



A Grey Zone GCM

In-Sik Kang

Young-Min Yang (2014) & Min-Seup Ahn (2017)

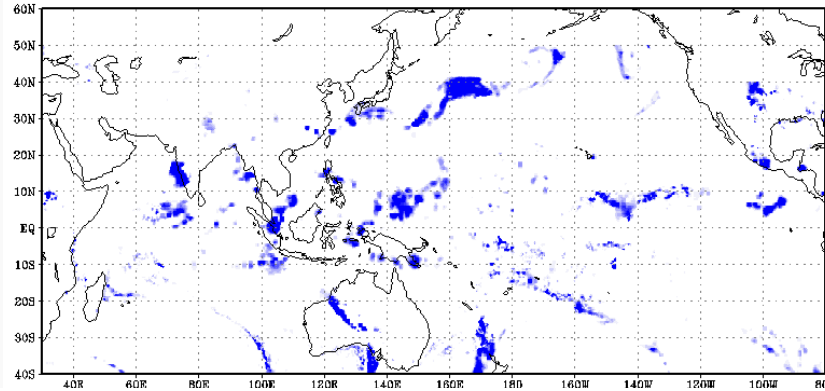
Seoul National University

High resolution modeling

June 1999 – 20km resolution

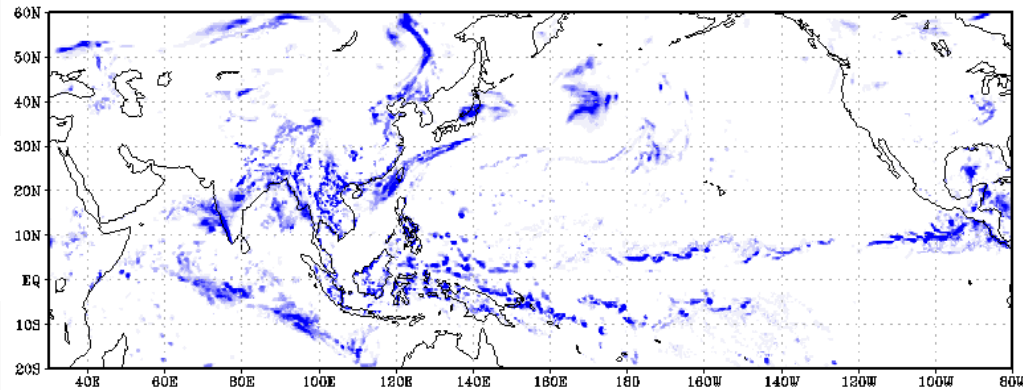
Satellite observation

Time: 06Z JUN 12 1999

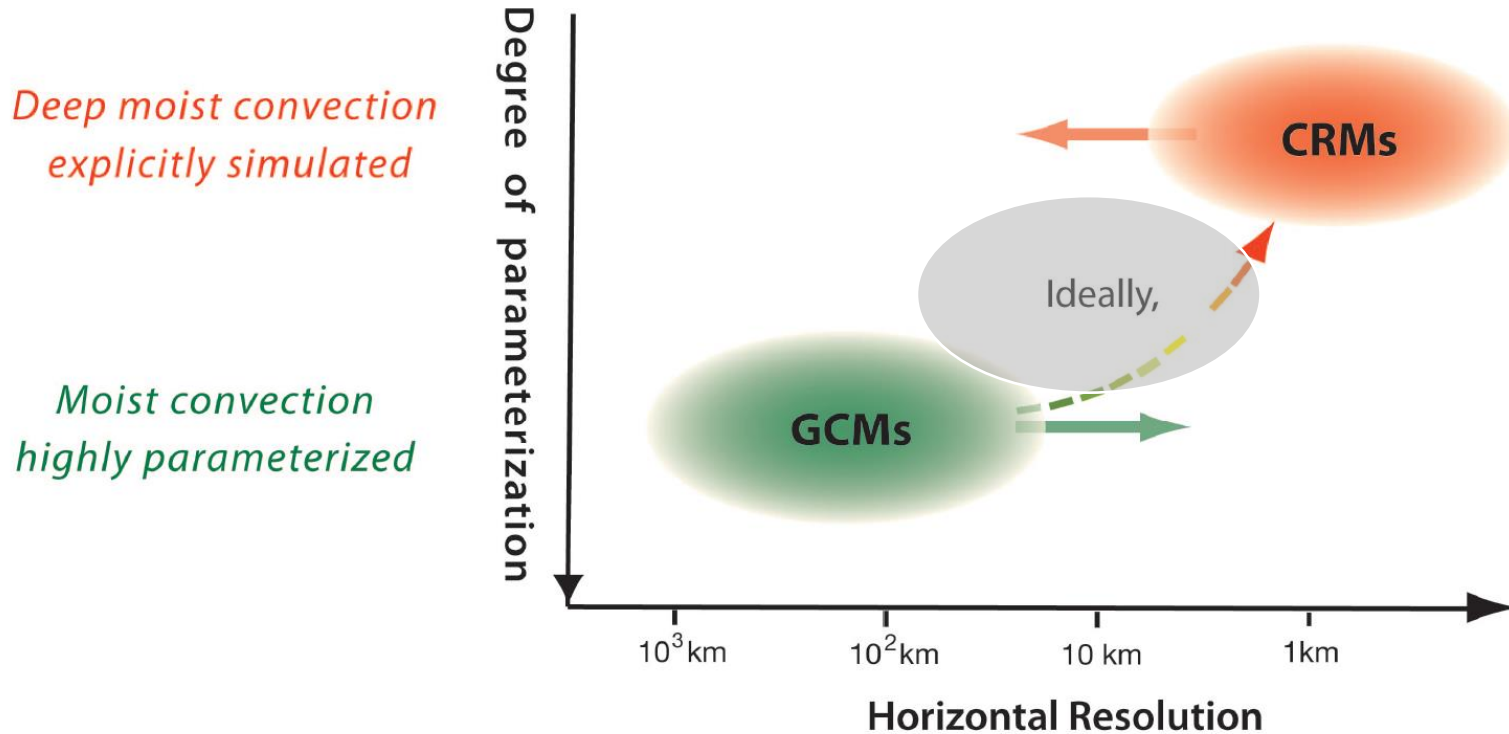


High resolution
Finite volume GCM

Time: 06Z JUN 12 1999



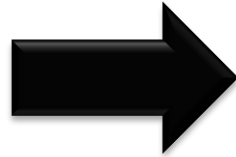
Degree of parameterization depending on horizontal resolution



Arakawa et al. (2011)

Moisture Equation in a GCM

$$\frac{\partial \bar{q}}{\partial t} + \bar{\mathbf{u}} \cdot \nabla \bar{q} = -\frac{\partial}{\partial p} \overline{\omega'q'} - \bar{C}$$



$$\frac{\partial \bar{q}}{\partial t} + \bar{\mathbf{u}} \cdot \nabla \bar{q} = -\frac{\partial}{\partial p} \overline{\omega'q'} - \bar{C}$$

C' \bar{C}_M

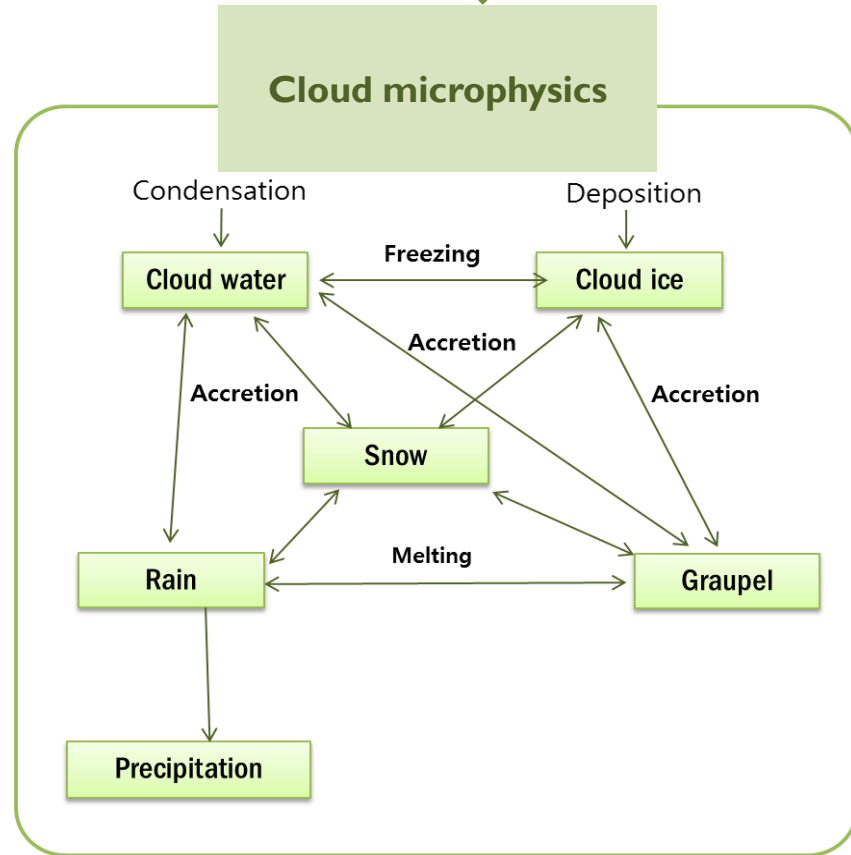
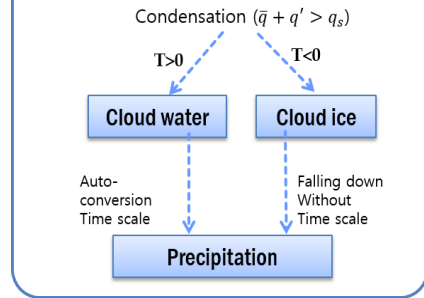
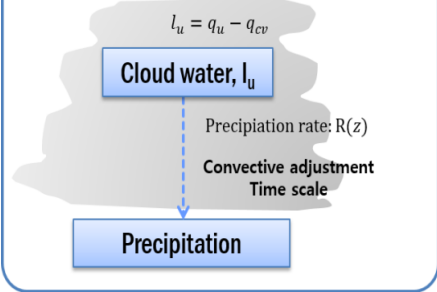
Convective parameterization

Large scale condensation

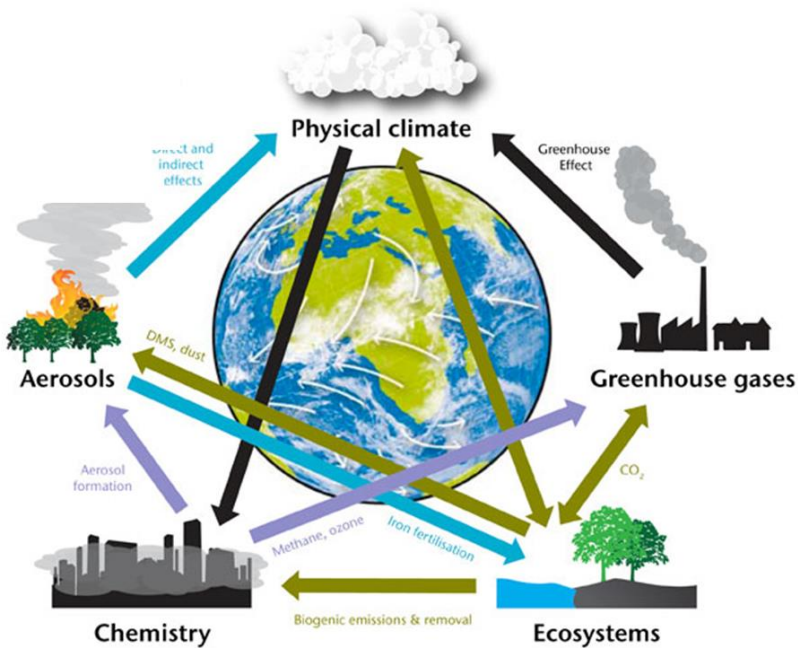
Cloud microphysics

Convective rain

Large-scale condensation

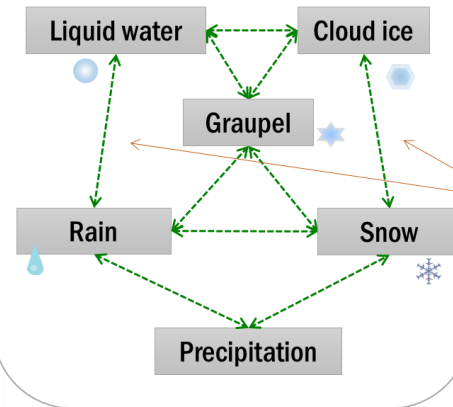


The Earth System



Schematic figure of HadGEM2-ES and the earth system interactions it represents
<http://www.metoffice.gov.uk/research/news/cmip5>

CRM Cloud Microphysics



Explicit Parameterization



- Aerosol Indirect Effect**
- **Global radiation budget change**
 - Climate change simulation
 - Regional climate impact
 - Geochemical processes with cloud microphysics

The Earth System Modeling requires Cloud Microphysics for the reasonable representation of **Precipitation process, Cloud-Radiation interaction, Cloud-Aerosol interaction (aerosol indirect effect)**

Contents

1. **Conventional GCM with a convective parameterization**
2. **Precipitation processes in a Cloud Resolving Model – Cloud Microphysics**
3. **A GCM with cloud microphysics (MP-GCM)**
4. **MP-GCM with scale-adaptive convective parameterization**

All results with AGCM

- 50km horizontal resolution

Thermodynamic equations & Reynolds averaging

❖ Governing equations for dry static energy (s) and water vapor (q)

$$\frac{\partial s}{\partial t} + (\vec{u} \cdot \nabla)s = LC + R$$

- C : condensation-evaporation
- R : Radiative heating

$$\frac{\partial q}{\partial t} + (\vec{u} \cdot \nabla)q = -C$$

❖ After Reynolds averaging (over a grid)

$$\frac{\partial \bar{s}}{\partial t} + \vec{u} \cdot \nabla \bar{s} = -\frac{\partial}{\partial p} \overline{\omega' s'} + L\bar{C} + \bar{R}$$

$$\frac{\partial \bar{q}}{\partial t} + \vec{u} \cdot \nabla \bar{q} = -\frac{\partial}{\partial p} \overline{\omega' q'} - \bar{C}$$

Mass flux-type convection scheme

❖ Mass flux-type (e.g. Arakawa-Schubert, Tiedtke and many other schemes)

$$\frac{\partial \overline{\omega' s'}}{\partial p} \sim g \frac{\partial M_c (s_c - \bar{s})}{\partial p}$$

$$\frac{\partial \overline{\omega' q'}}{\partial p} \sim g \frac{\partial M_c (q_c - \bar{q})}{\partial p}$$

- M_c : mass flux
- s_c, q_c : in-cloud (by cloud model)

❖ Cloud budget equation (cloud model)

$$0 = -D + E - g \frac{\partial M_c}{\partial p}$$

$$0 = -D s_c + E \bar{s} - g \frac{\partial M_c s_c}{\partial p} + L C_c$$

$$0 = -D q_c + E \bar{q} - g \frac{\partial M_c q_c}{\partial p} - C_c$$

*Stationary assumption

$$\frac{\partial \sigma}{\partial t} = \frac{\partial \sigma s_c}{\partial t} = \frac{\partial \sigma q_c}{\partial t} = 0$$

