively communicate high-quality information on climate impacts and extremes, including the influence of climate variability and change, and with assessment of uncertainties.

#### Members

##### NOAA



**Tom Knutson**  
Group Leader  
[Bibliography](#)



**Thomas Delworth**  
[Bibliography](#)



**Keith Dixon**  
[Bibliography](#)



**Kirsten Findell**  
[Bibliography](#)



**John Lanzante**  
[Bibliography](#)



**Mary Jo Nath**  
[Bibliography](#)



**Jeff Ploshay**  
[Bibliography](#)



**Joe Sirutis**  
[Bibliography](#)



**Fanrong Zeng**  
[Bibliography](#)



**Rong Zhang**  
[Bibliography](#)

##### Collaborators



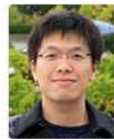
**Monika Barcikowska**  
CICS  
Postdoctoral  
Research Assoc.  
[Bibliography](#)



**Alexis Berg**  
CICS  
Postdoctoral  
Research Assoc.  
[Bibliography](#)



**Jonghun Kam**  
CICS  
Postdoctoral  
Research Assoc.  
[Bibliography](#)



**Dawei Li**  
CICS  
Postdoctoral  
Research Assoc.  
[Bibliography](#)



**Robert Tuleya**  
Old Dominion  
University  
[Bibliography](#)



**Carlos Gaitan**  
University of  
Oklahoma  
[Bibliography](#)



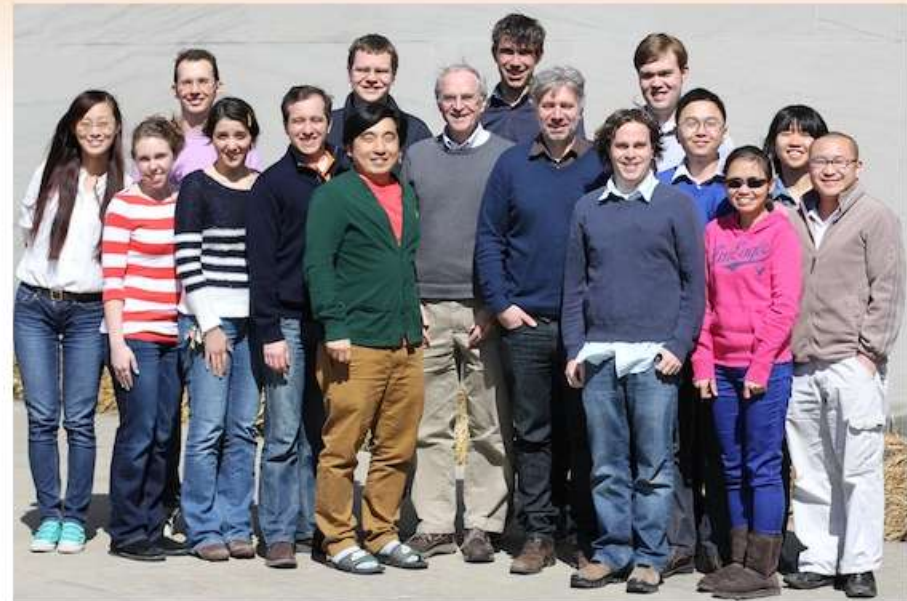
**Krista Dunne**  
USGS  
[Bibliography](#)



**Christopher Milly**  
USGS  
[Bibliography](#)

## At Princeton

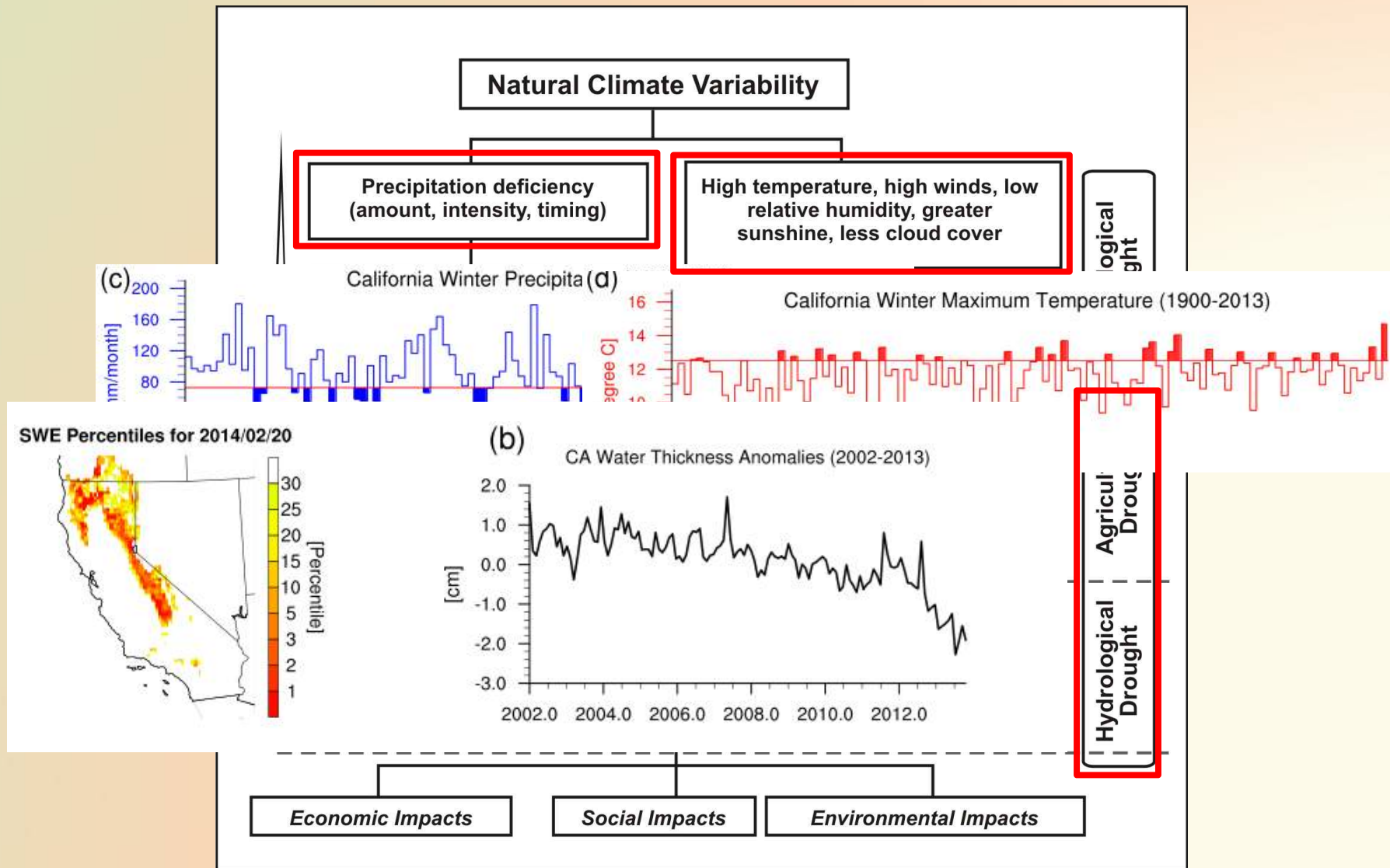
### Terrestrial Hydrology Research Group Princeton University



#### Welcome

Welcome to the home page of the Terrestrial Hydrology Research Group in the Department of Civil and Environmental Engineering at Princeton University. Our research includes land surface - atmosphere interactions for climate models and watershed models; impacts of climate change on hydrologic and water resource systems; and remote sensing of hydrologic systems. This web site describes our current research projects, the people in our research group, the models and the data that we use, our recent publications and our resources.

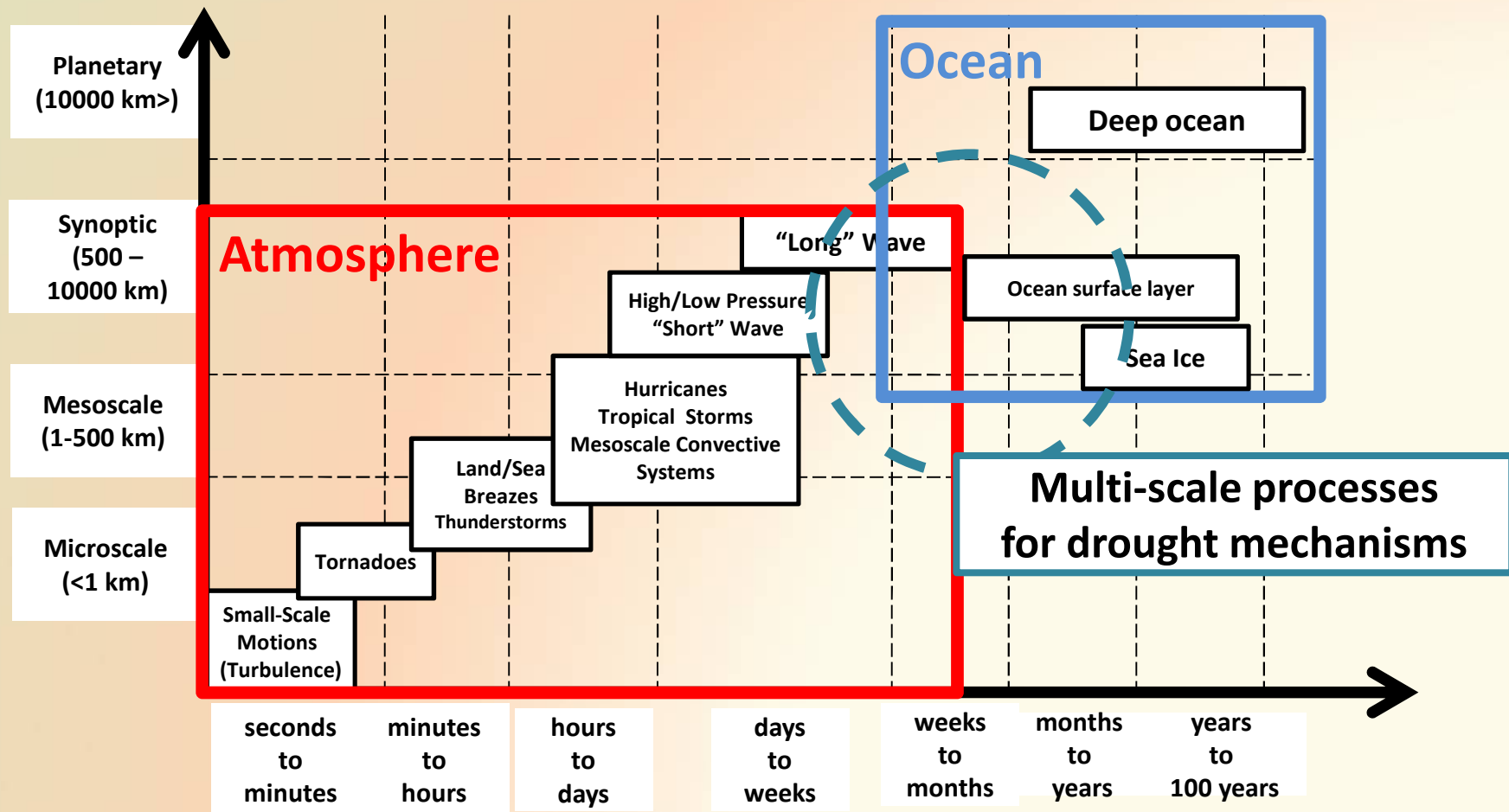
# What is Drought?