Unknown: M_c, s_c, q_c

$$D, E, C_c = f(s_c, \bar{s}, q_c, \bar{q})$$

- σ : cloud fraction
- D : detrainment
- E : entrainment

❖ Determination of M_b

$$M_c = M_b \mu \rightarrow \text{closure of the convection scheme}$$

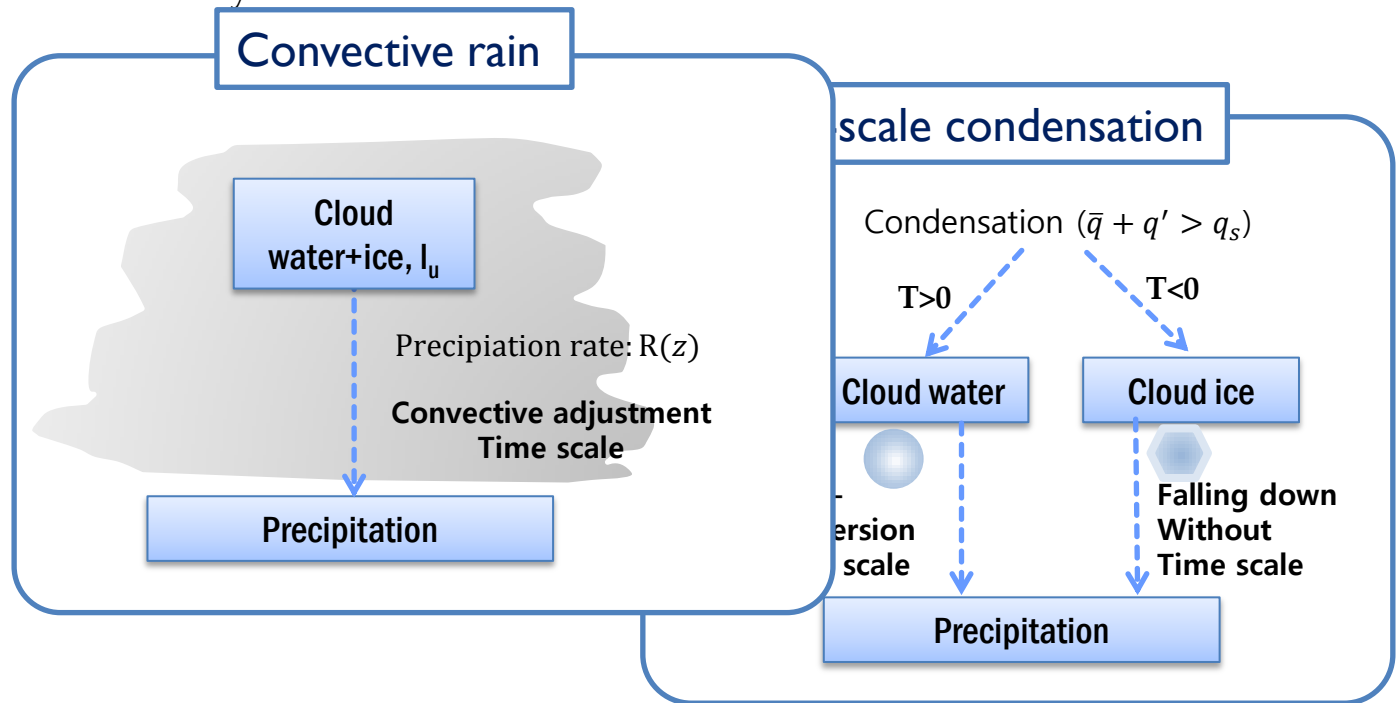
Conventional GCM precipitation processes

1. Convective rain (sub-grid scale)

- Convective parameterization based on a quasi equilibrium condition

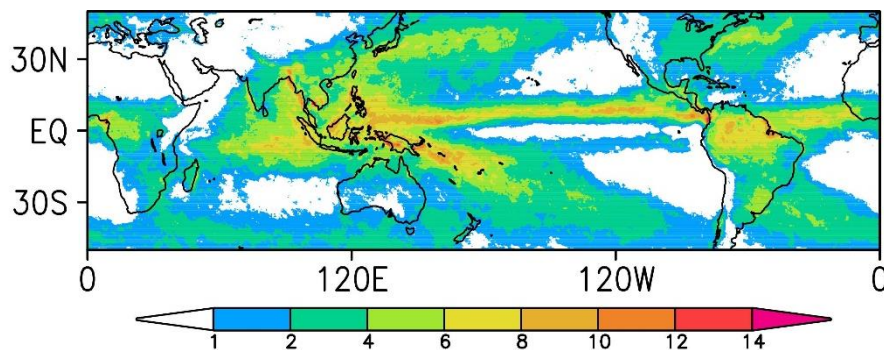
2. Large-scale condensation (grid scale)

- Function of relative humidity with auto-conversion time scale

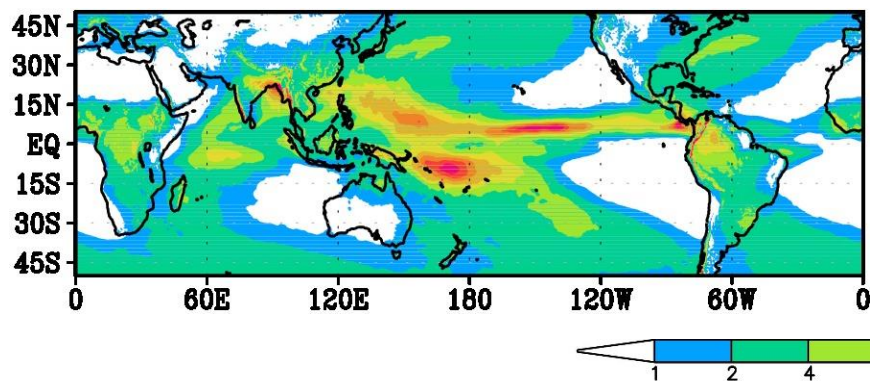


Annual mean
(50km)
precipitation

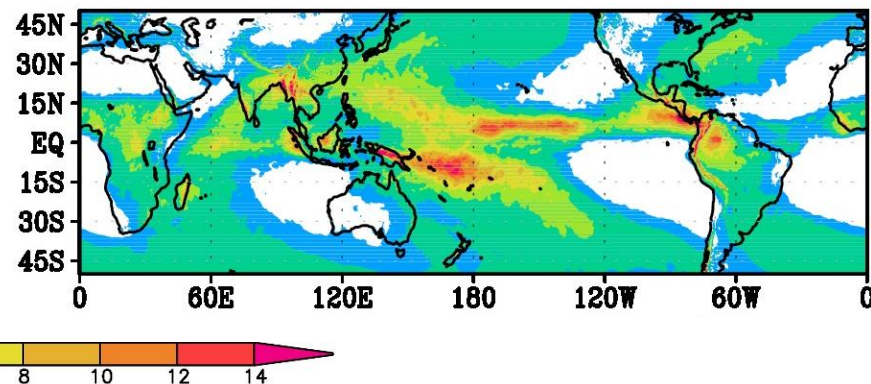
TRMM



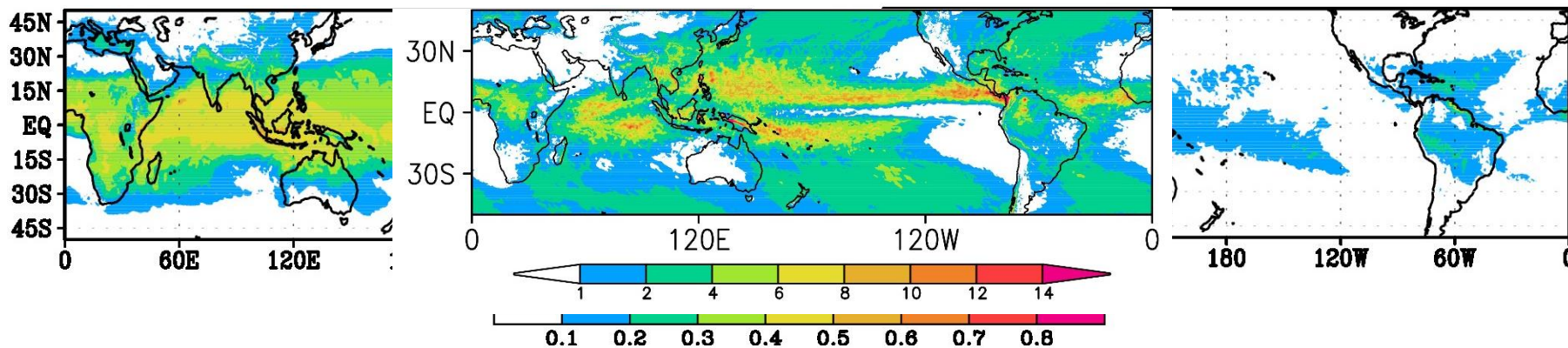
SAS scheme with Tok 0.075



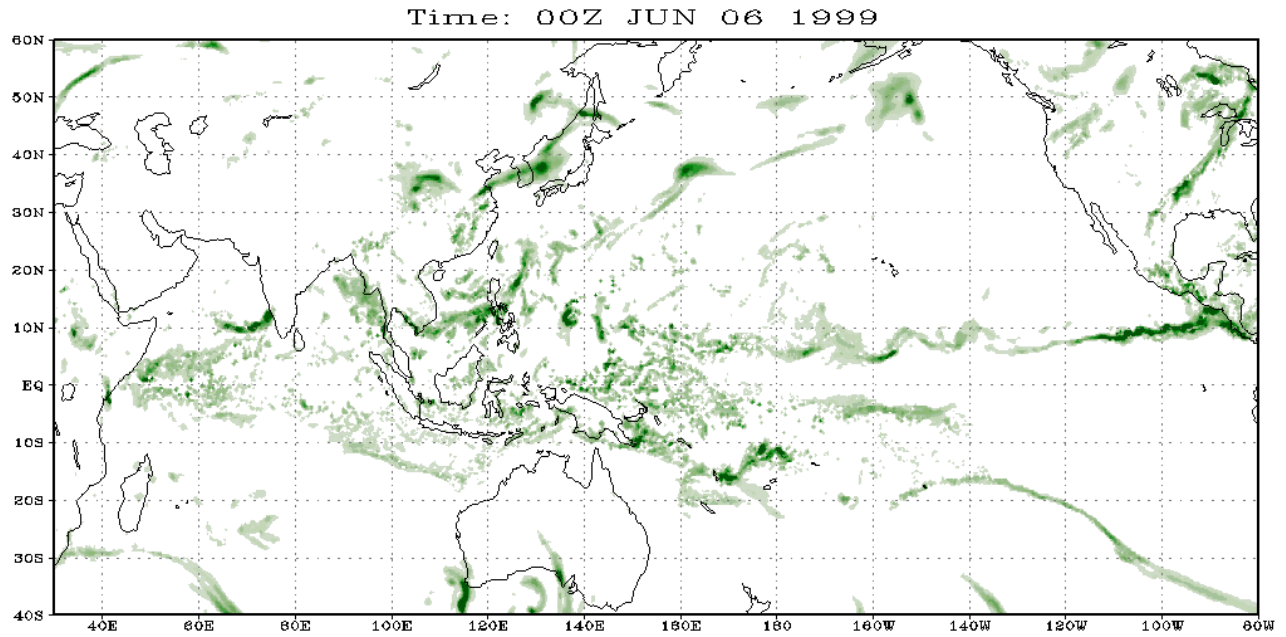
SAS scheme with Tok 0.45



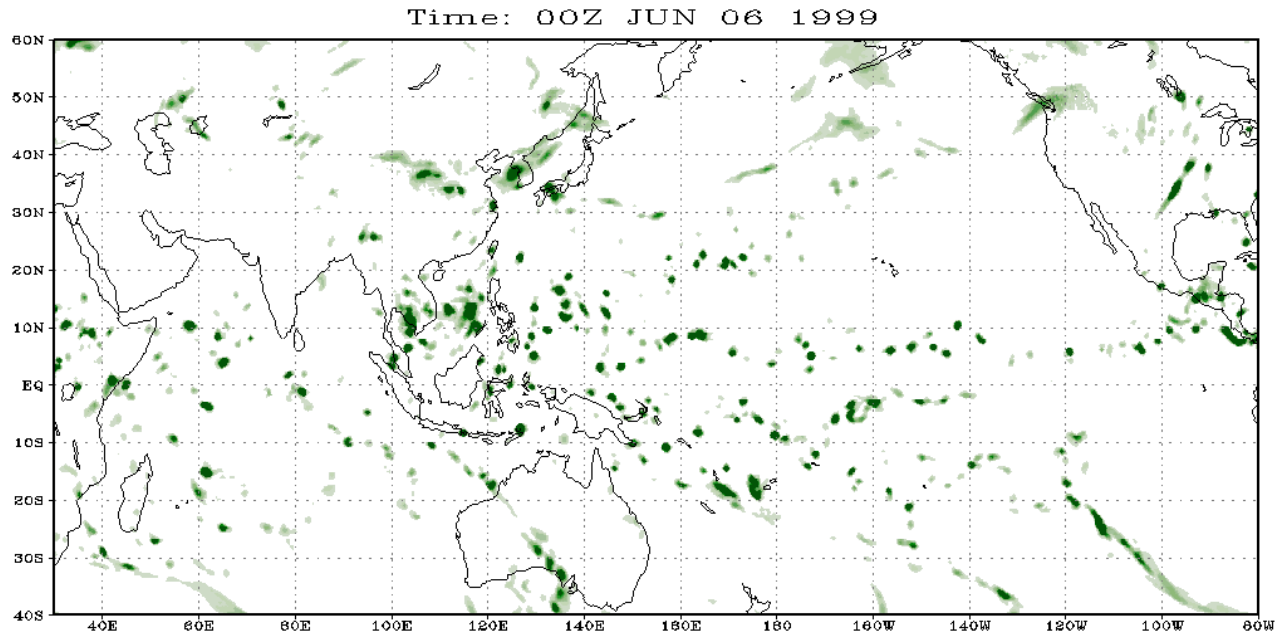
Ratio of convective to total **No convective parameterization (NOCONV)** to total precipitation