(Source: National Drought Mitigation Center, University of Nebraska-Lincoln, U.S.A.)

# Drought Mechanisms: Multi-scale Processes

- Drought is one of **the least understood** natural hazards due to complexity of the generating mechanisms.

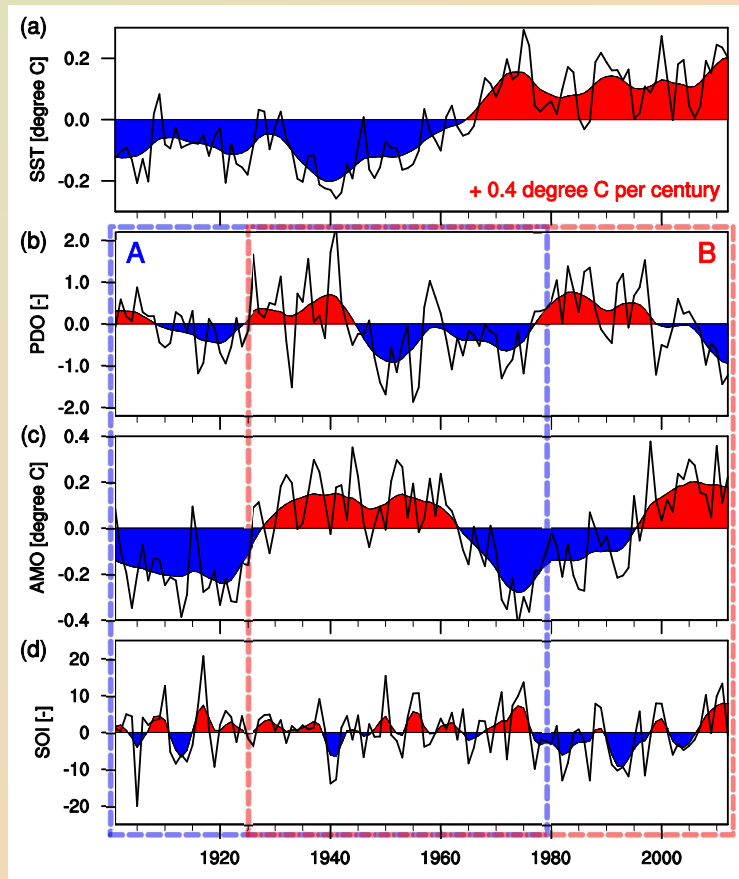


## Part 1. Observed changes in U.S. drought risk (1901-2012): The influence of Atlantic and Pacific Oceans

### References:

Kam, J., Sheffield, J., and Wood, E.F., 2014: Changes in drought risk over the contiguous United States (1901 -2012): The influence of the Pacific and Atlantic Oceans, *Geophys. Res. Lett.*, **41**, 5897-5903, doi: 10.1002/2014GL060973.

# Recent Changes in Sea Surface Temperatures



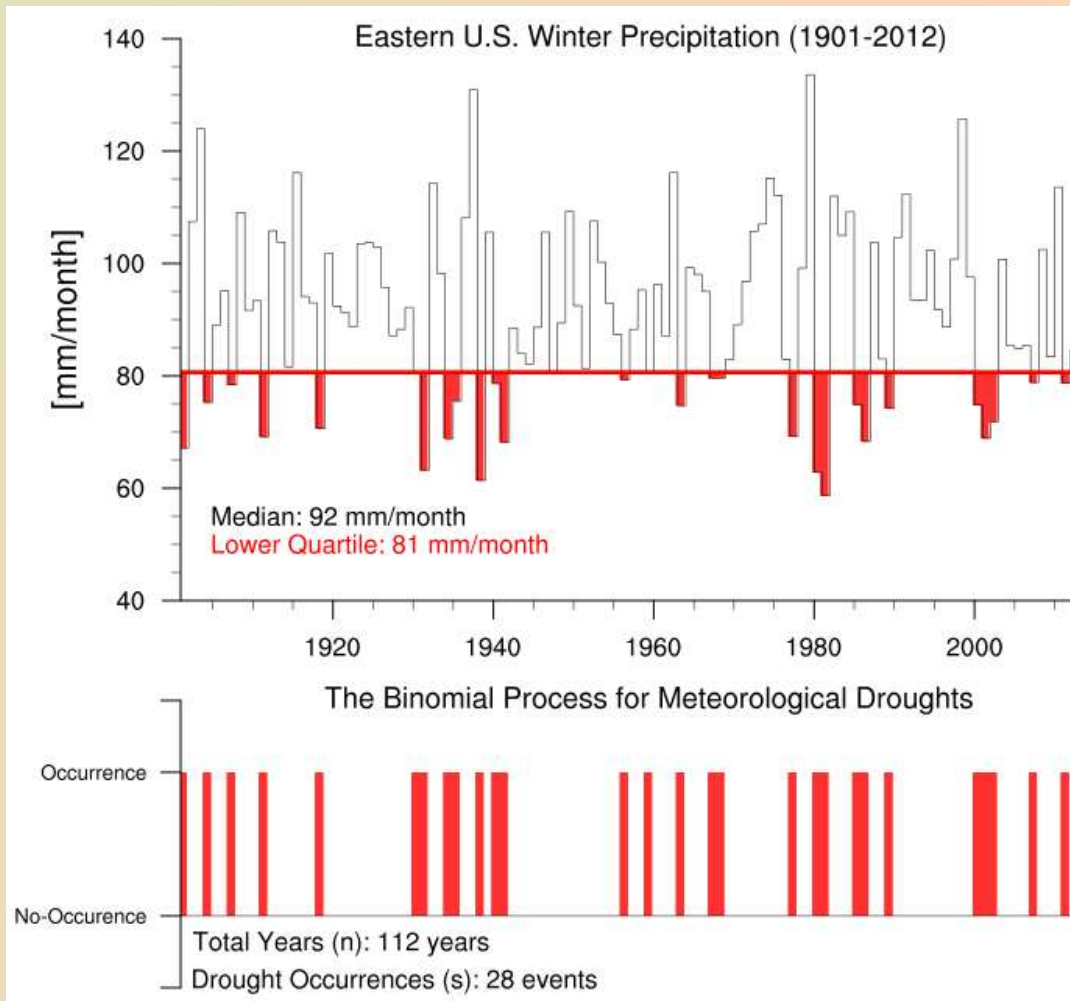
- Pacific Decadal Oscillation (PDO)
  - A recent phase shift: positive to negative
- Atlantic Multi-Decadal Oscillation (AMO)
  - A positive phase since 2000.
- Southern Oscillation Index (SOI)
  - Opposite sign of El Niño-Southern Oscillation (ENSO) index.
  - Negative: El Niño Event
  - Positive: La Niña Event

## Scientific Questions:

What phases of SST indices cause to elevate the risk of drought over the U.S.?

What is the impact of SSTs on drought over the U.S. given their recent phase changes?

# Drought Occurrence as a Binomial Process



- Data:  
Climatic Research Unit (CRU)  
Version 3.21 (0.5-degree)  
precipitation
- Meteorological drought:  
Precipitation under a  
threshold value during a  
given time
- Threshold Value:  
Lower quartile of  
precipitation during 1901-  
2012
- Drought Frequency (p):  
A fraction of total drought  
occurrences over total years

# Uncertainty Analysis from the Bayesian Framework

Bayesian Inference:

$$\text{Prob}(p \mid \text{data}) \propto \text{Prob}(\text{data} \mid p)\text{Prob}(p)$$

p: Drought Frequency

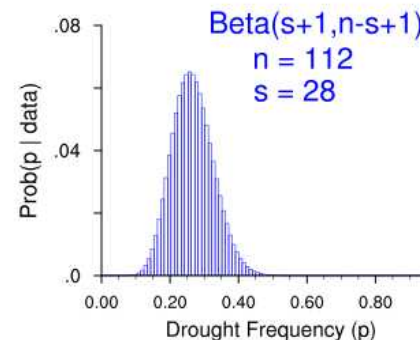
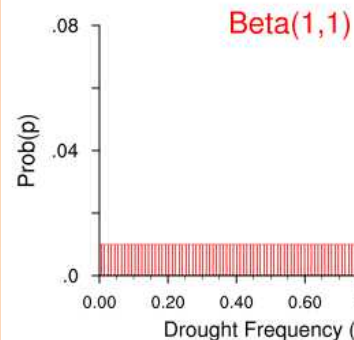
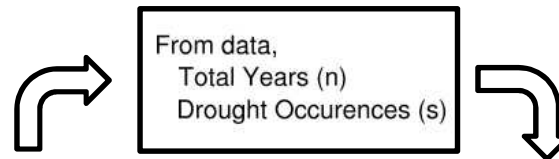
Prob(p | data): Posterior

Prob(data | p): Likelihood

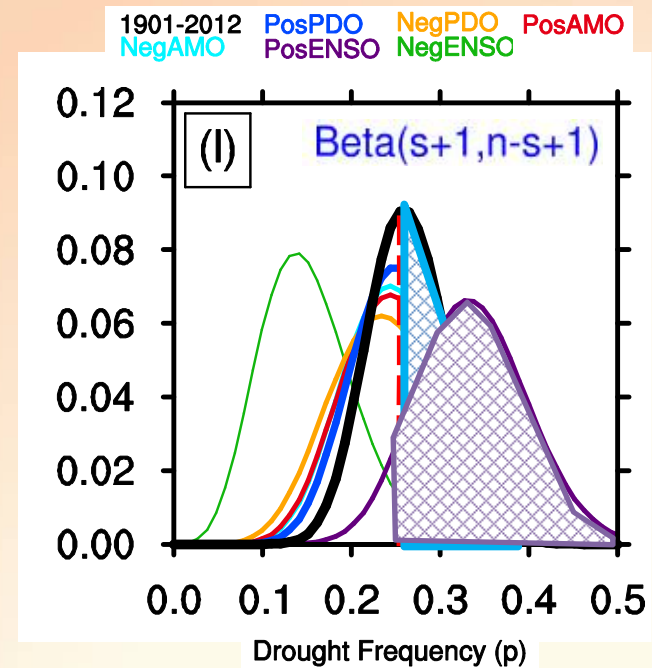
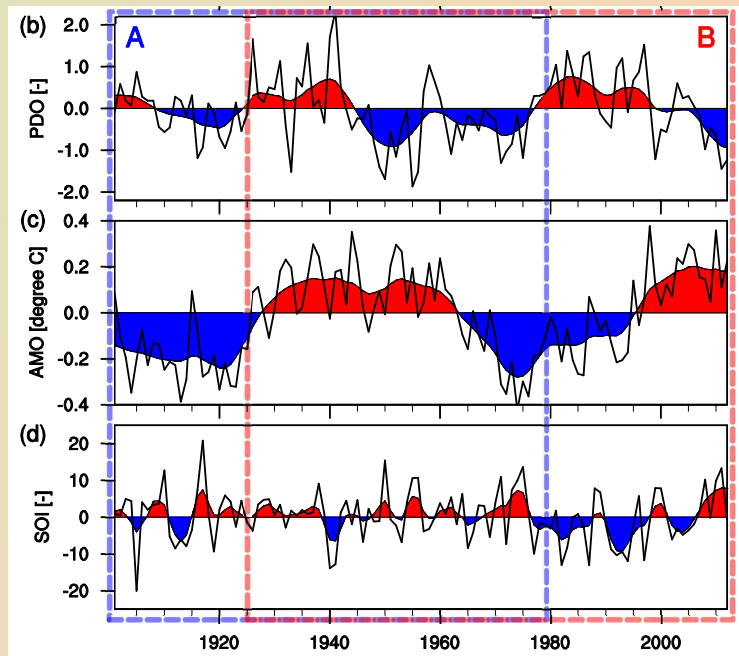
Prob(p): Prior

Assume that the Prior is the Uniform Distribution(Uninformative Prior):

$$\begin{aligned} f(p; s, n - s) &= \binom{n}{s} p^s (1 - p)^{n-s} \text{constant} \\ &= \frac{\Gamma(n)}{\Gamma(s)\Gamma(n-s)} p^s (1 - p)^{n-s} * \text{constant} \\ &= \frac{1}{B(s+1, n-s+1)} p^s (1 - p)^{n-s} \end{aligned}$$



# Conditional Posterior Distribution: Prob(p | data, SST)



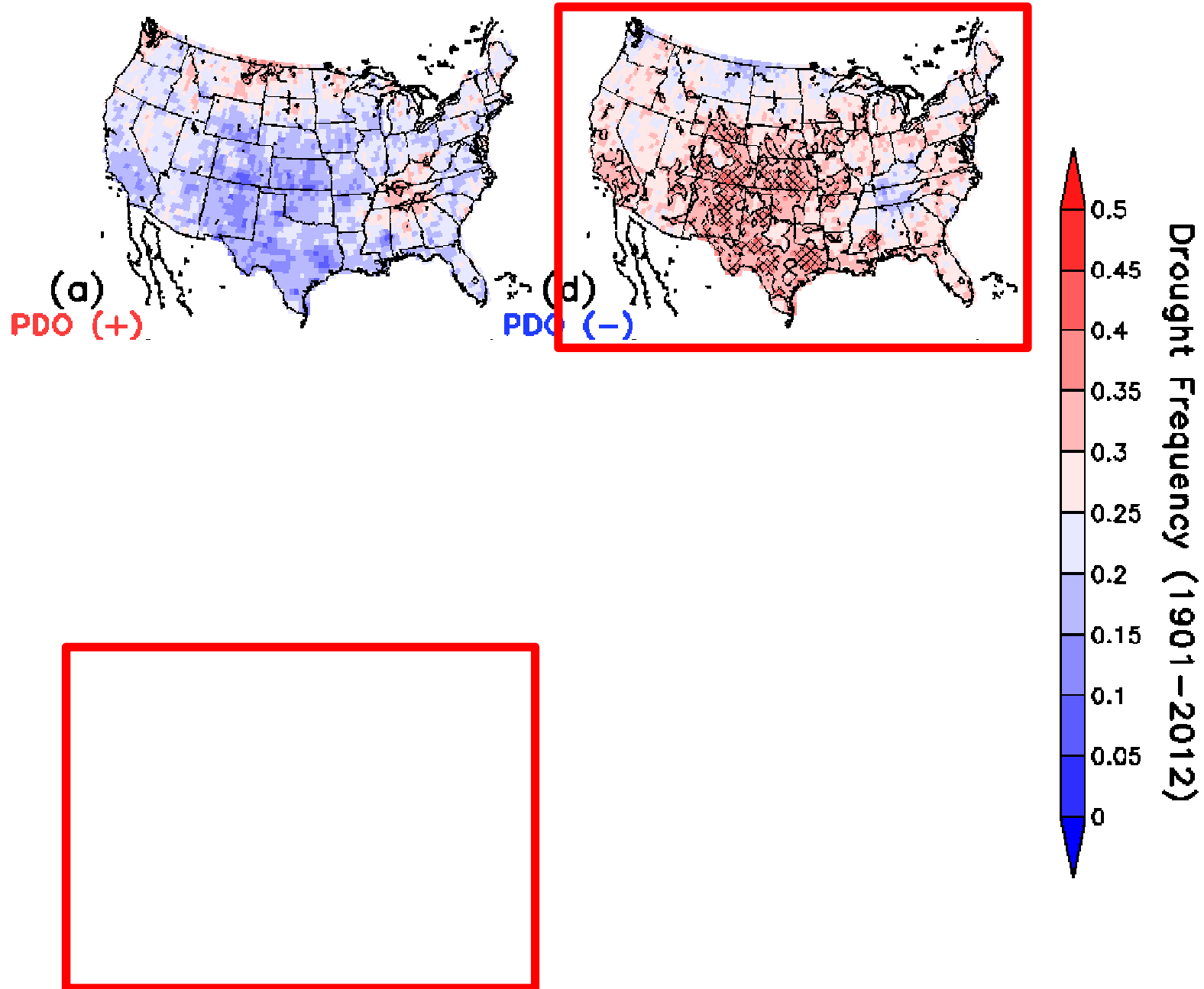
| EAST                   | Total Years | PDO  |      | AMO  |      | SOI  |      |
|------------------------|-------------|------|------|------|------|------|------|
|                        |             | +    | -    | +    | -    | +    | -    |
| Total Years (n)        | 112         | 67   | 45   | 55   | 57   | 52   | 60   |
| Drought Occurrences(s) | 27          | 17   | 10   | 13   | 14   | 7    | 20   |
| Drought Frequency (p)  | 0.25        | 0.25 | 0.23 | 0.25 | 0.25 | 0.18 | 0.32 |
| Return Period (1/p)    | 4           | 4    | 4.3  | 4    | 4    | 5.5  | 3    |

Area above  $p=0.25$  (Red line): A measure of certainty for drought frequency

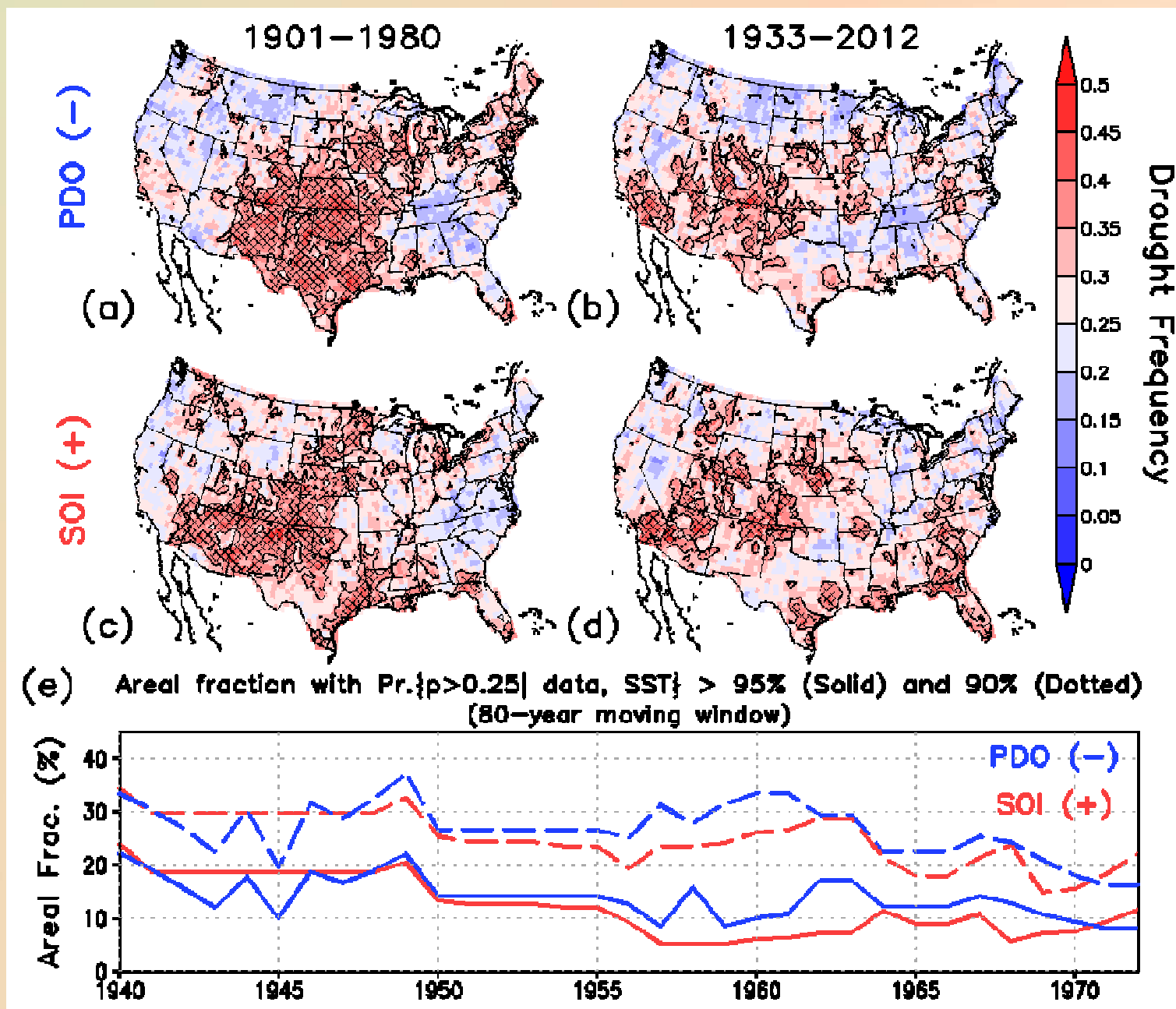
More certain for higher drought frequency:  
 $P(p > 0.25 | \text{data, PosENSO}) \approx 0.8$