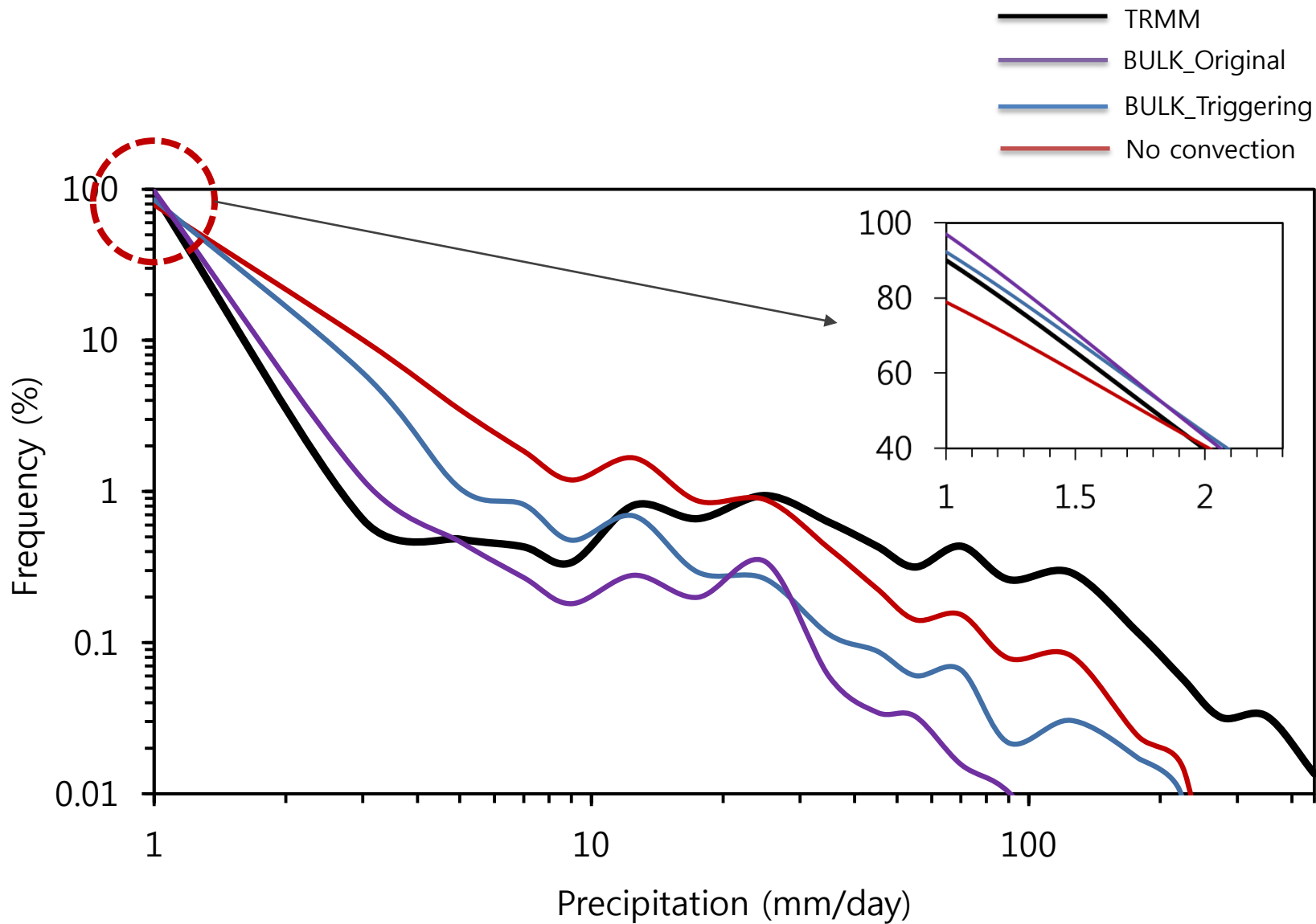
**With cumulus
parameterization**



**Without cumulus
parameterization**



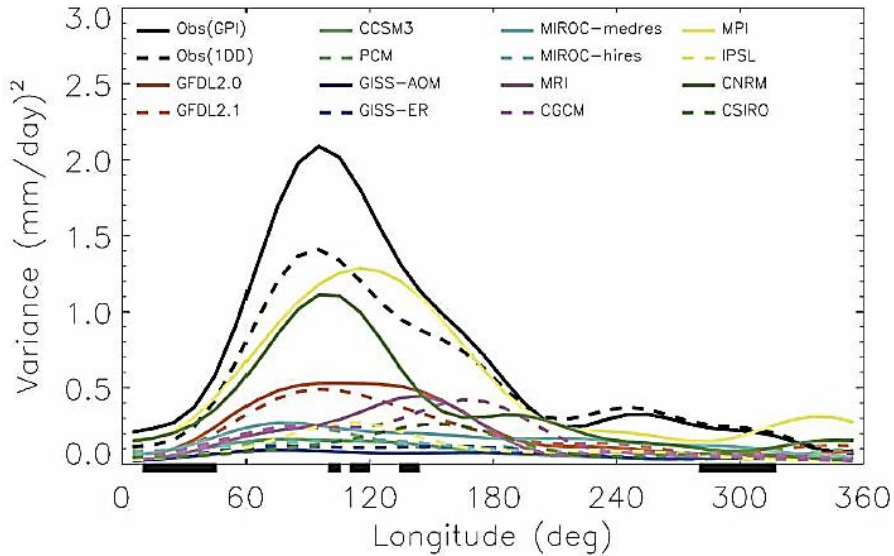
Frequency of 3-hourly precipitation



IPCC AR4 and AR5 Models

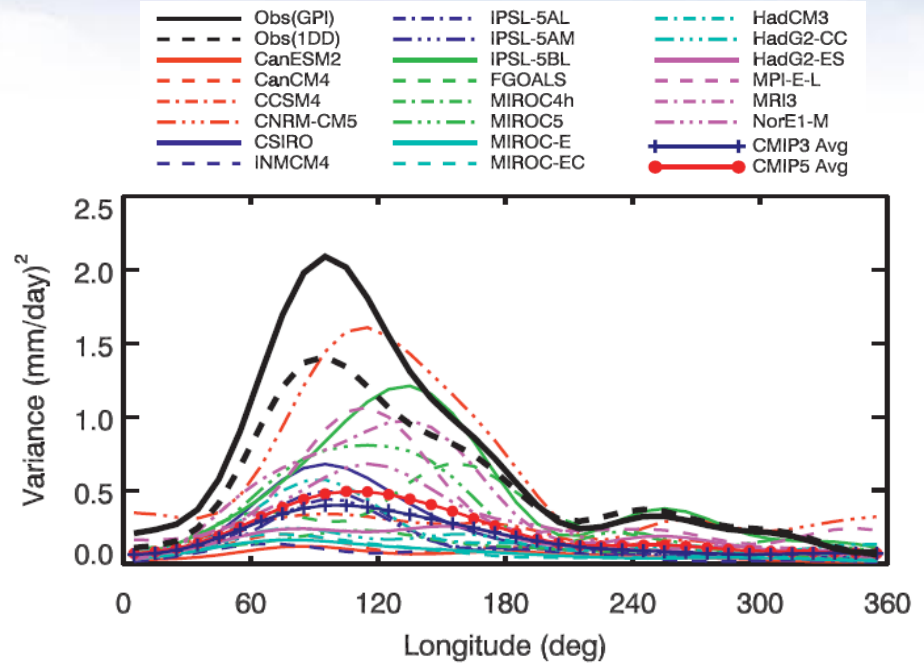
MJO Variance (15°N-15°S)

AR4 models



(Lin et al. 2006)

AR5 models



(Hung et al. 2013)

STILL



Problems of Current GCMs

- **Too much light rain and
Lack of extreme precipitation**
- **Poor simulation of moisture related phenomena**

Lack of physics and Wrong assumptions

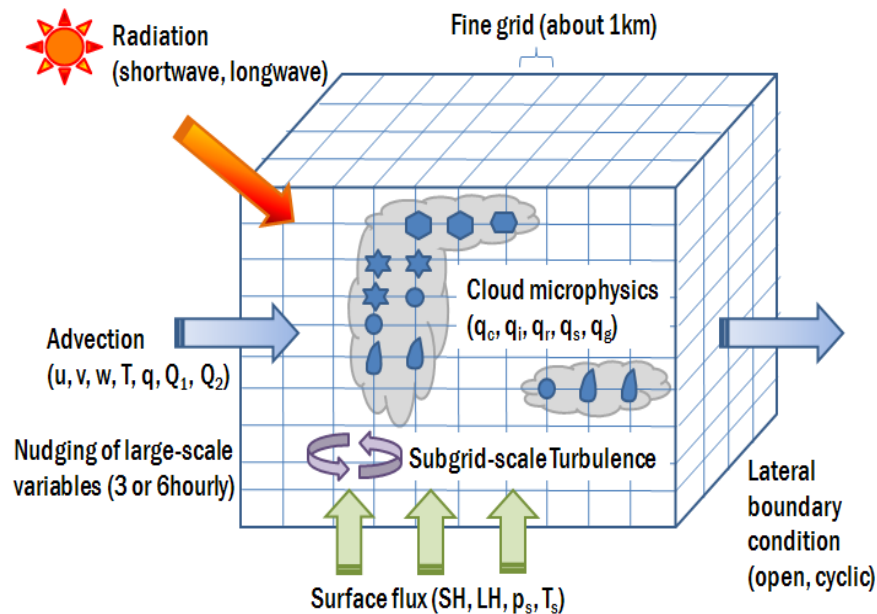
Precipitation processes in a cloud resolving model (CRM)

CRM experiments

- Goddard Cumulus Ensemble (GCE) (Tao et al. 1993)
- Two-dimensional model with cyclic boundary conditions
- 1km horizontal resolution with 41 vertical level and 256km domain size
- TOGA-COARE forcing data

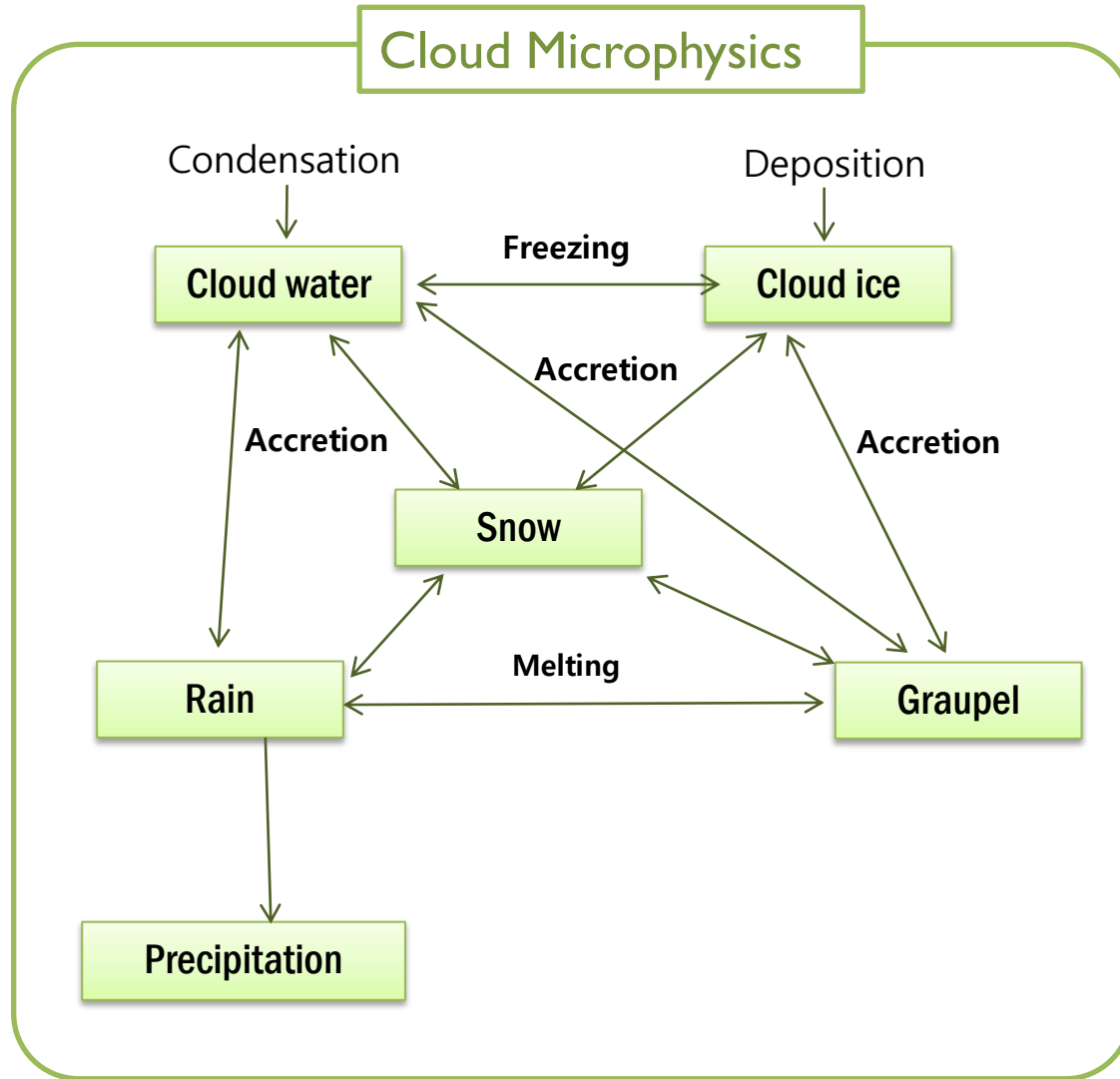
Cloud resolving model

Goddard Cumulus Ensemble model (NASA/GSFC)



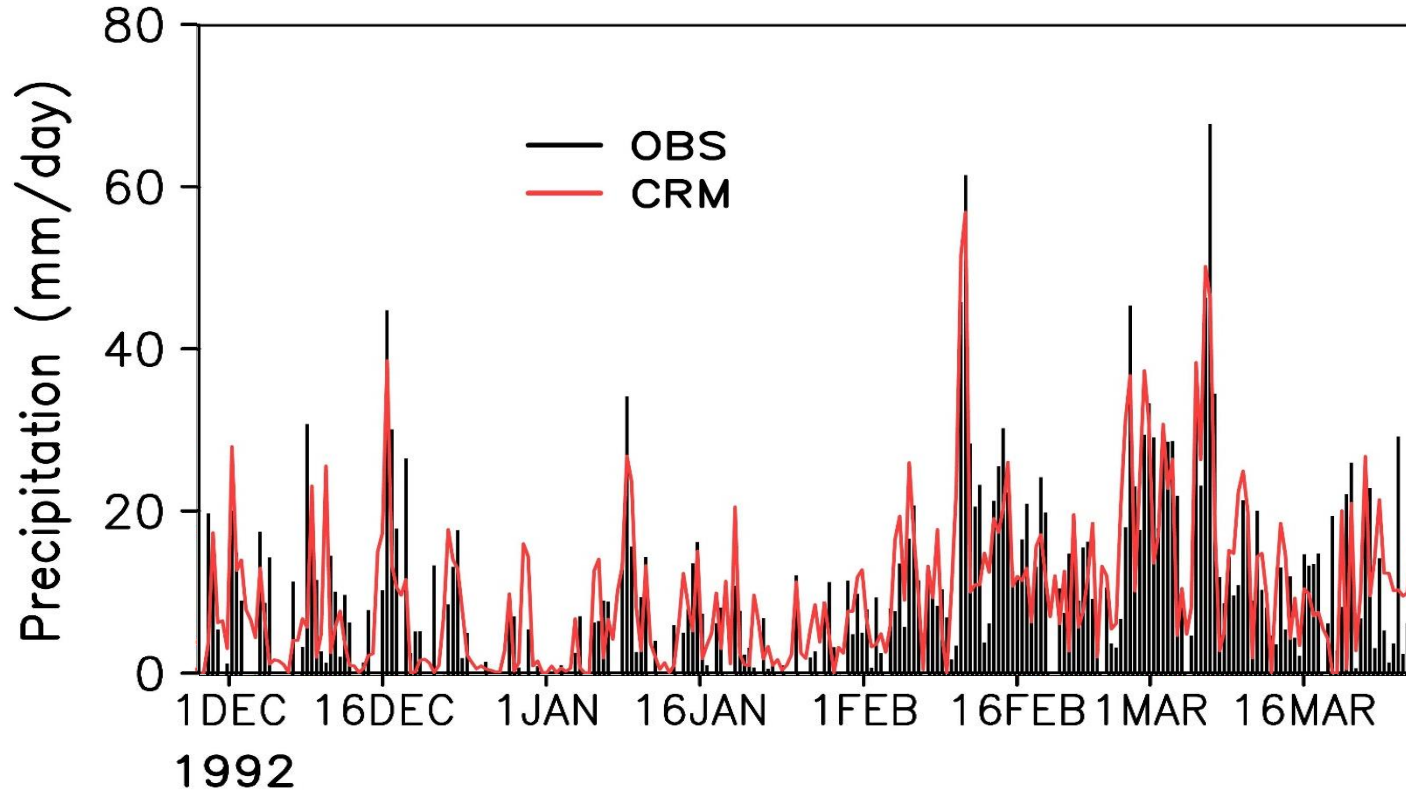
Parameters/ Processes	GCE Model
Dynamics	Anelastic or Compressible 2D (Slab- and Axis-symmetric) and 3D
Microphysics	2-Class Water & 3-Class Ice Single momentum
Numerical Methods	Positive Definite Advection for Scalar Variables; 4th-Order for Dynamic Variables
Radiation	k-Distribution and Four-Stream Discrete-Ordinate Scattering (8 bands) Explicit Cloud-Radiation Interaction
Sub-Grid Diffusion	TKE (1.5 order)
Surface Energy	TOGA COARE Flux Module

CRM Microphysics



Precipitation - CRM vs. OBS

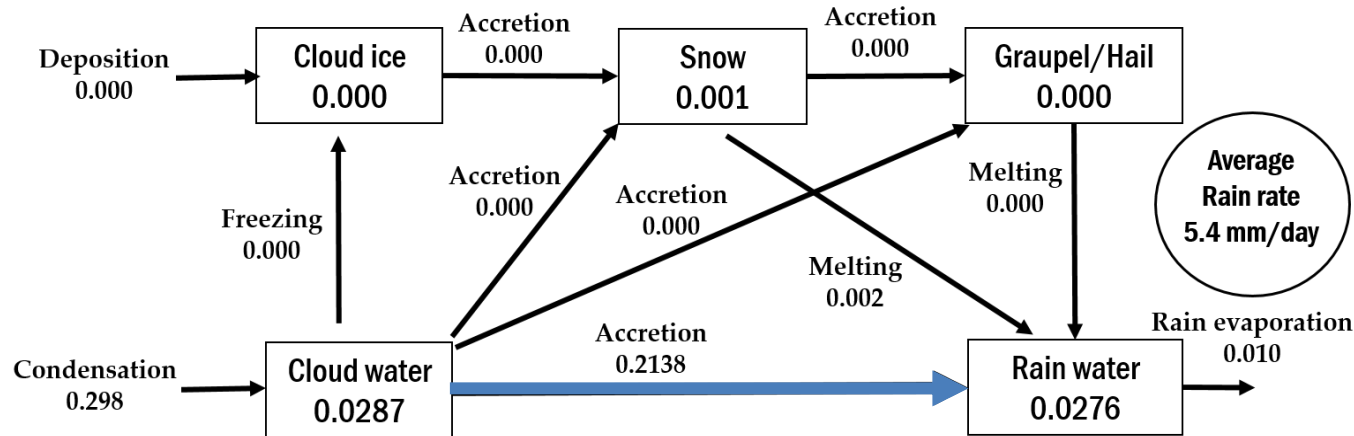
6-hour mean precipitation (mm day⁻¹)