**High risk of drought over the Eastern US during El Niña year!!!**

# Drought Risk Map: Impact of Oceanic States

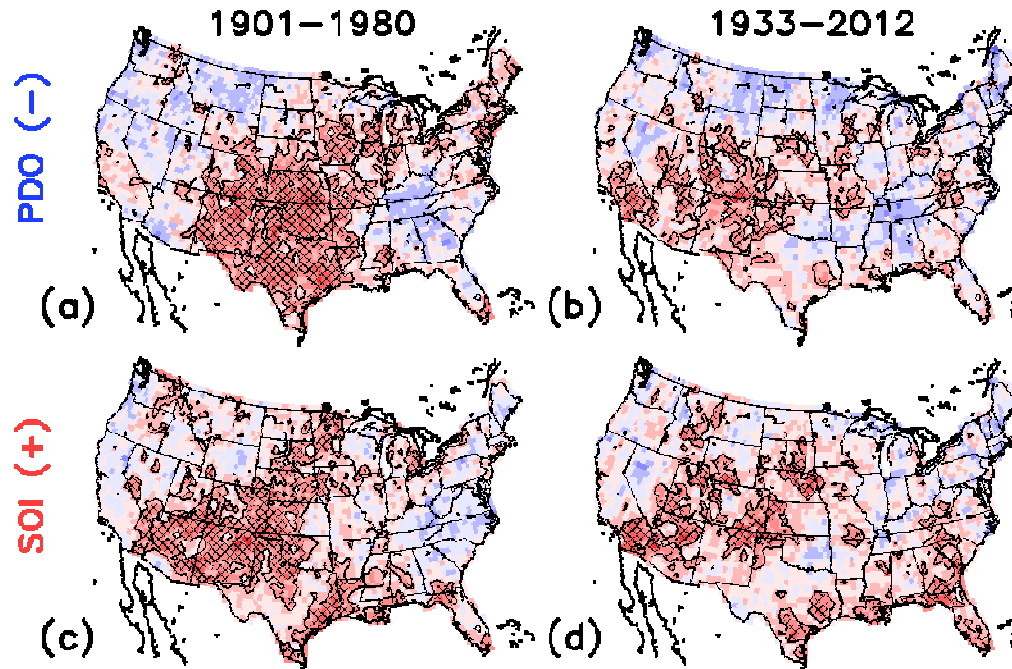


# Impact of Oceanic States: Stationarity? Non-stationarity?

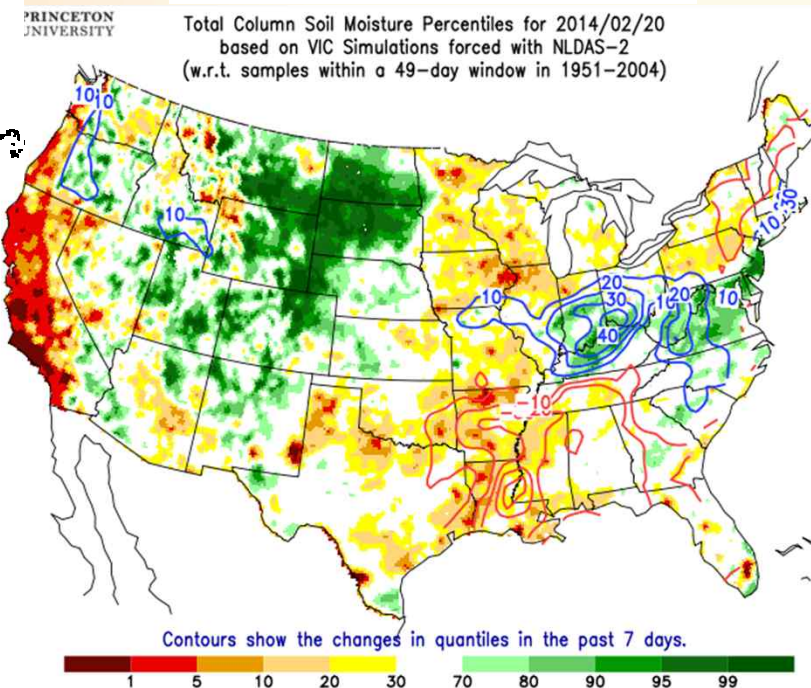


# Example: 2013-4 California Drought

Winter 2013



02/20/2014



## Conclusions

1. The Bayesian approach allows us to investigate **observed SST teleconnections with annual meteorological droughts over the US.**
2. For annual meteorological drought,
  - **PDO (-)** : High risk of drought over **the south-central US.**
  - **ENSO (-)** : High risk of drought over **the southern US.**
3. However, the impact of SSTs on drought risk has been **less certain** in recent years.
4. The causes of these changes in SST teleconnections are still unclear (e.g. phase shift? or global warming?).
5. Better understanding of **true SST teleconnections** can help translate the information from SSTs **more appropriately** to seasonal and annual drought forecasting.

## Part 2. Climate model forecast performance for the Midwestern U.S. drought: The role of sea surface temperature

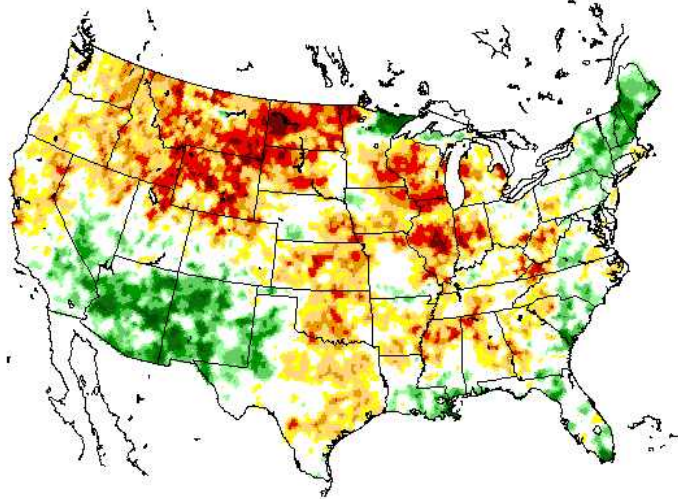
### References:

Kam, J., J. Sheffield, X. Yuan, and E. F. Wood, 2014, Did a skillful prediction of sea surface temperatures help or hinder in forecasting the 2012 Midwestern summer drought?, *Environ. Res. Lett.*, **9**, doi:10.1988/1748-9326\_9\_3\_034005.

# Hydroclimate Variability in the Midwestern US

Total Column Soil Moisture Percentiles on 1988/09/01  
(wrt samples within a 49-day window in 1951-2004)

PREV



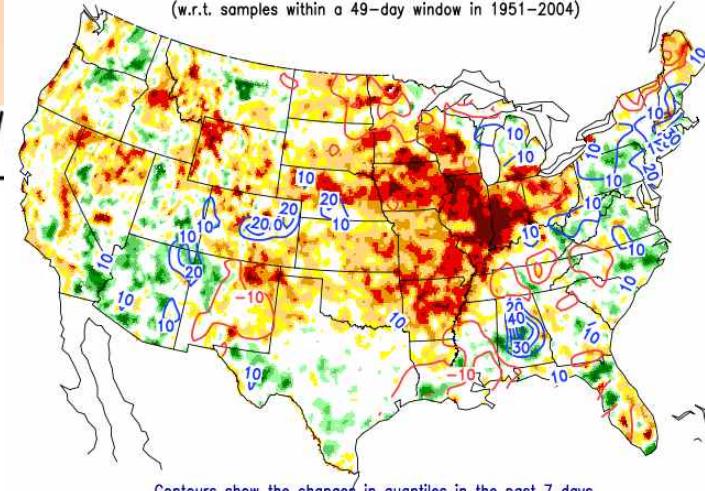
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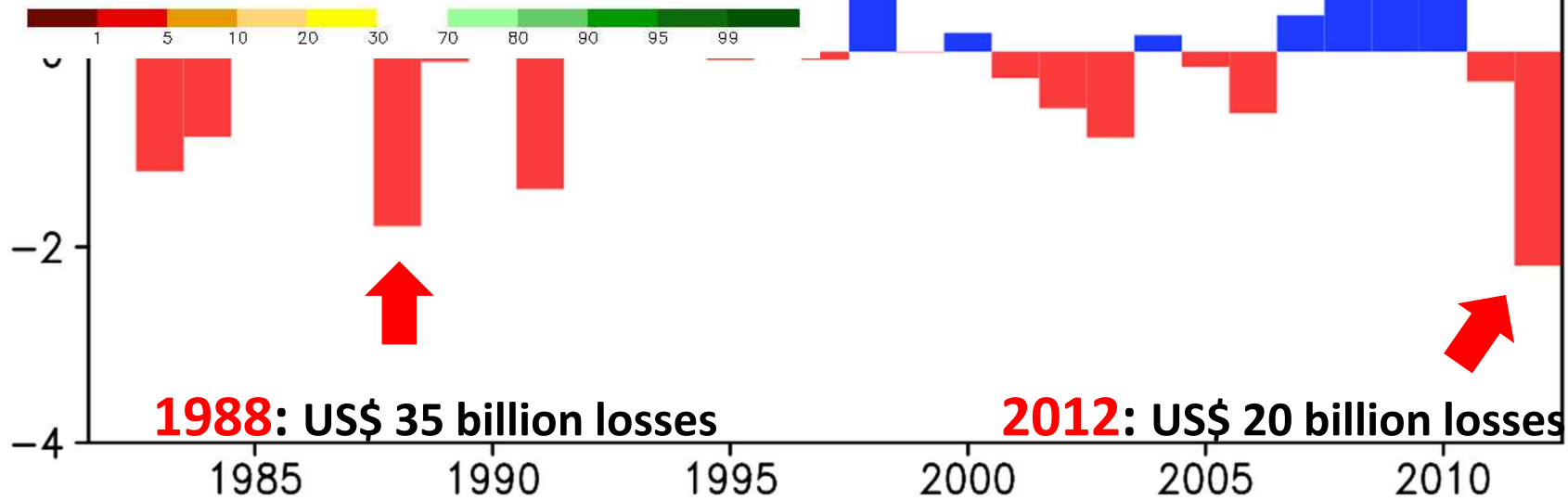
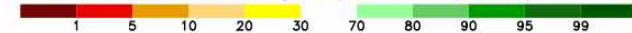
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PRINCETON UNIVERSITY