- ✓ Goddard Cumulus Ensemble model (Tao et al. 1993) simulation with TOGA-COARE forcing for boreal winter

Budget of microphysical processes

(a) Light precipitation ($0 - 10 \text{ mm day}^{-1}$)

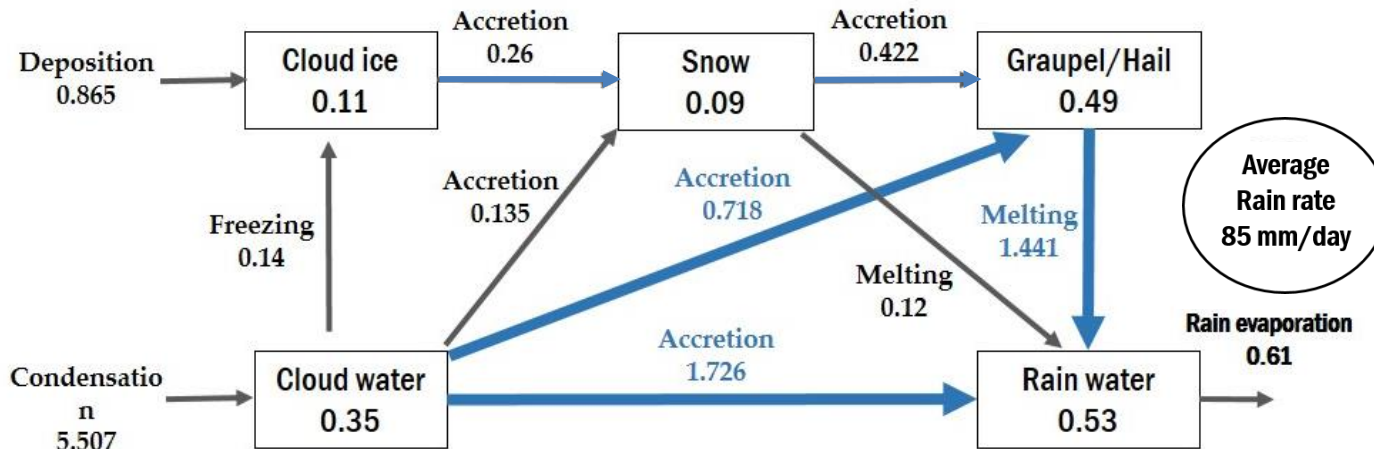


<Unit>

Cloud species : g/g

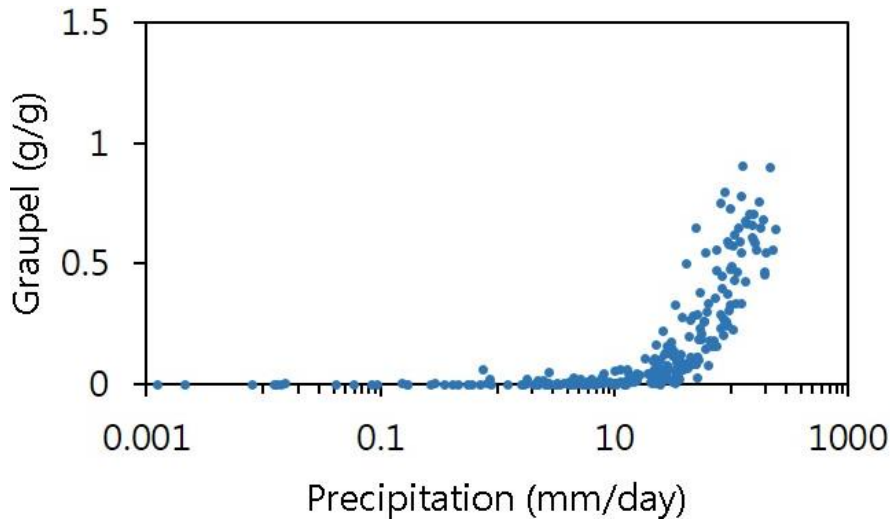
Processes : g/g/s

(b) Heavy precipitation ($> 60 \text{ mm day}^{-1}$)

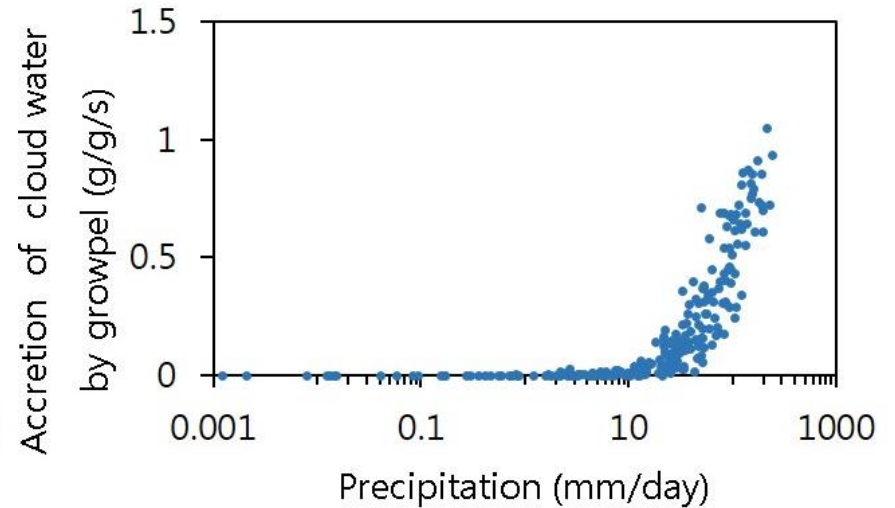


Relationship between precipitation and graupel

Rainfall vs. Graupel



Rainfall vs. Accretion of cloud water to graupel



A GCM with cloud microphysics

❖ Problems of CRM for

applying it to a global model of 50km Resolution

- Resolution dependent physics
 - Modified Cloud Micropysics

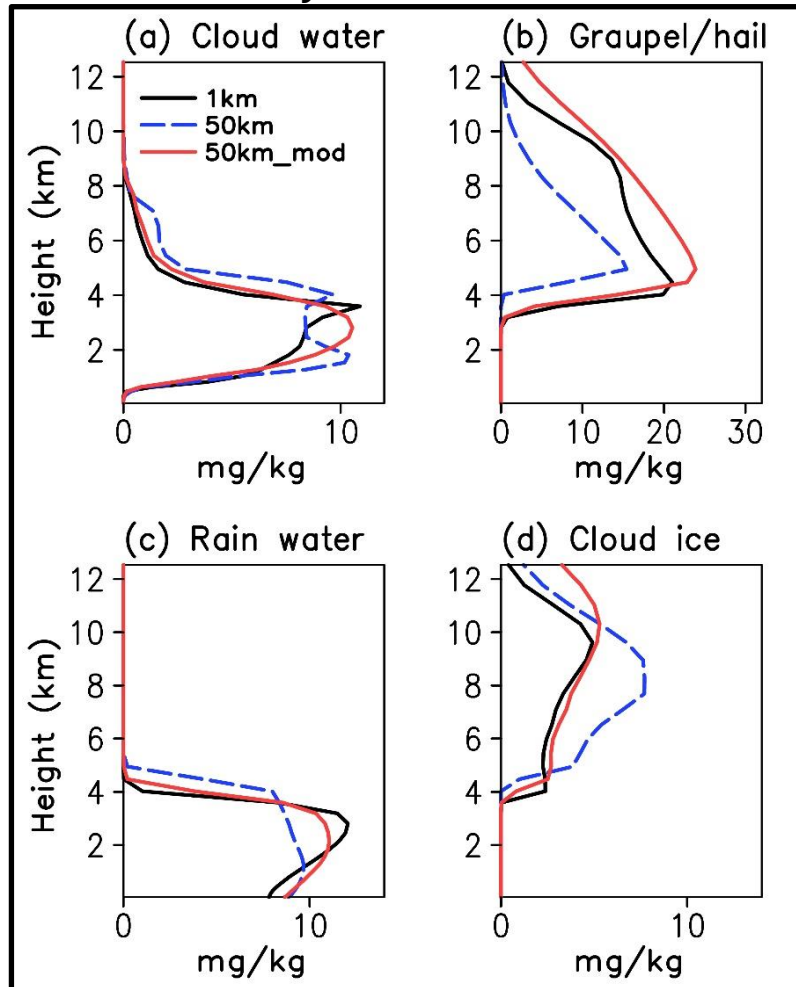
- Less vertical mixing
 - Adding convective mixing

CRM simulations with modified microphysics

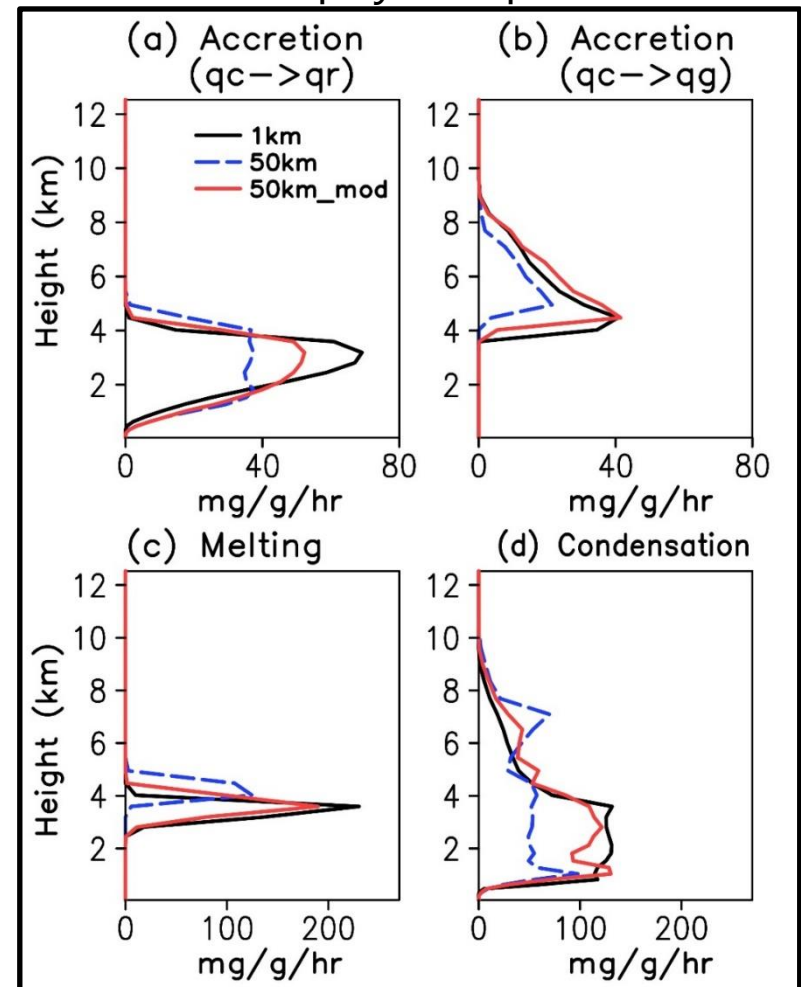
- Condensation (GCM formula, Le Treut and Li 1991)
- Terminal velocity (50% reduction)

(Kang et al. 2015)

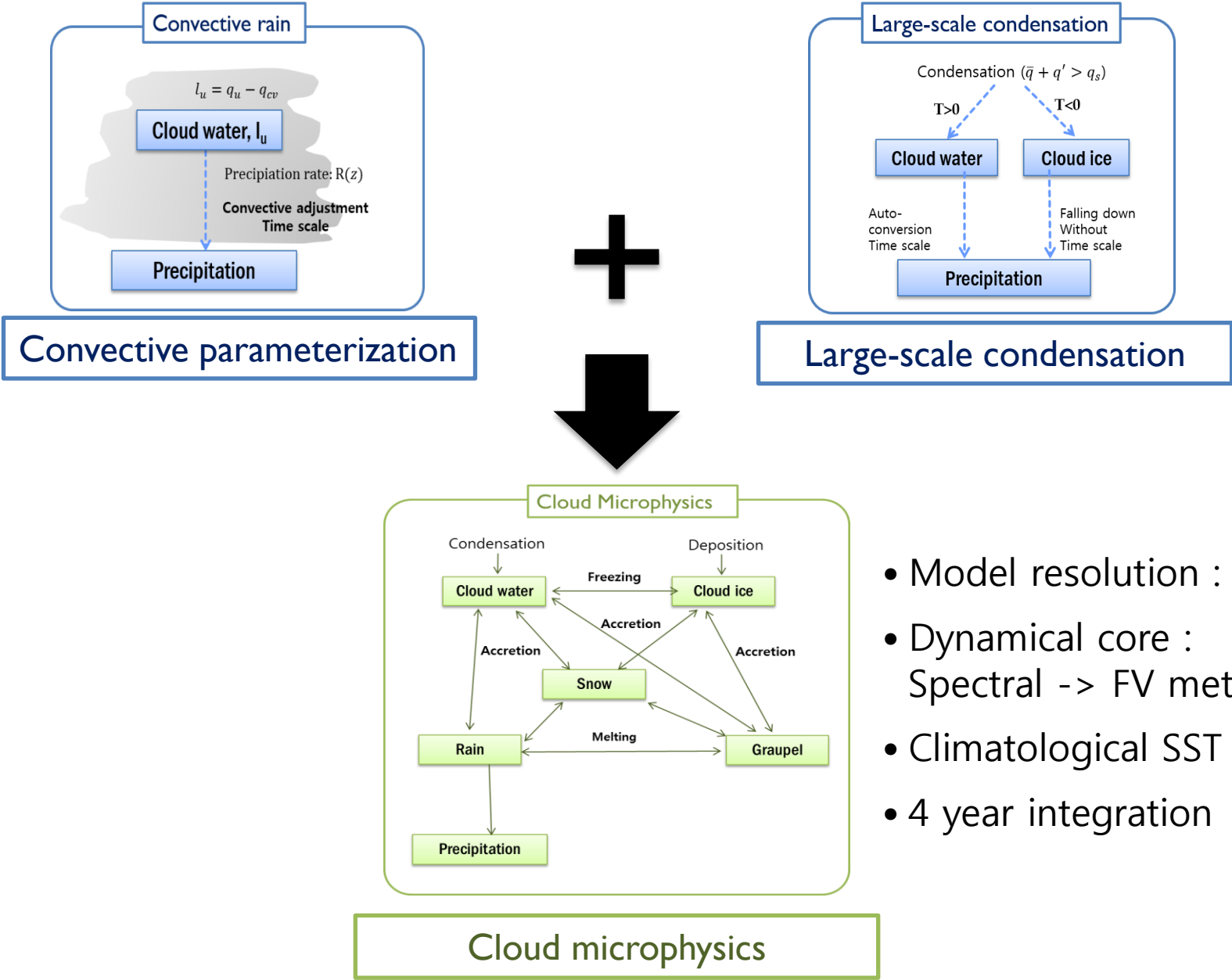
Hydrometeors



Microphysical process



Development of GCM with cloud microphysics



- Model resolution : 50km
- Dynamical core : Spectral -> FV methods
- Climatological SST
- 4 year integration

Governing equations for Temperature and Hydrometeors in GCM

	Conventional GCM	MP-GCM
Thermodynamic	<p>Thermodynamic</p> $\frac{d\bar{T}}{dt} = -\frac{\partial}{\partial p}(\overline{\omega'T'}) + \left[\frac{L_v}{C_p}(\bar{c} - \bar{e}) \right] + Q_R$	<p>Thermodynamic</p> $\frac{d\bar{T}}{dt} = -\frac{\partial}{\partial p}(\overline{\omega'T'}) + \left[\frac{L_v}{C_p}(\bar{c} - \bar{e}_l) + \frac{L_s}{C_p}(\bar{d}_i + \bar{d}_s + \bar{d}_g - \bar{s}_i) + \frac{L_f}{C_p}(\bar{f} - \bar{m}) \right]$ $- \left[\frac{L_v}{C_p}\bar{e}_r + \frac{L_s}{C_p}(\bar{s}_s + \bar{s}_g) \right] + Q_R$ <p>Cloud Microphysics and Macrophysics</p>
Hydrometeors	<p>Water vapor $\frac{d\bar{q}_v}{dt} = -\frac{\partial}{\partial p}(\overline{\omega'q_v'}) - (\bar{c} - \bar{e})$</p> <p>Cloud water (liquid+ice) $\frac{d\bar{q}_c}{dt} = -\frac{\partial}{\partial p}(\overline{\omega'q_c'}) + (\bar{c} - \bar{e})$</p>	<p>Water vapor $\frac{d\bar{q}_v}{dt} = -\frac{\partial}{\partial p}(\overline{\omega'q_v'}) - (\bar{c} + \bar{d}_i + \bar{d}_s + \bar{d}_g - \bar{e}_i - \bar{s}_i) + (\bar{e}_r + \bar{s}_s + \bar{s}_g)$</p> <p>Cloud liquid $\frac{d\bar{q}_l}{dt} = -\frac{\partial}{\partial p}(\overline{\omega'q_l'}) + (\bar{c} - \bar{e}_l) + \bar{T}_{ql}$</p> <p>Cloud ice $\frac{d\bar{q}_i}{dt} = -\frac{\partial}{\partial p}(\overline{\omega'q_i'}) + (\bar{d}_i - \bar{s}_i) + \bar{T}_{qi}$</p> <p>Rain $\frac{d\bar{q}_r}{dt} = -\frac{\partial}{\partial p}(\overline{\omega'q_r'}) - \bar{V}_r \frac{\partial \bar{q}_r}{\partial p} + (\bar{m}_s + \bar{m}_g - \bar{f}_s - \bar{f}_g) - \bar{e}_r + \bar{T}_{qr}$</p> <p>Snow $\frac{d\bar{q}_s}{dt} = -\frac{\partial}{\partial p}(\overline{\omega'q_s'}) - \bar{V}_s \frac{\partial \bar{q}_s}{\partial p} + (\bar{d}_s - \bar{m}_s + \bar{f}_s) - \bar{s}_s + \bar{T}_{qs}$</p> <p>Graupel $\frac{d\bar{q}_g}{dt} = -\frac{\partial}{\partial p}(\overline{\omega'q_g'}) - \bar{V}_g \frac{\partial \bar{q}_g}{\partial p} + (\bar{d}_g - \bar{m}_g + \bar{f}_g) - \bar{s}_g + \bar{T}_{qg}$</p>

Increase of vertical mixing

✓ Diffusion type of shallow convection scheme

- Vertical mixing of temperature and moisture
- No precipitation processes

$$\left(\frac{\partial \bar{s}}{\partial t}\right)_{shc} = \frac{1}{\bar{\rho}} \frac{\partial}{\partial z} \left\{ \bar{\rho} K \frac{\partial}{\partial z} (\bar{s} - L\bar{l}) \right\}$$

$$\left(\frac{\partial \bar{q}}{\partial t}\right)_{shc} = \frac{1}{\bar{\rho}} \frac{\partial}{\partial z} \left\{ \bar{\rho} K \frac{\partial}{\partial z} (\bar{q} + L\bar{l}) \right\}$$

s : dry static energy

q : specific humidity

l : cloud water

L : latent heat of condensation

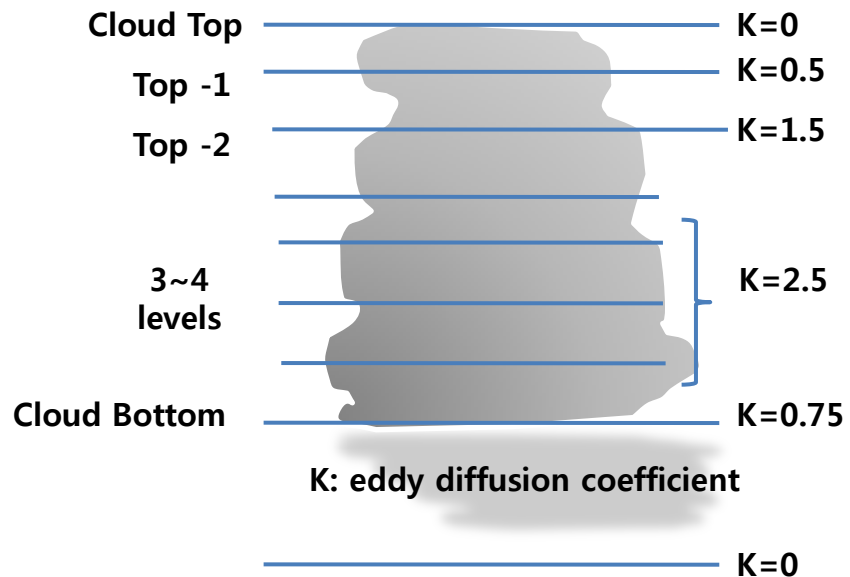
ρ : density of air

z : altitude

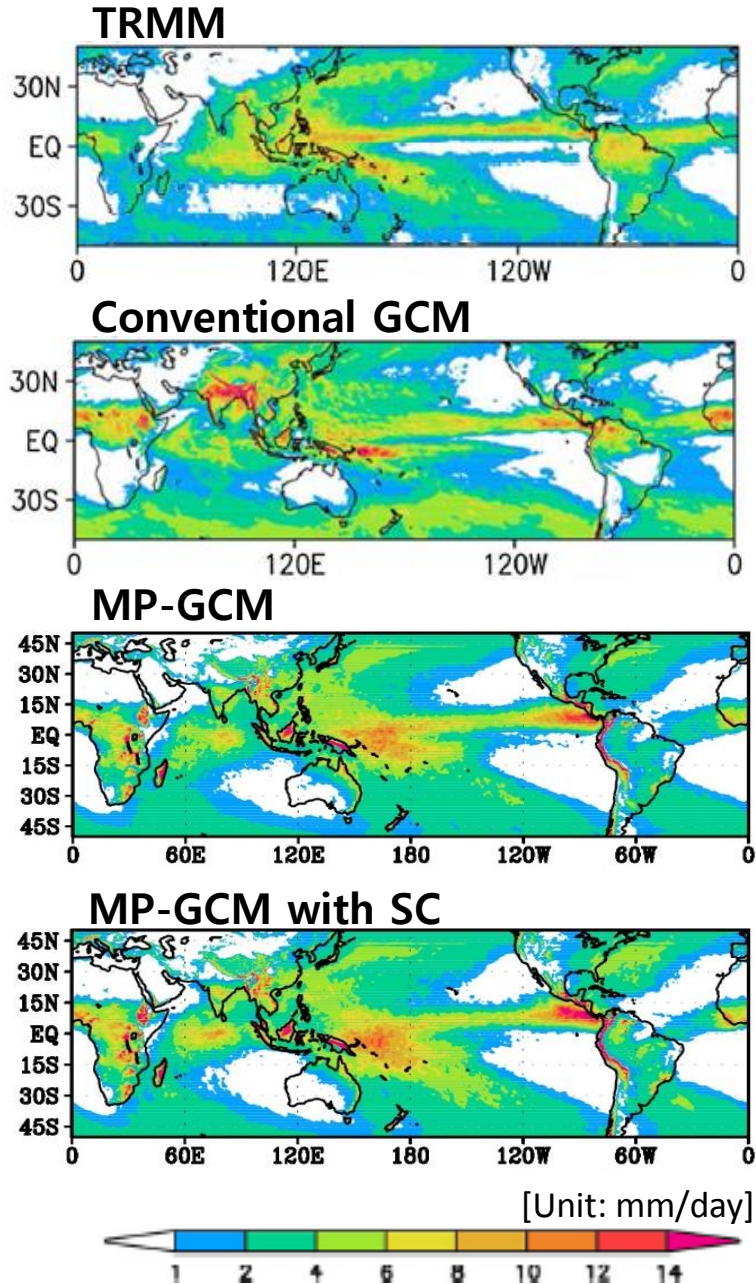
over bar : grid average value

prime : perturbation from grid average value

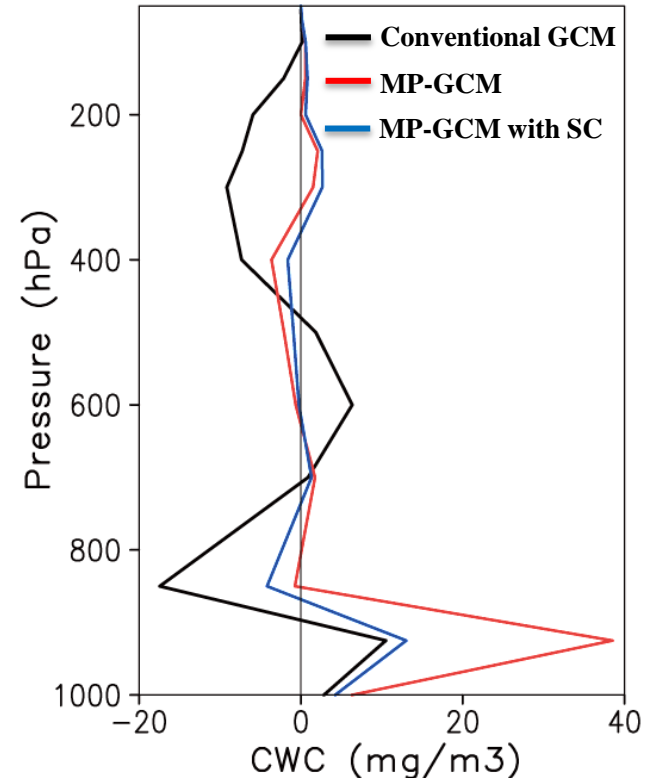
Vertical profile of K



Precipitation and cloud water content simulation



Biases of cloud water from Cloudsat over the tropics (0E-360E, 30S-30N)

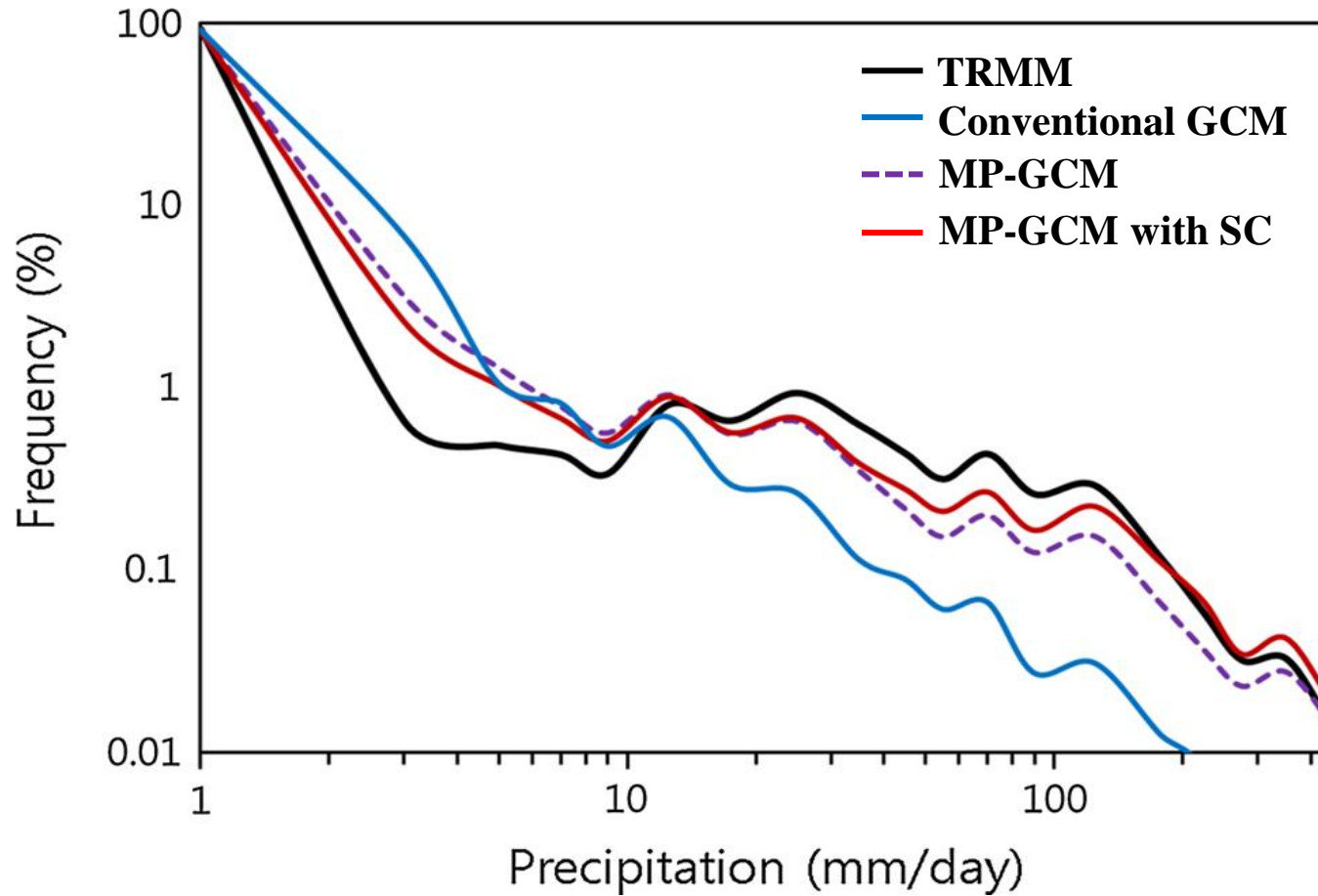


Description of Simulations:

- Time step: 600s
- MPS sub-time: 600s
- MPS tv sub-time: 20s
- RHC: 90%
- Terminal velocity reduce factor: $tv*0.5$
- Additional vertical mixing:
Shallow convection (diffusion type)

Frequency of 3-hourly precipitation simulation

(Kang et al. 2015, Climate Dynamics)



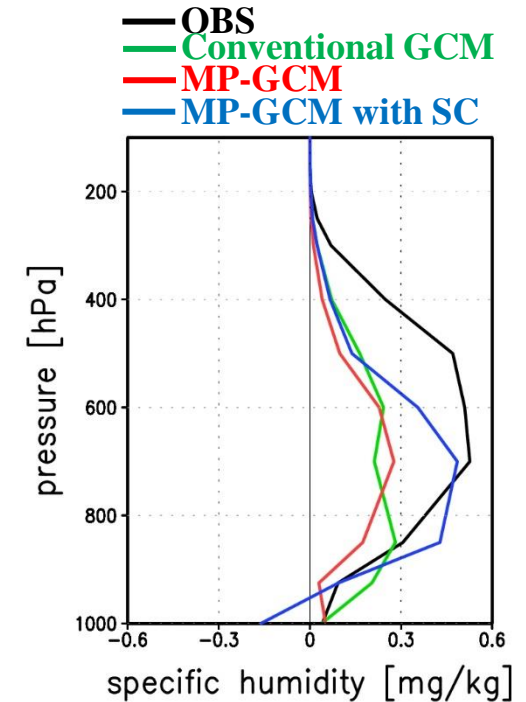
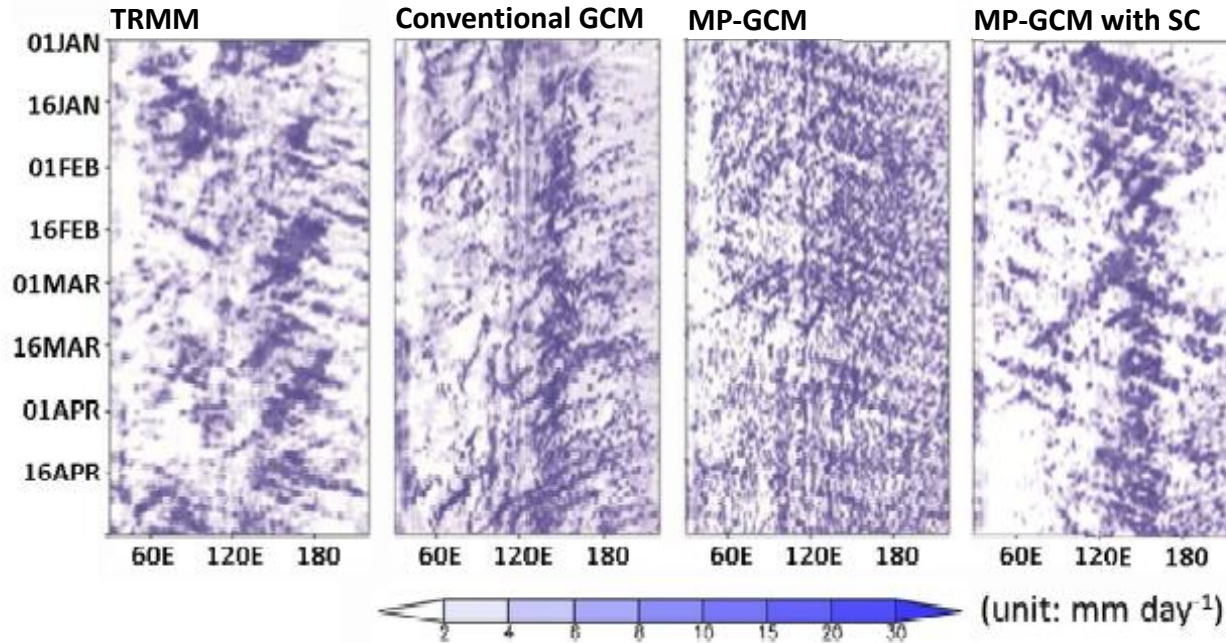
GCM requires Cloud Microphysics for simulation of heavy and extreme precipitation statistics

- The GCMs with convective parameterization produce too much light rain but less heavy precipitation compared to the observed.
- Graupel and Accretion are important hydro-meteor and hydor-process for heavy precipitation.