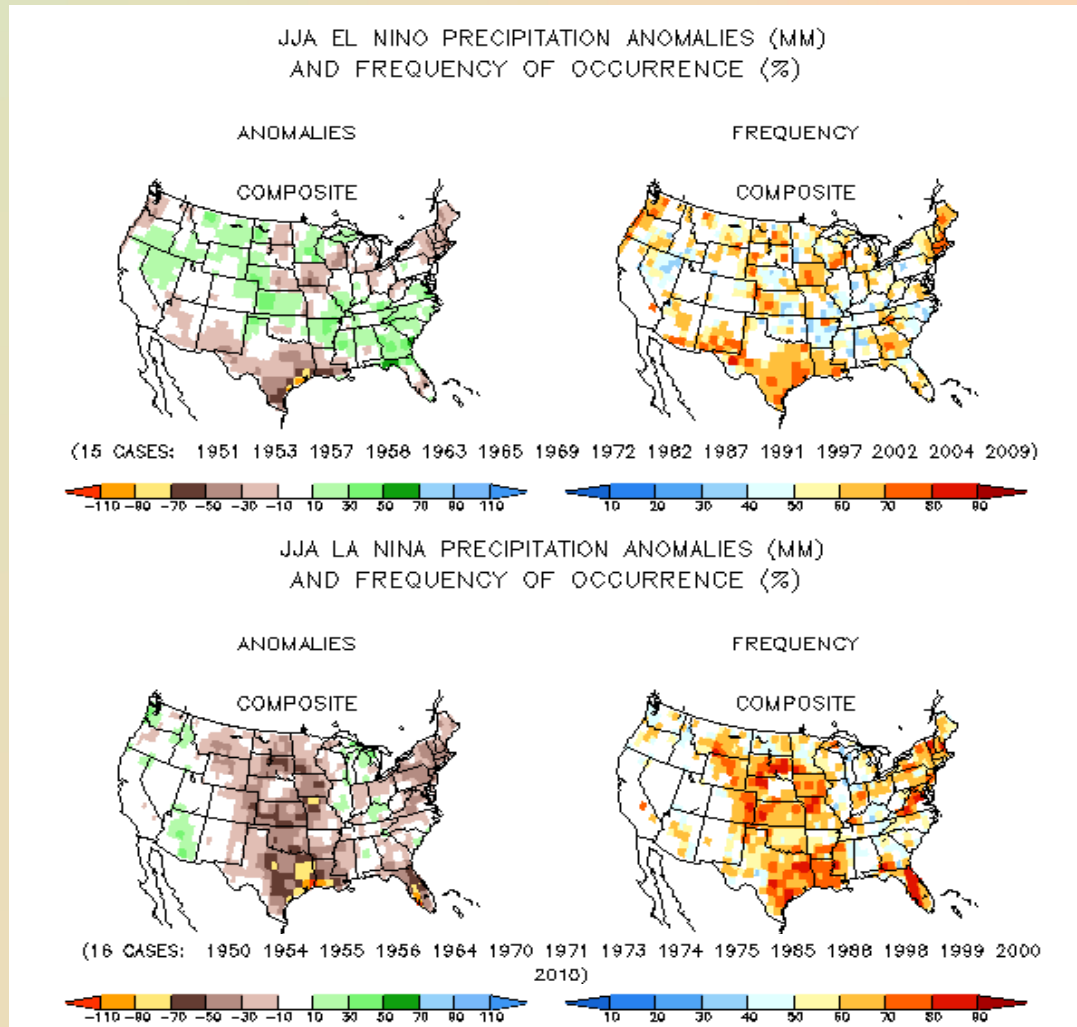
Total Column Soil Moisture Percentiles for 2012/08/02  
based on VIC Simulations forced with NLDAS-2  
(w.r.t. samples within a 49-day window in 1951-2004)



Contours show the changes in quantiles in the past 7 days.



# Climate Driver: Pacific and Atlantic Oceans



<http://www.cpc.ncep.noaa.gov/products/precip/CWlink/ENSO/composites/lanina.jja.precip.gif>

- **Ocean**

- A source of seasonal forecasting due to its huge heat capacity.

- El Niño-Southern Oscillation (ENSO)

- A major climate driver globally.
- Predictable at seasonal and longer time scales.

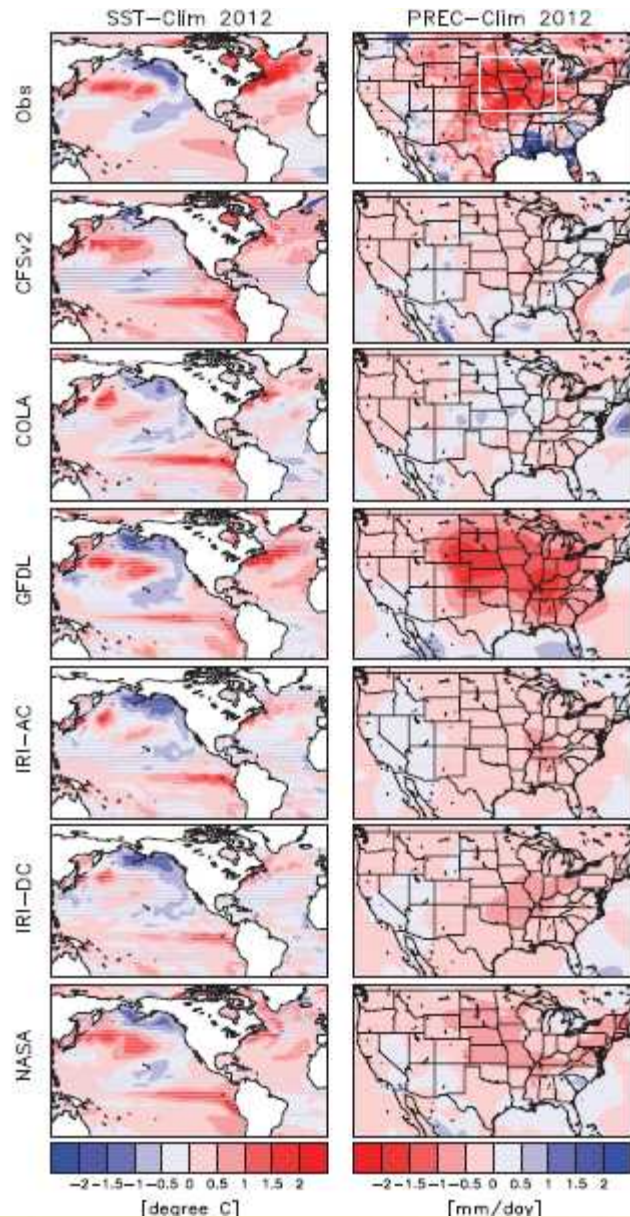
- **La Niña phase:** Droughts over the CONUS from GCM (Hoerling and Kumar, 2003).

- **North Atlantic warming and tropical Pacific cooling:**

- more frequent drought events over the U.S. (McCabe et al. 2004; Findell and Delworth 2009).

# North America Multi-Model Ensemble (NMME) project

## 2012 SST and PREC anomalies (JJA)



### - NMME project

A multi-institutional collaborative seasonal forecasting system.

### - Objective:

To predict better seasonal extreme events over the U.S. and the globe via the Multi-Model Ensemble frame.

### Scientific Questions:

**Does a skillful prediction of SST help or hinder 2012 MW summer drought forecasting?**

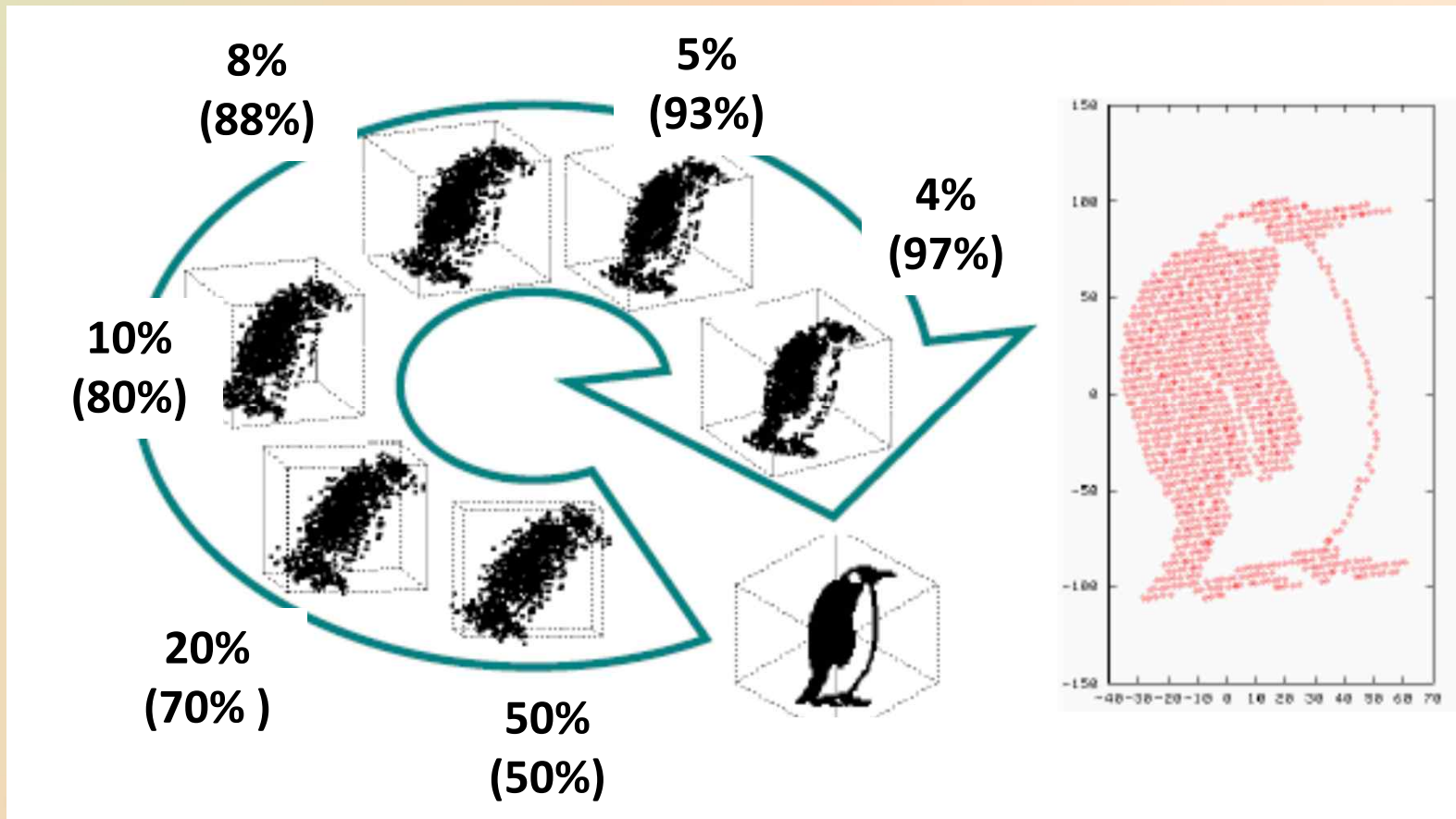
**How well do NMME climate models represent SST teleconnections with the Midwestern U.S. hydroclimate variability?**

## Observational Data and NMMEs

| Observational Data |   |   |   |
|--------------------|---|---|---|
| SSTs/Prec.         | SSTs  | Prec.   | Prec.                                   |
| Name               | Expended Reconstructed Sea Surface Temperatures | University of Washington Expanded Precipitation | Climate Prediction Center Precipitation |
| Acronym            | ERSST v3b                                       | UW-EXP  | CPC                                     |
| Period             | 1854-2012                                       | 1918-2008                                       | 1982-2012                               |
| Resolution         | 2.0 degree                                      | 0.5 degree                                      | 0.5 degree                              |
|                    |   | Correlation Coefficient over the MW: 0.97       |   |

| North America Multi-Model Ensembles (NMMEs) |  |                         |            |                             |                              |               |
|---|--|-------------------------|------------|-----------------------------|------------------------------|---------------|
| Period                                      | 1982-2012 (Hindcast: 1982-2010; Real-time forecast: 2011-2012) |                         |            |                             |                              |               |
| Resolution                                  | 1.0 degree   |                         |            |                             |                              |               |
| Name  | NCEP-CFSv2   | COLA-RSMAS-CCSM3        | GFDL-CM2.1 | IRI-ECHAM4.5-AnomalyCoupled | IRI-ECHAM4.5-DirectedCoupled | NASA-GMAO     |
| Acronym                                     | CFSv2  | COLA                    | GFDL       | IRI-AC                      | IRI-DC                       | NASA          |
| Initial time                                | Every 5th day  | all 1st of the month 0Z |            |                             |                              | Every 5th day |
| Ensemble members                            | 24(28)   | 6                       | 10         | 12                          | 12                           | 6             |

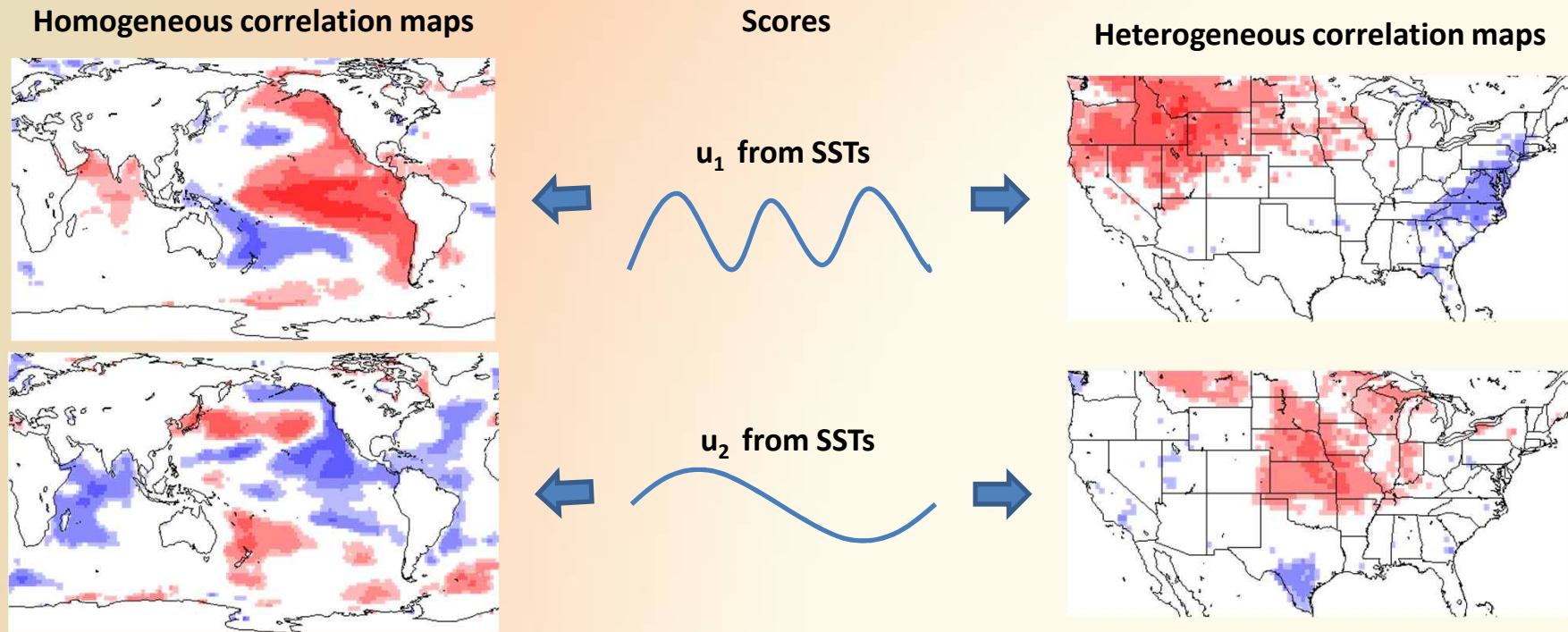
# Singular Value Decomposition (SVD) Analysis



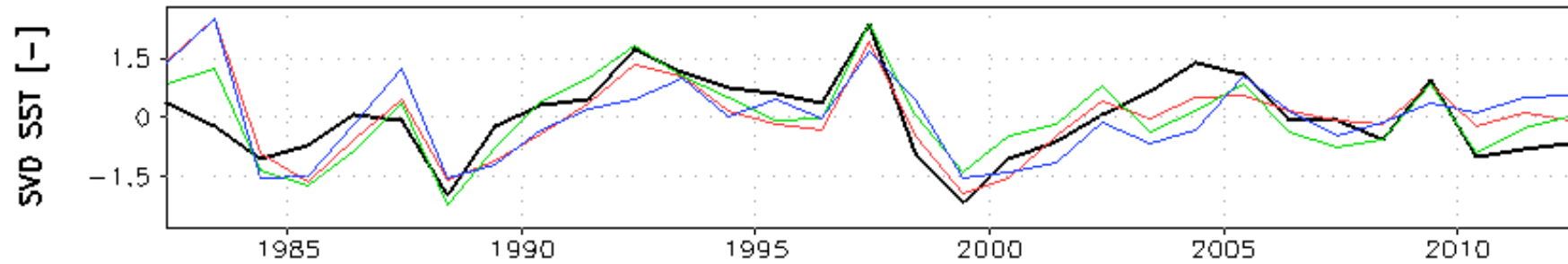
# Application of SVD analysis: Climate Research