MJO and specific humidity simulation

(Kang et al. 2016)

Hovmuller diagram of PRCP (10S-10N)

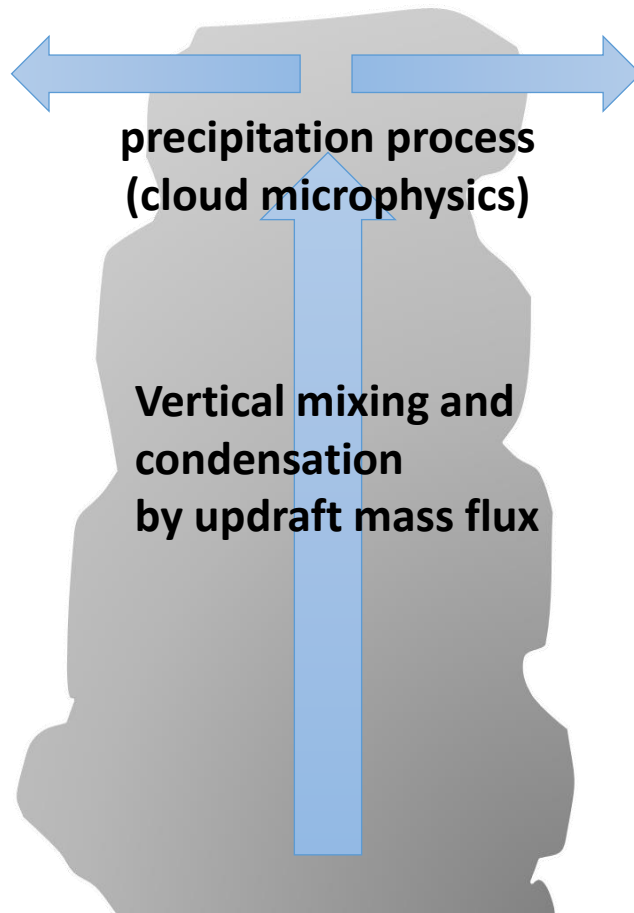


30-90day specific humidity composite when 30-90day precipitation \geq 1STD over the I.O.

Description of Simulations:

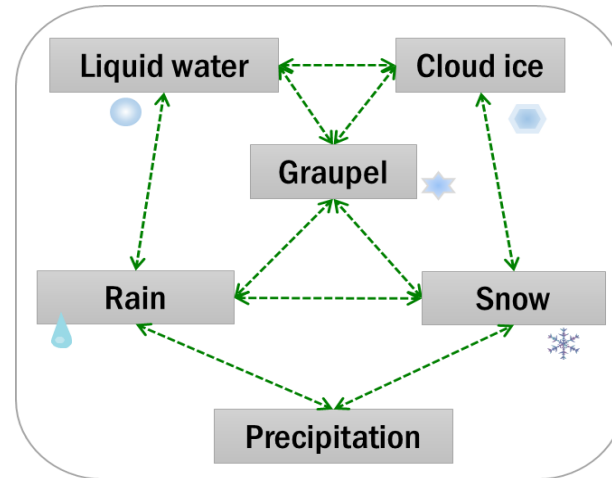
- Time step: 900s
- Terminal velocity sub-time: 20s
- RHC: 95%
- Terminal velocity reduce factor: $tv*0.5$
- Additional vertical mixing:
Shallow convection (diffusion type)

Adding deep convective parameterization in MP-GCM



**cumulus detrainment
(environmental heating and moistening)**

Adding cloud liquid and ice from convective parameterization to cloud microphysics



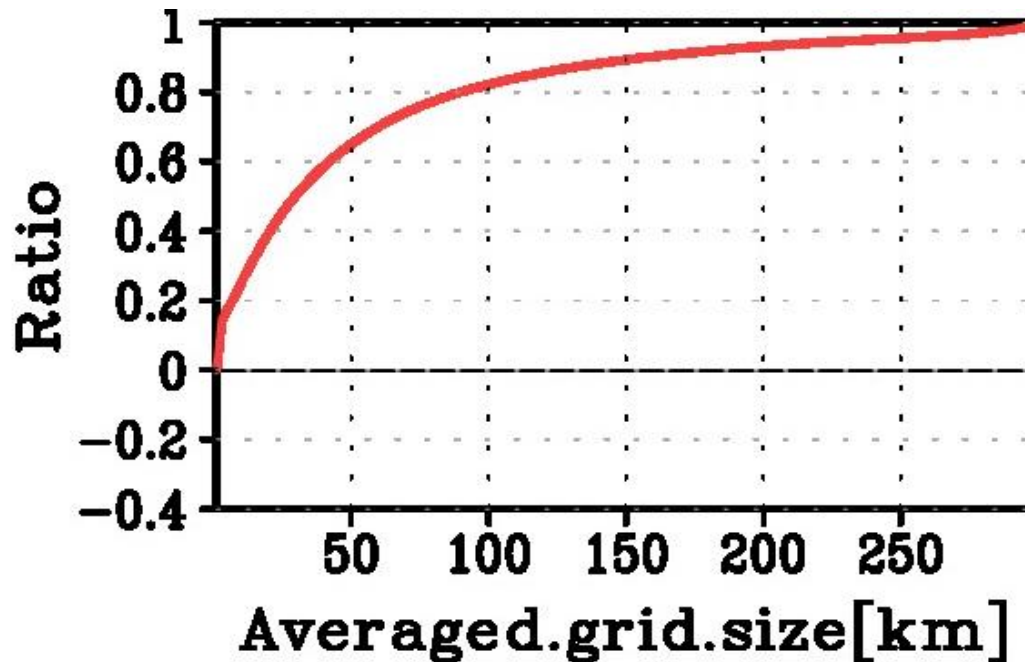
Resolution dependency of MSE850 sub-grid scale vertical mixing ratio to total mixing

From 1km 3-d CRM simulation

$$\overline{w' \frac{\partial h'}{\partial z}} / \overline{w \frac{\partial h}{\partial z}}$$

—: mean over target domain

' : anomaly from target domain mean



only updraft ($\bar{w} > 0, \frac{\partial \bar{h}}{\partial z} < 0$) domains are used

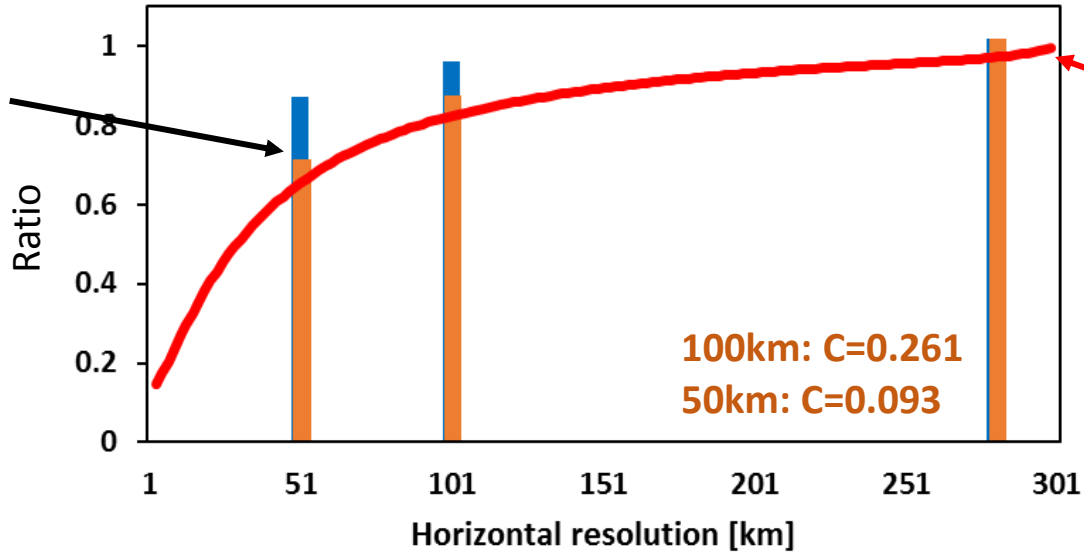
Resolution dependency of cumulus mixing

scale-adaptive cumulus base mass flux (M_B)

$$(M_B)_s = M_B \times C$$

From GCM

$$\frac{\left(\frac{\partial \overline{\omega' h'}}{\partial p}\right)}{\overline{\omega} \frac{\partial \bar{h}}{\partial p} + \left(\frac{\partial \overline{\omega' h'}}{\partial p}\right)}$$



From CRM

$$\frac{\overline{w' \frac{\partial h'}{\partial z}}}{\overline{w} \frac{\partial \bar{h}}{\partial z}}$$

- : original GCM normalized by 280km simulation
- : cumulus base mass flux control GCM normalized by 280km simulation
- : resolution dependency of sub-grid scale MSE850 vertical mixing from 3d CRM simulation

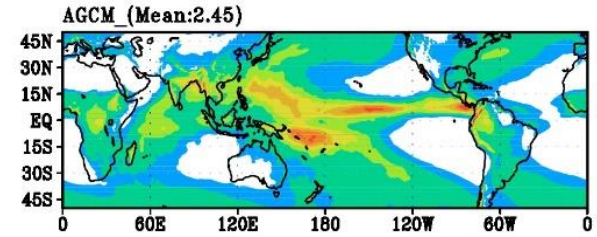
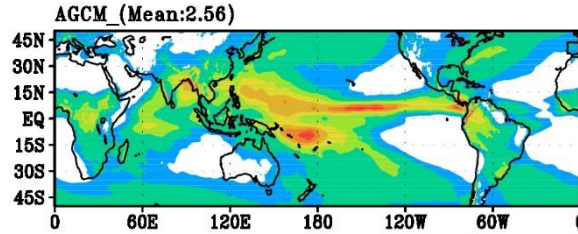
PRCP mean state of scale-adaptive simulations (5years)

Original GCM

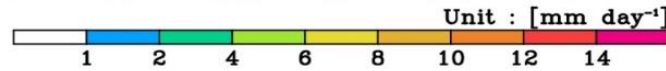
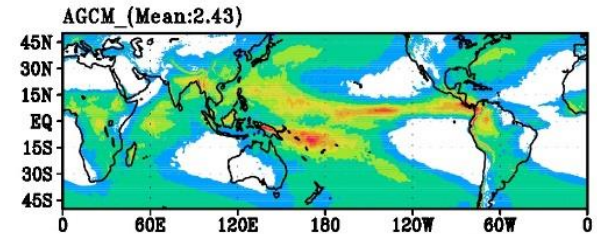
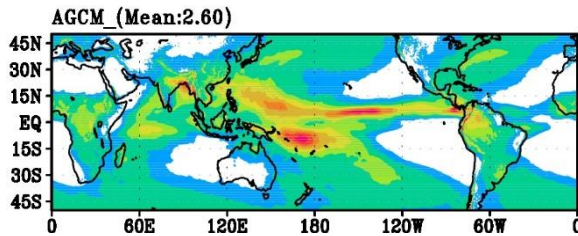
Cumulus base mass flux control

PRCP
mean state

360x181
(100km)

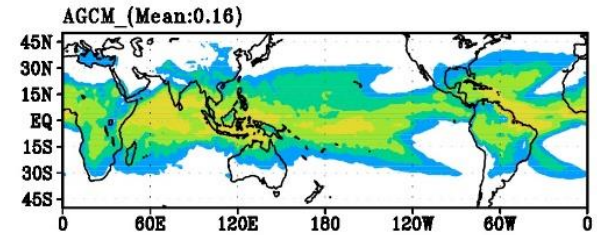
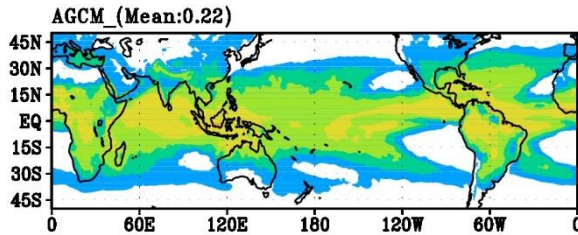


720x361
(50km)

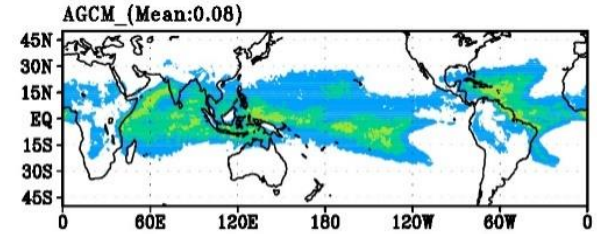
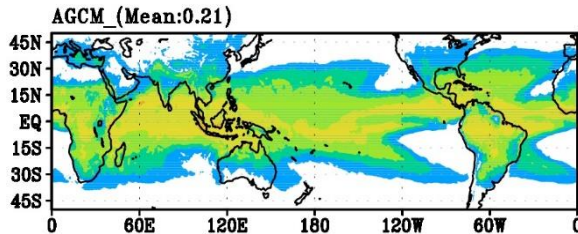


Convective
PRCP ratio

360x181
(100km)



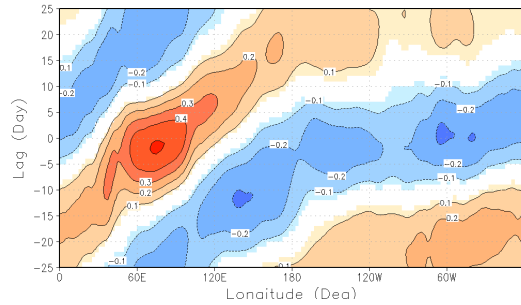
720x361
(50km)