From the SVD analysis for the cross covariance between SSTs and precipitation,

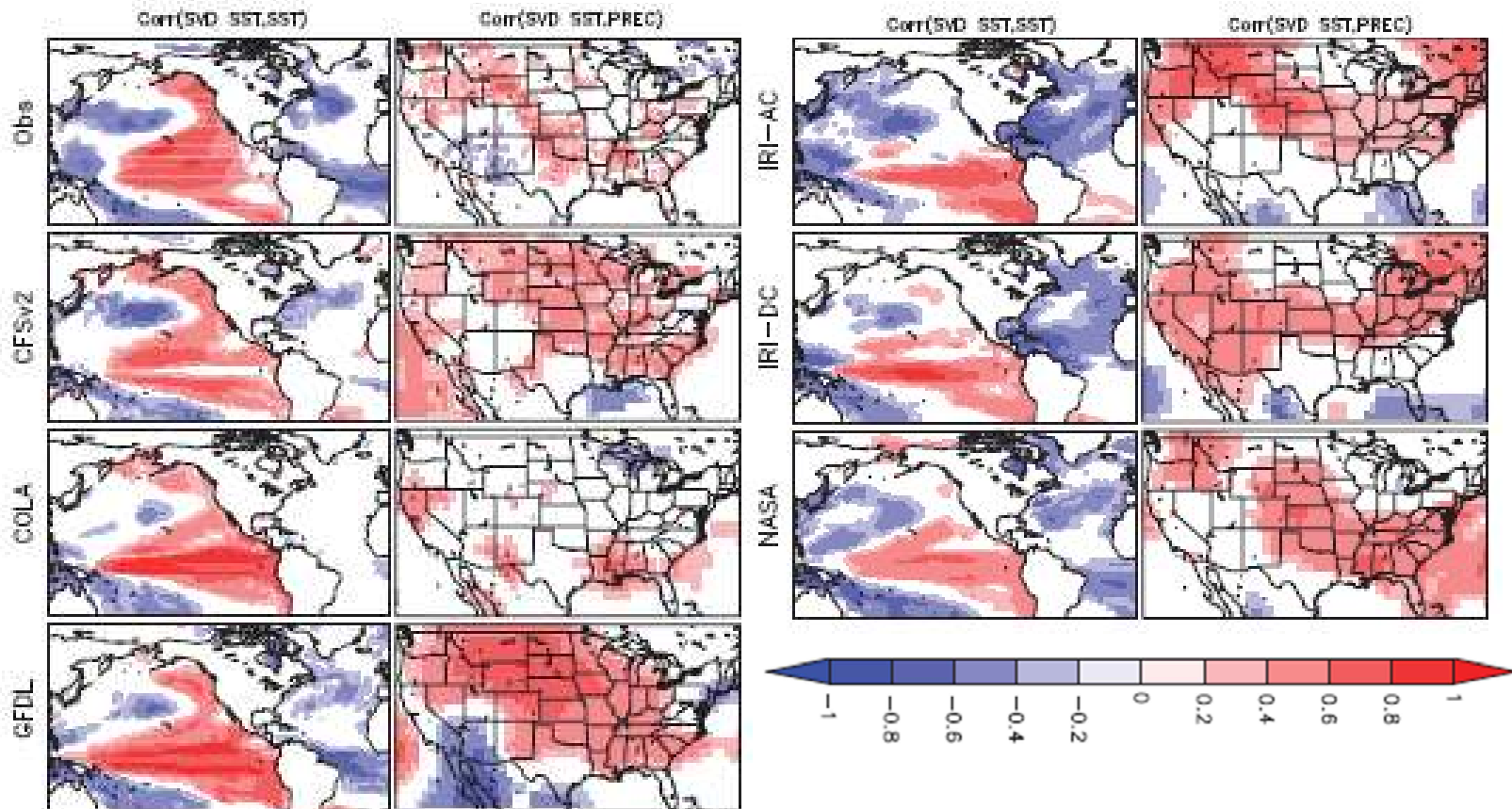
- **Loadings:** derived from left (SST) and right (Prec.) vectors.
- **Explained Variance:** derived from eigenvalues.
- **Scores:** computed by projecting the loadings of the  $i$ th SVD mode on the actual data ( $\mathbf{u}_i$  and  $\mathbf{v}_i$ ).
- Homogeneous and heterogeneous correlation map: to investigate the cause/effect



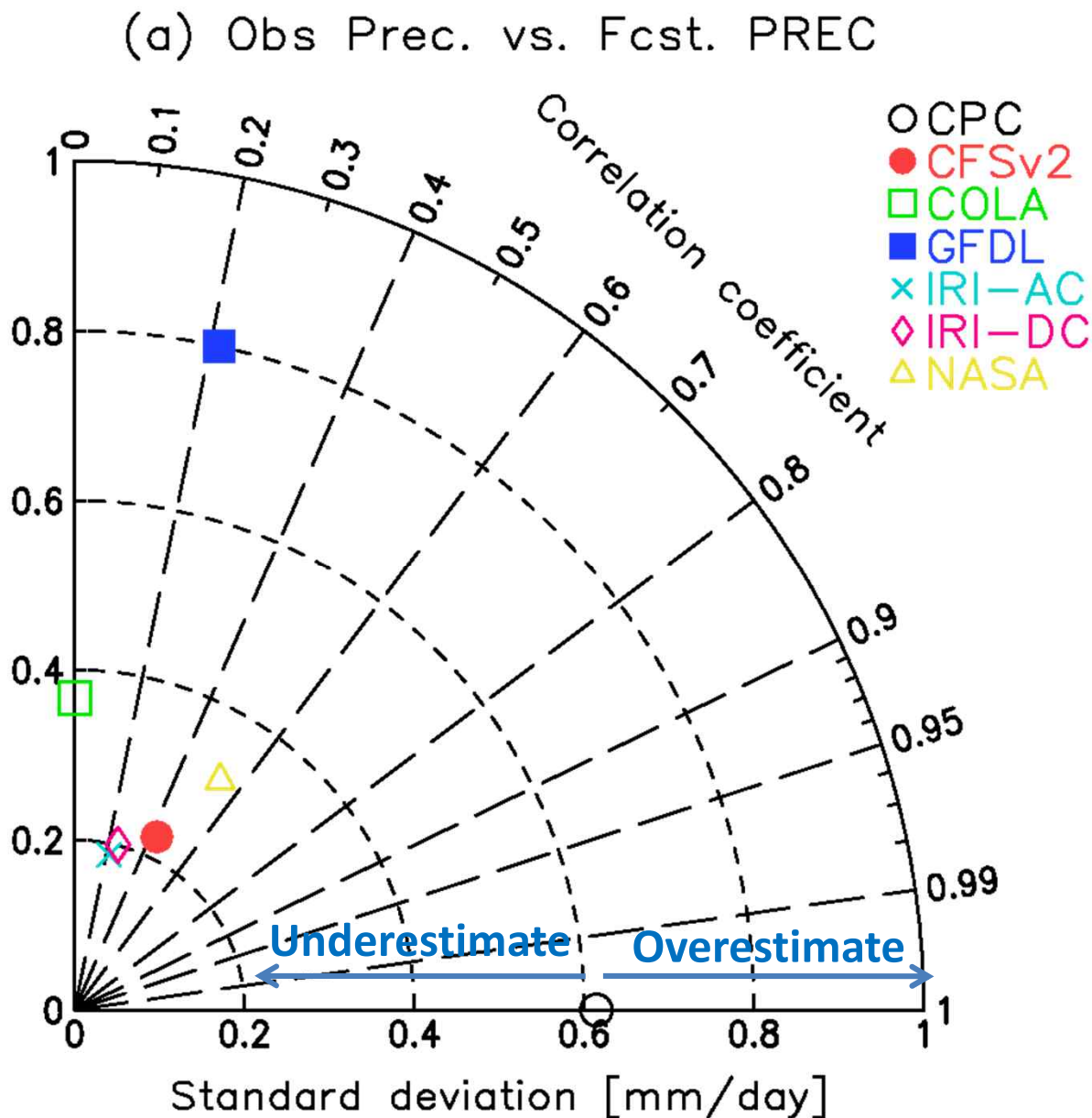
# SST Teleconnections with Drought over the U.S.



## Homo/Heterogeneous correlation maps



# Actual Predictability of Climate Model Forecast

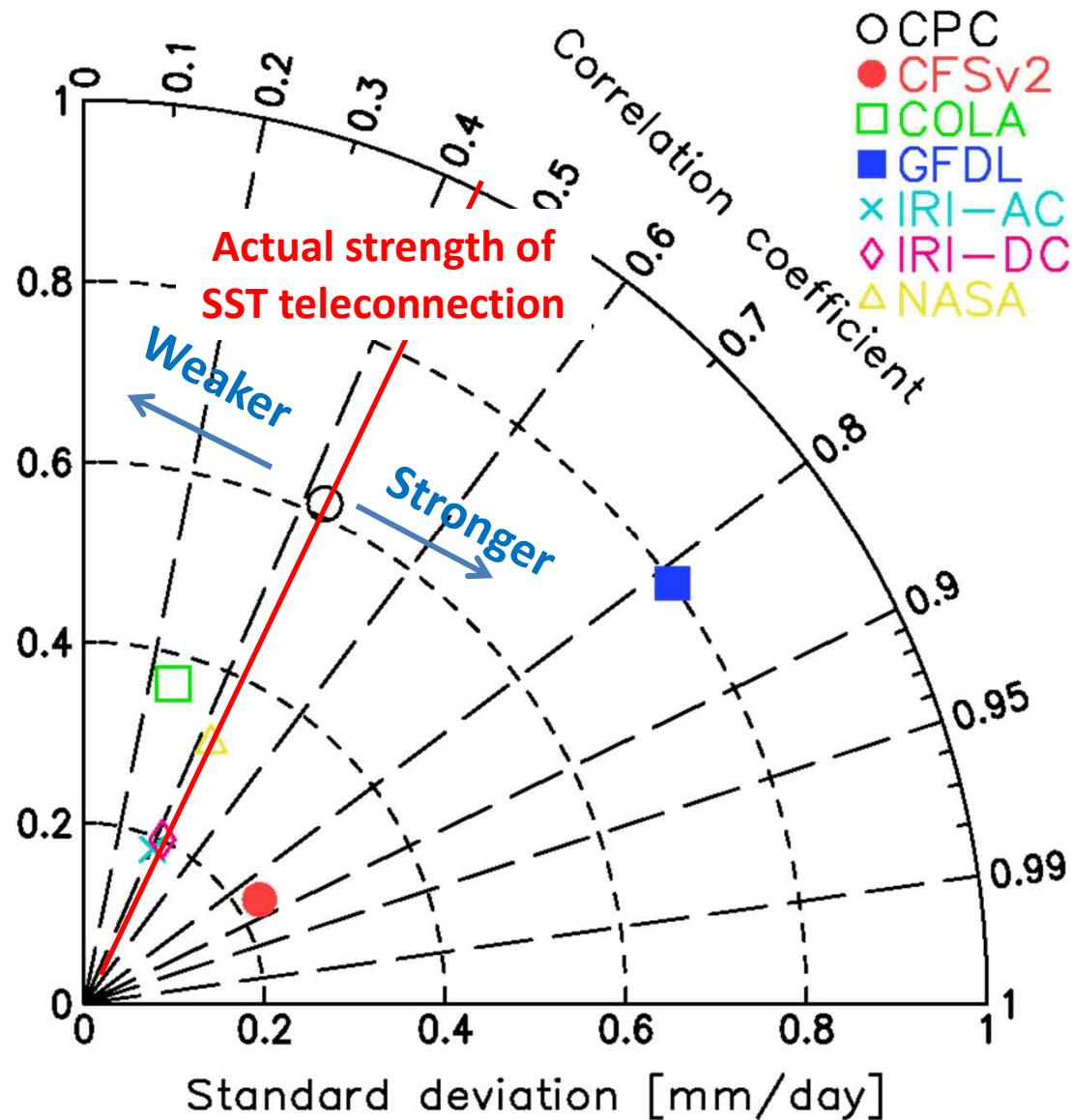


- How well climate model forecast precipitation?

- Most of the NMME climate models **underestimate** the MW summer precipitation variances, except for **GFDL**.

# Potential Predictability of Climate Model Forecast

(b) MW Prec. vs. ENSO-like SVD PREC



- How much can the ENSO SVD patterns explain the MW precipitation?

- **GFDL and CFSv2** show **overconfidence** in the role of ENSO in inducing the variability of MW summer precipitation.

- The rest GCMs show a comparable magnitude of ENSO teleconnections with the MW precipitation.

## Conclusions:

1. Most of the NMME climate models **underestimate** variation of the MW summer precipitation, leading to predictions of relatively normal precipitation.
2. The GFDL model stands out as having **strong coupling** between SSTs and precipitation, and thus **overestimates** variability of MW summer precipitation, and thus predicts **more often** either extreme dry or wet anomalies.
3. Under the current NMME forecasting system, skillful prediction for SST may **mislead** the prediction of MW summer precipitation due to **the underestimation of the variances** in the most models and **overly strong coupling** in two models.
4. For the 2012 drought, however, strong ENSO teleconnections in the models was **fortuitous** in the predictions **due to the correct sign of the coupling**.

# Acknowledgements

## Special thanks to:

Dr. Justin Sheffield

Prof. Eric F Wood

Princeton Terrestrial Hydrology Group

## Questions?

### 14. RECORD ANNUAL MEAN WARMTH OVER EUROPE, THE NORTHEAST PACIFIC, AND THE NORTHWEST ATLANTIC DURING 2014: ASSESSMENT OF ANTHROPOGENIC INFLUENCE

JONGHUN KAM, THOMAS R. KNUTSON, FANRONG ZENG, AND ANDREW T. WITTENBERG

*According to CMIP5 models, the risk of record annual mean warmth in European, northeast Pacific, and northwest Atlantic regions—as occurred in 2014—has been greatly increased by anthropogenic climate change.*

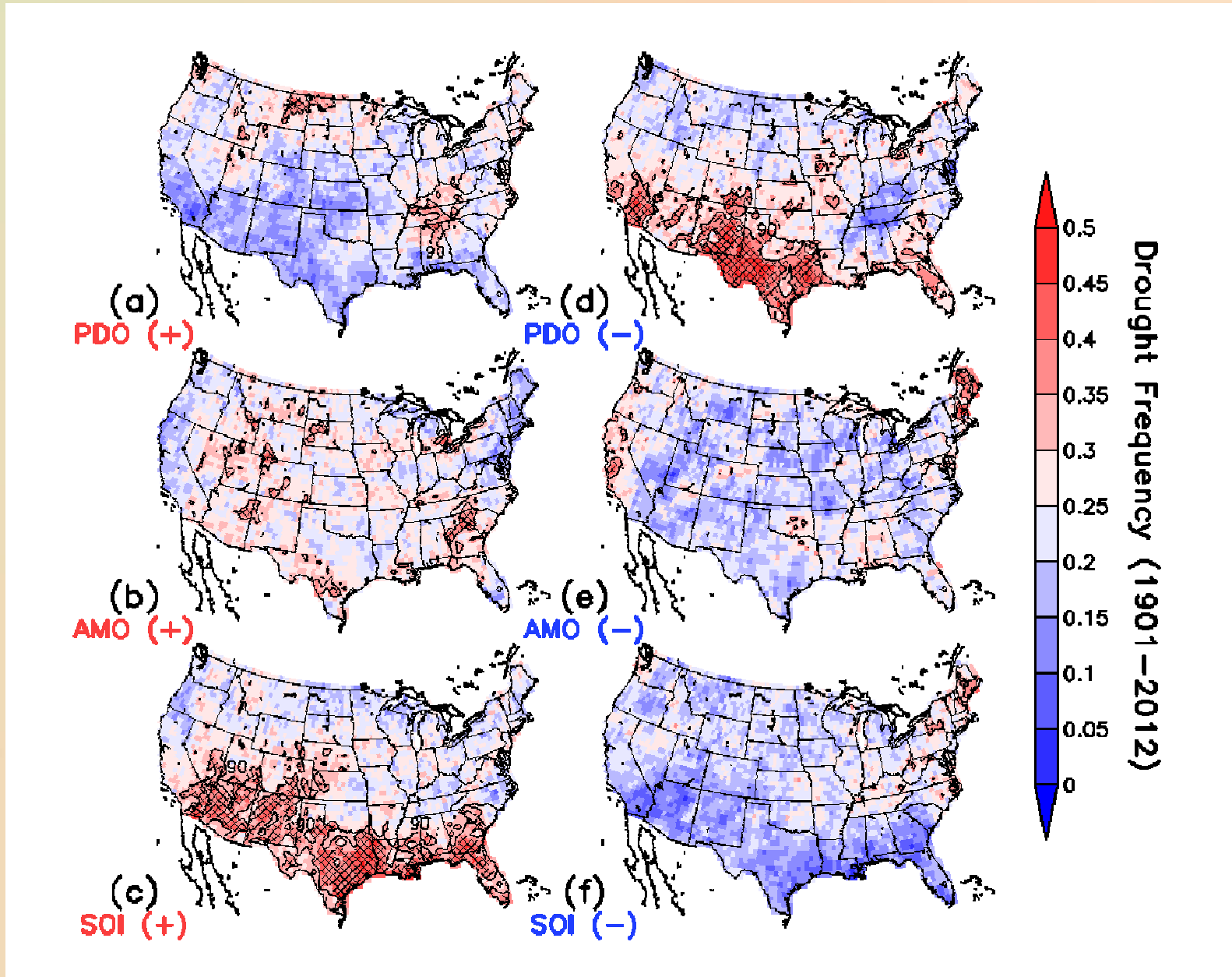
Explaining extreme events of 2014 from a climate perspective, BAMS

# Future Research Plan

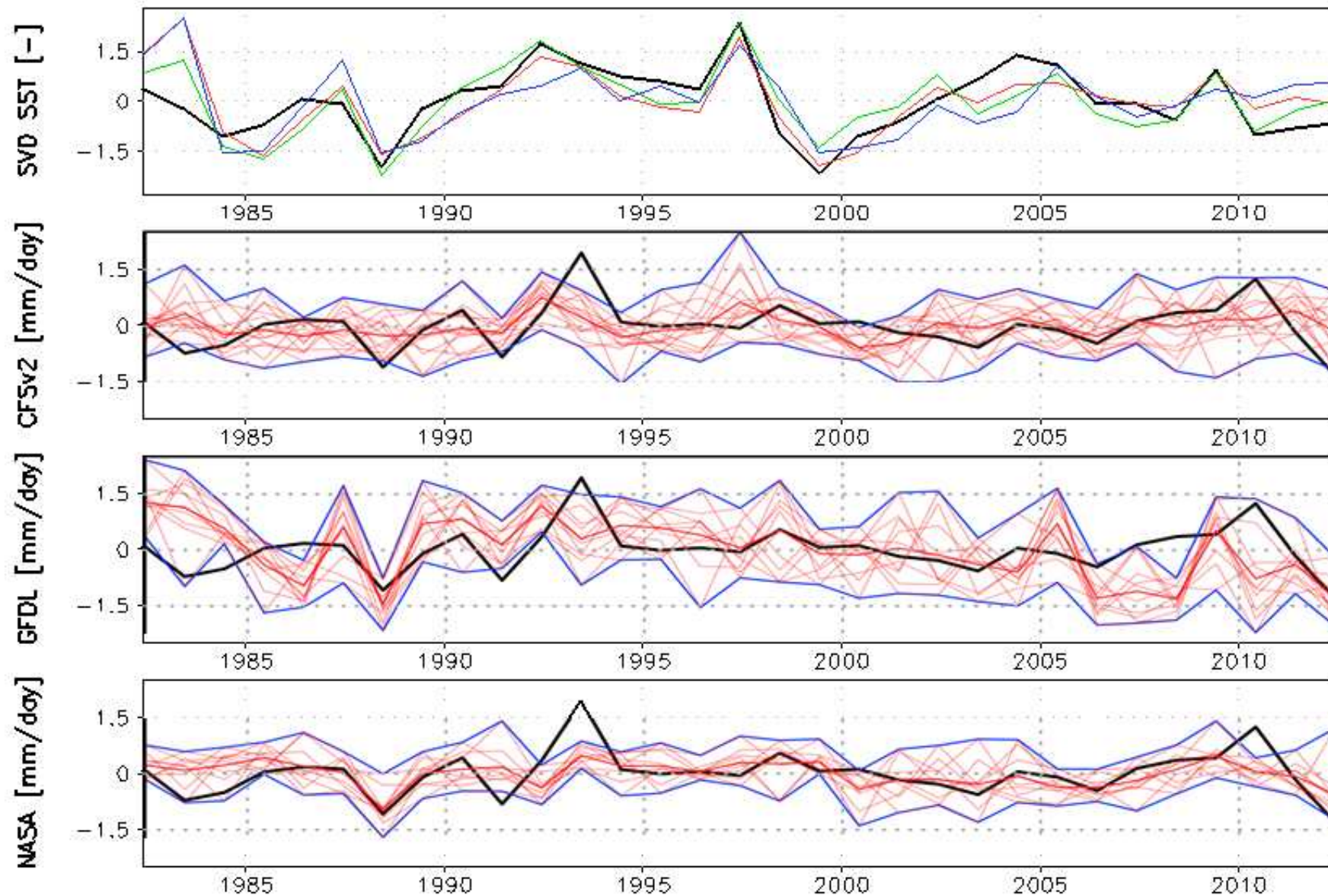
- **Questions:**

- Attributions of changes in SST teleconnections (e.g. natural variability vs. anthropogenic impact)
- Changes in other climate drivers (atmosphere and land) and their impact on hydroclimate extremes
- Changes in water availability and scarcity and their attributions
  - Available water index:  $(P_{m,y} - E_{m,y}) / P_{\text{clim}}$ , where  $m$  is month,  $y$  is year.
  - Runoff ratio:  $Q/P$
  - Drying index:  $R_{\text{net}} / (P * \lambda_v)$ , where  $\lambda_v$  is the latent heat of vaporization (2260 kJ/kg)

# Strong Phases of PDO, AMO, and ENSO



# NMME Climate Forecast Performance



# NMME Climate Forecast Performance