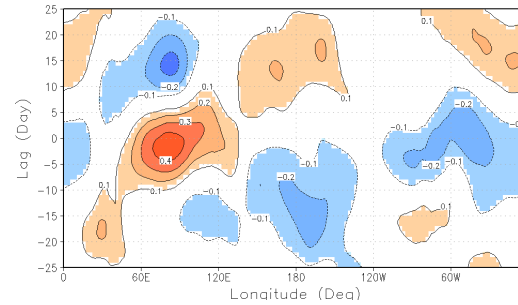
MJO eastward propagation of various simulations (5years)

Lag-longitude diagram of 10S-10N averaged U850 over the Indian Ocean

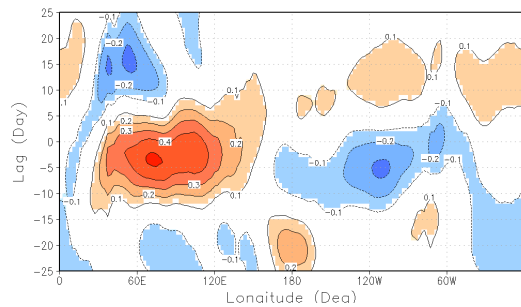
OBS (NCEP)



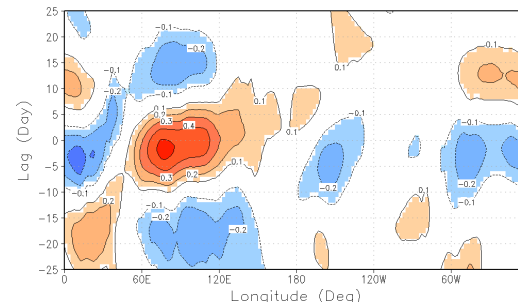
128x65 (280km)



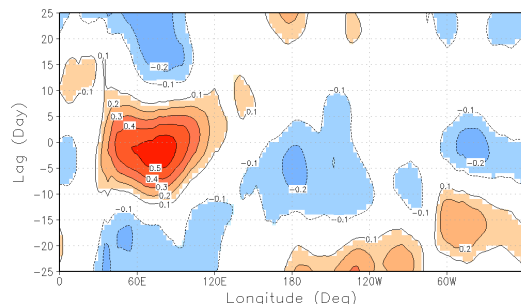
Original GCM



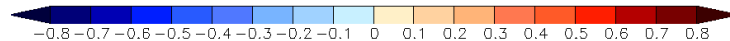
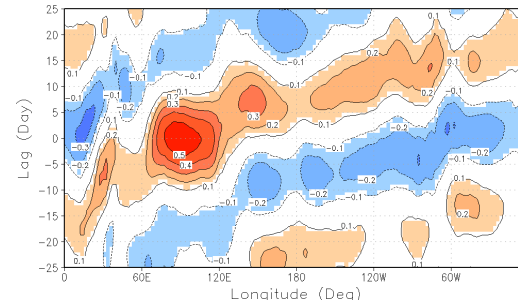
Cumulus base mass flux control



**360x181
(100km)**



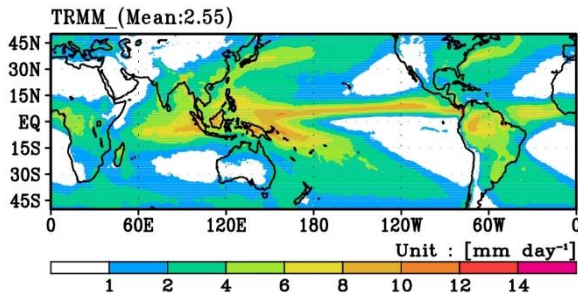
**720x361
(50km)**



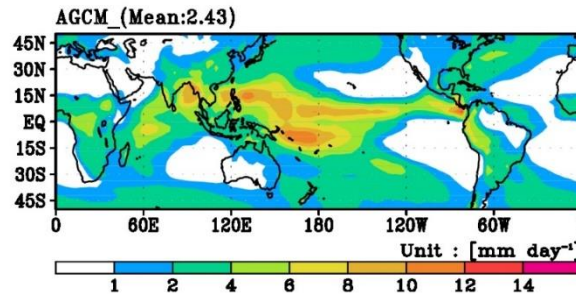
Comparison between MP-AGCM and conventional AGCM

PRCP mean state (5years)

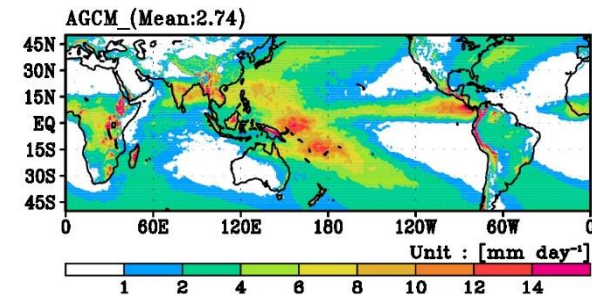
OBS(TRMM)
(10years mean)



conventional AGCM

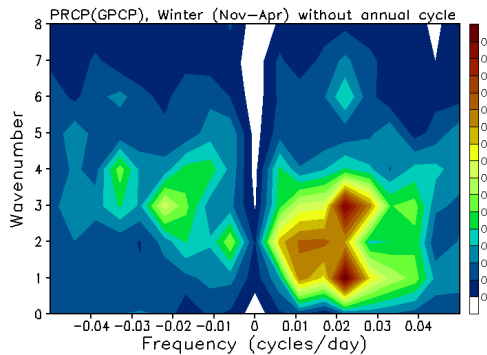


MP-AGCM with SC&DC
(scale-adaptive DC)

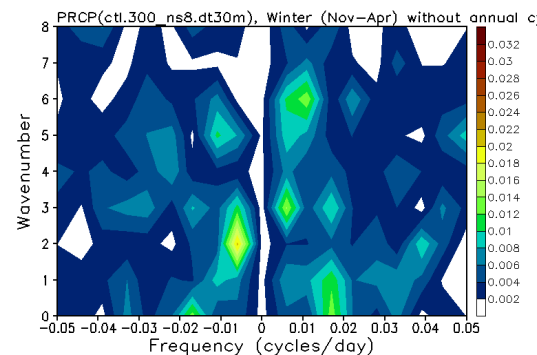


Space-time power spectrum (PRCP, 5years, NOV-APR)

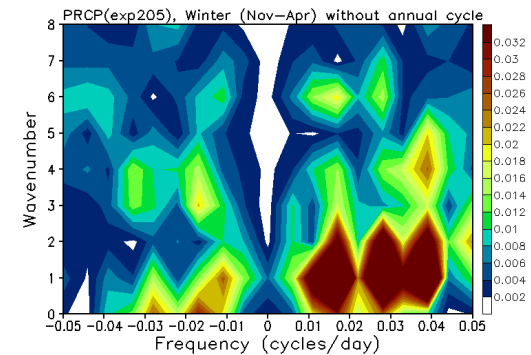
PRCP(GPCP, 14yrs)



conventional AGCM

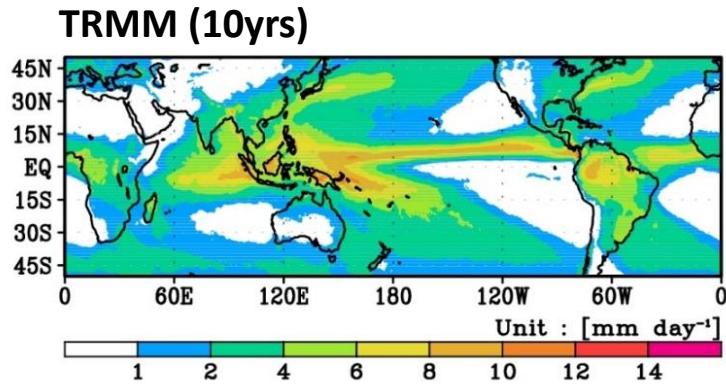


MP-AGCM with SC&DC
(scale-adaptive DC)

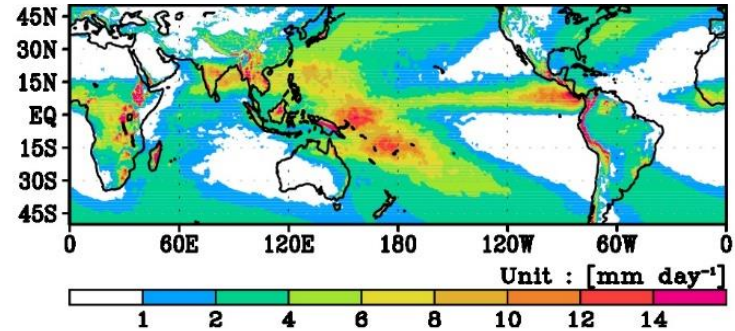


**A coupled GCM with
comprehensive cloud microphysics**

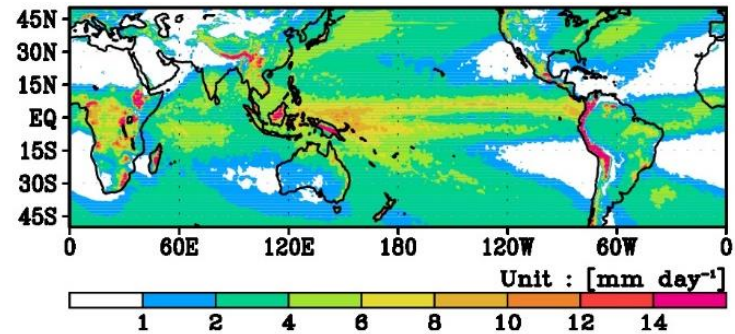
Comparison between MP-AGCM and MP-CGCM (5yrs)



MP-AGCM with SC&DC (scale-adaptive DC)

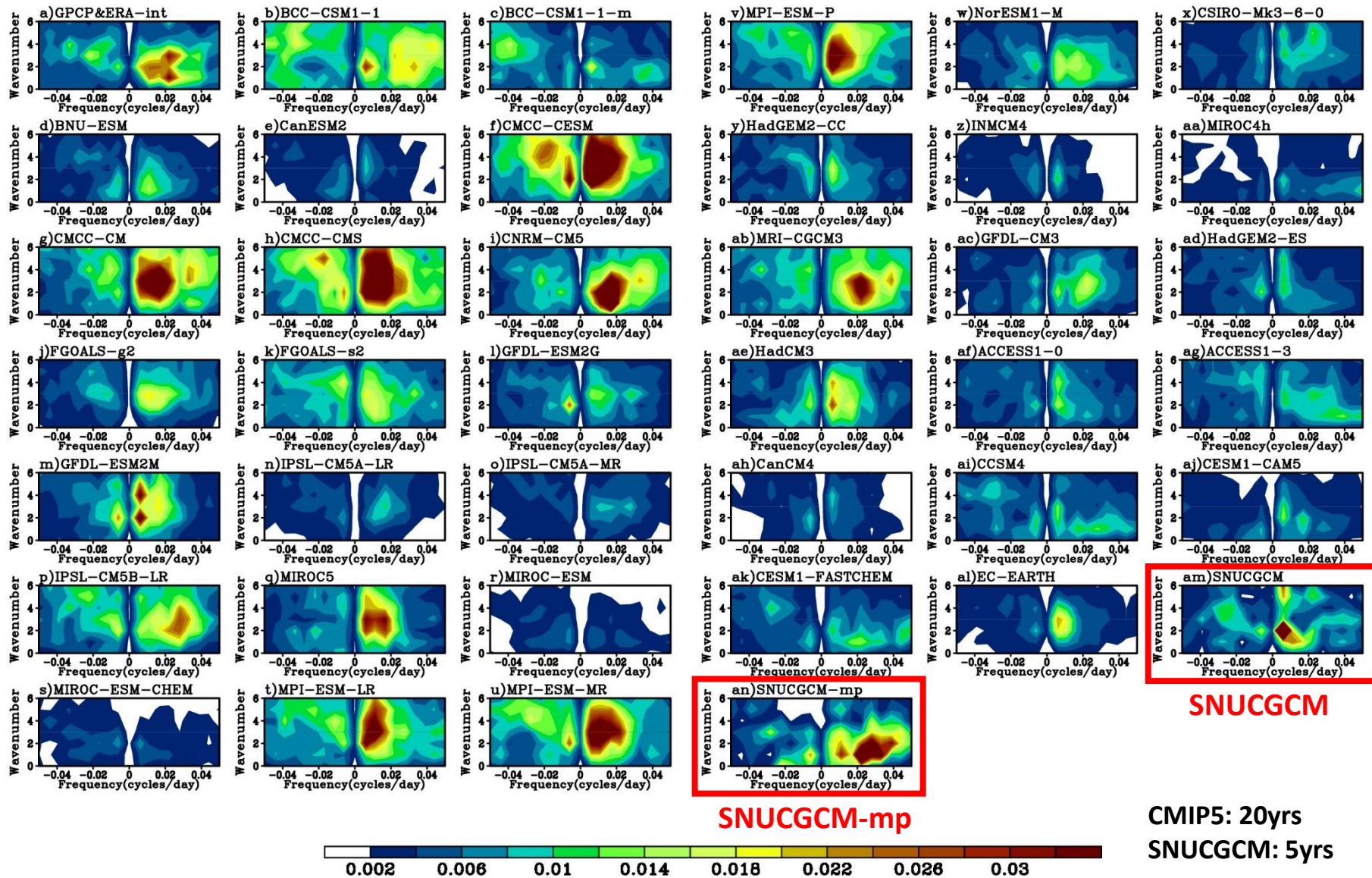


MP-CGCM with SC&DC (scale-adaptive DC)



Comparison MP-CGCM with CMIP5 models

Space-time power spectrum (PRCP, NOV-APR)



Summary

- GCM requires comprehensive cloud microphysics for reasonable simulation of observed precipitation properties (e.g., extreme and MJO)
- GCM with comprehensive cloud microphysics requires appropriate vertical mixing (scale-adaptive cumulus parameterization)
 - Strengthening eastward propagation
 - Improved vertical moisture profile



Thank you!

Kang et al. (2015, *Climate Dynamics*)

“GCMs with Implicit and Explicit cloud-rain processes for simulation of extreme precipitation frequency”

Kang et al. (2016, *Geoscience Letters*)

“A GCM with cloud microphysics and its MJO simulation”

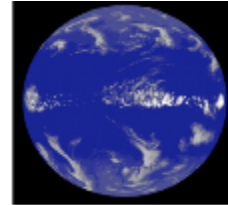
Yang, Young–Min (2014, Ph.D. thesis)

Ahn, Min–Seop (2017, Ph.D. thesis)

Global model with CRM physics

❖ Explicit global CRM

Satoh et al. (2005)



NICAM (Japan)

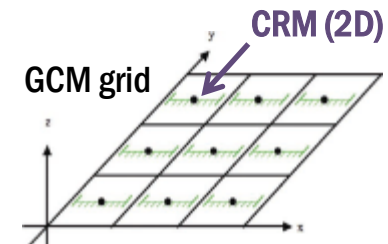
14, 7, 3.5km

❖ Superparameterization

Grabowski and Smolarkiewicz (1999)

Khairoutdinov and Randall (2001)

Tao et al. (2009)



NASA/GSFC, CSU

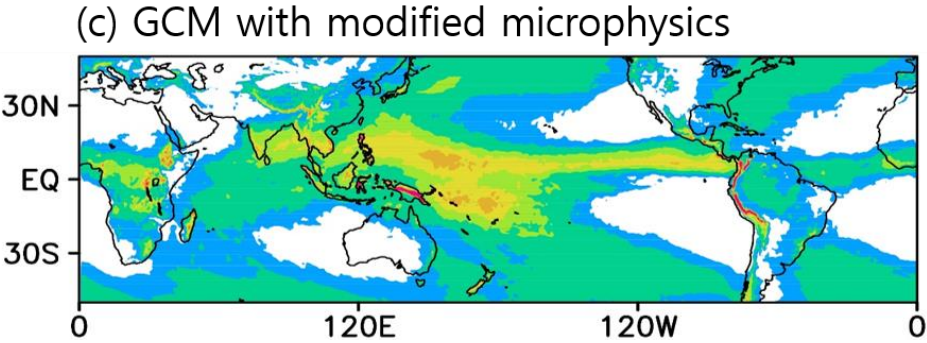
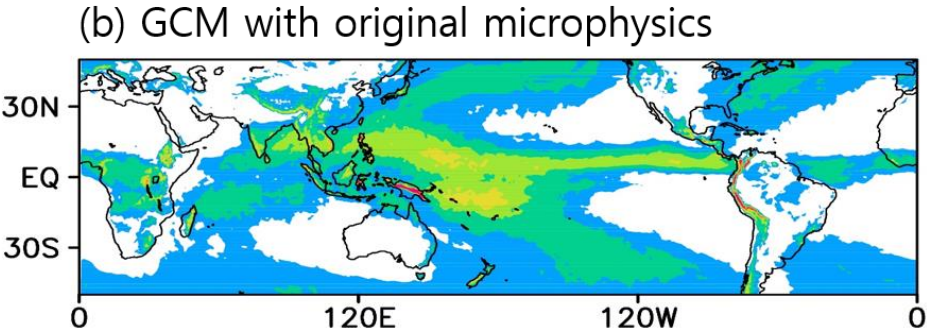
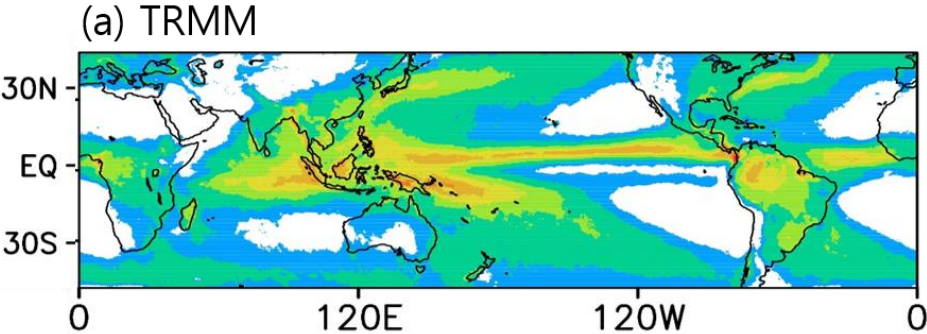
Horizontal Resolution of CRM: **4km**

❖ GCM with CRM cloud microphysics (50 km, CRM & Parameterization combined)

Kang et al. (2015)

Kang et al. (2016)

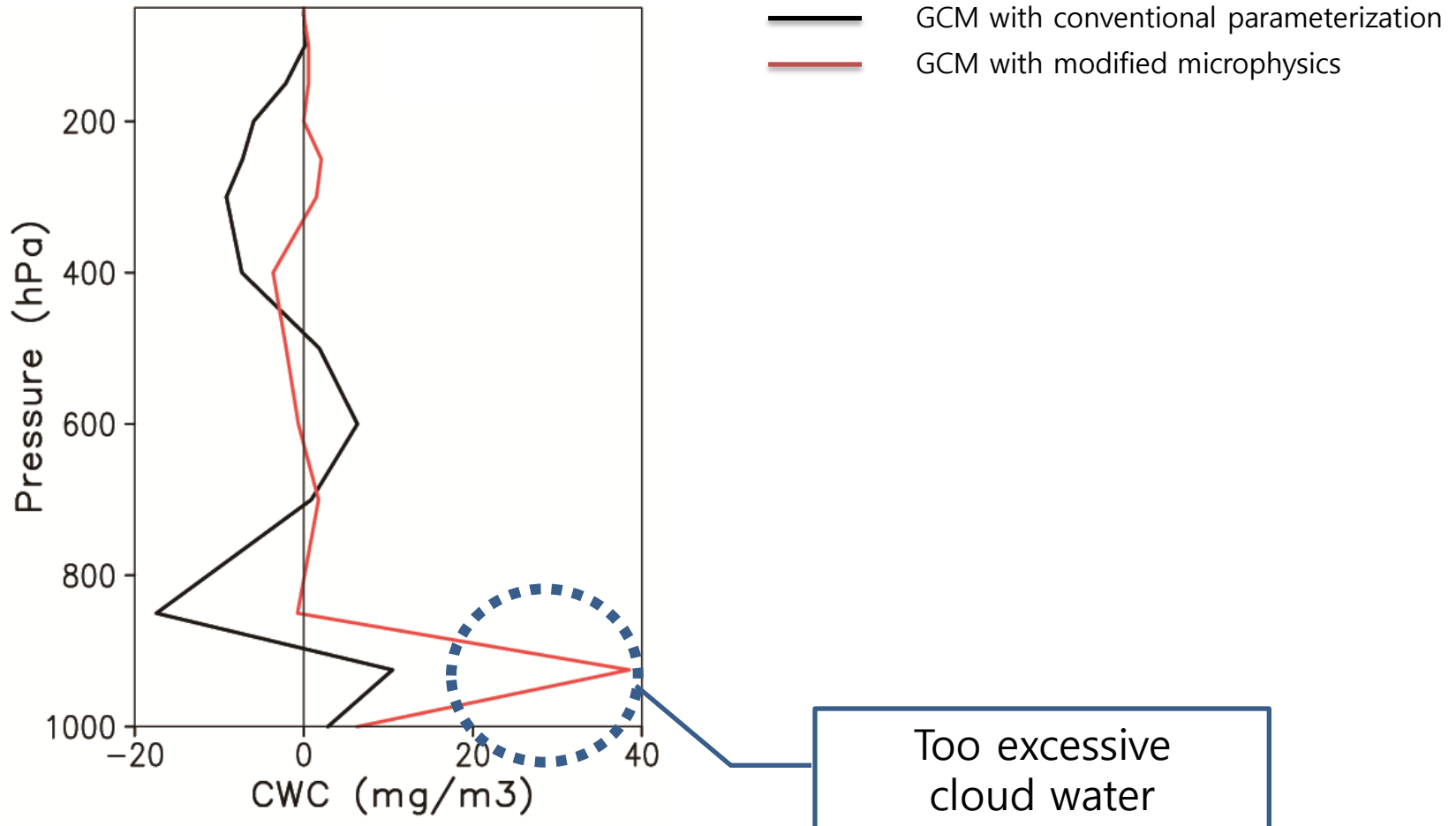
GCM with modified microphysics - Annual mean precipitation (50km)



microphysics modified by
RH criteria 75% and
Terminal velocity 50% reduction



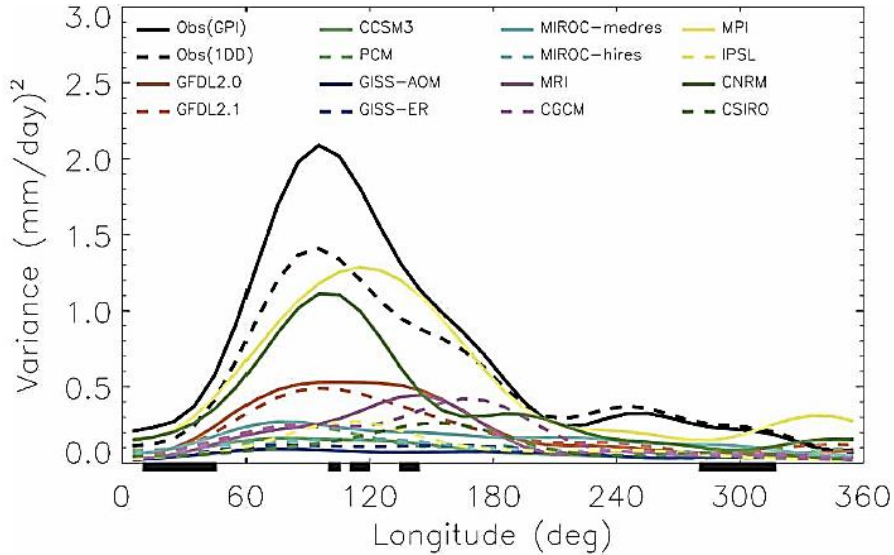
Biases of cloud water (GCM, tropics)



IPCC AR4 and AR5 Models

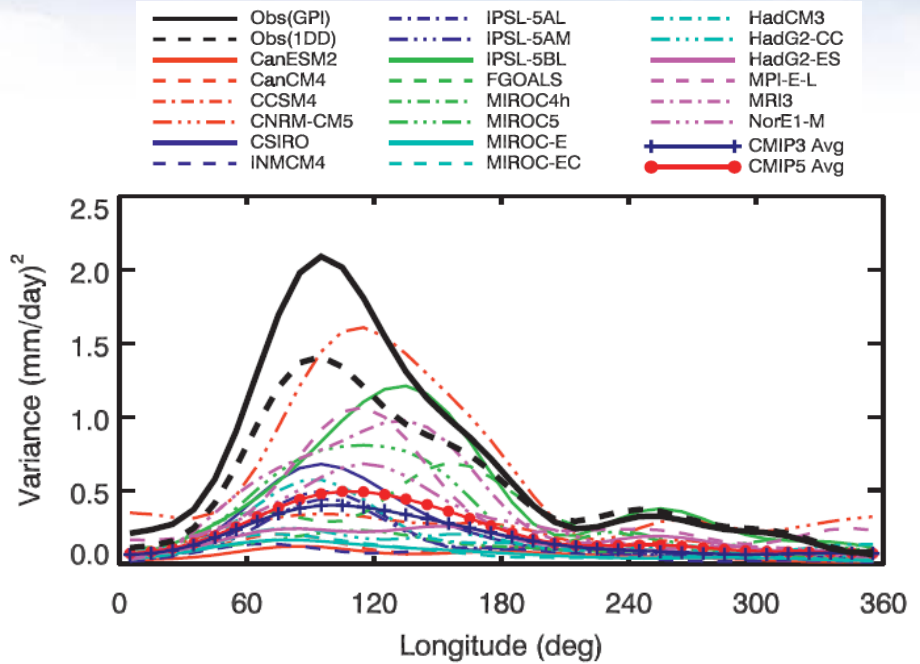
MJO Variance (15°N-15°S)

AR4 models



(Lin et al. 2006)

AR5 models

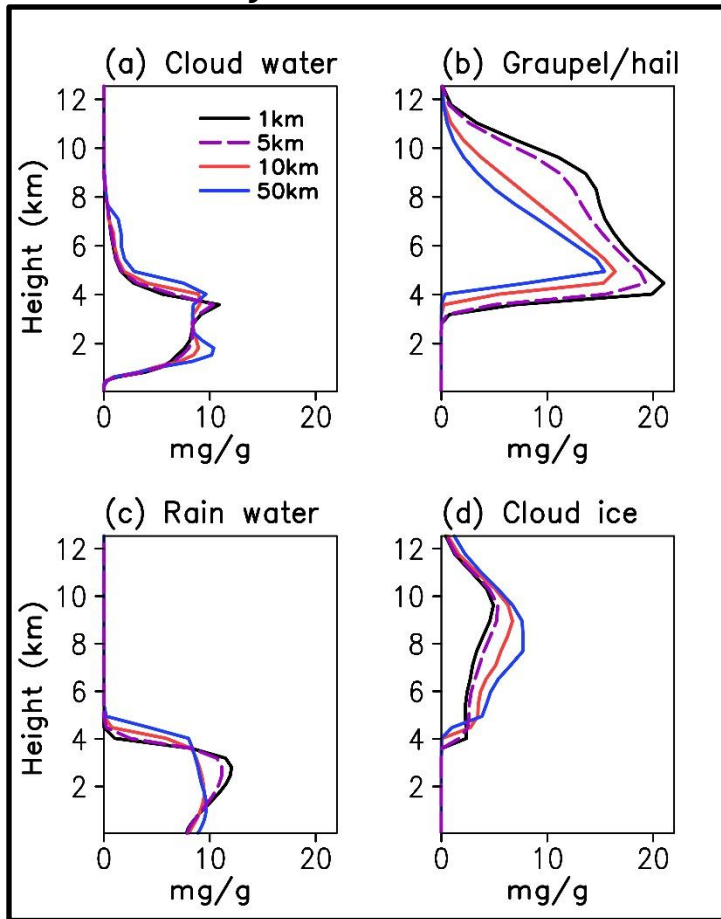


(Hung et al. 2013)

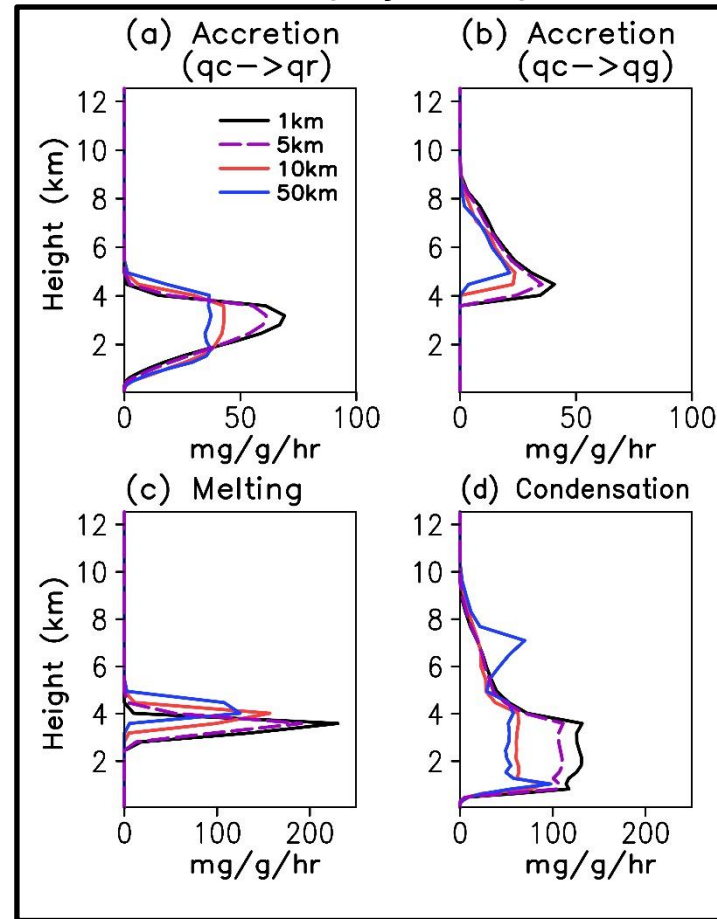
STILL

Resolution dependency of cloud microphysics in GCE

Hydrometeors



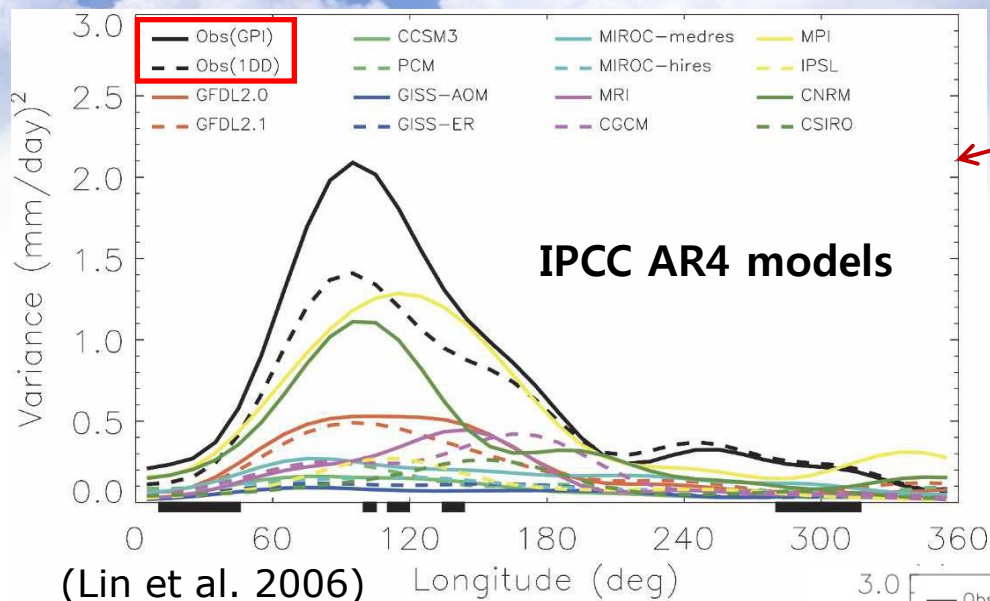
Cloud microphysical process



In the low resolution:

- Less condensation and accretion
- Less rain water & graupel
- More cloud ice

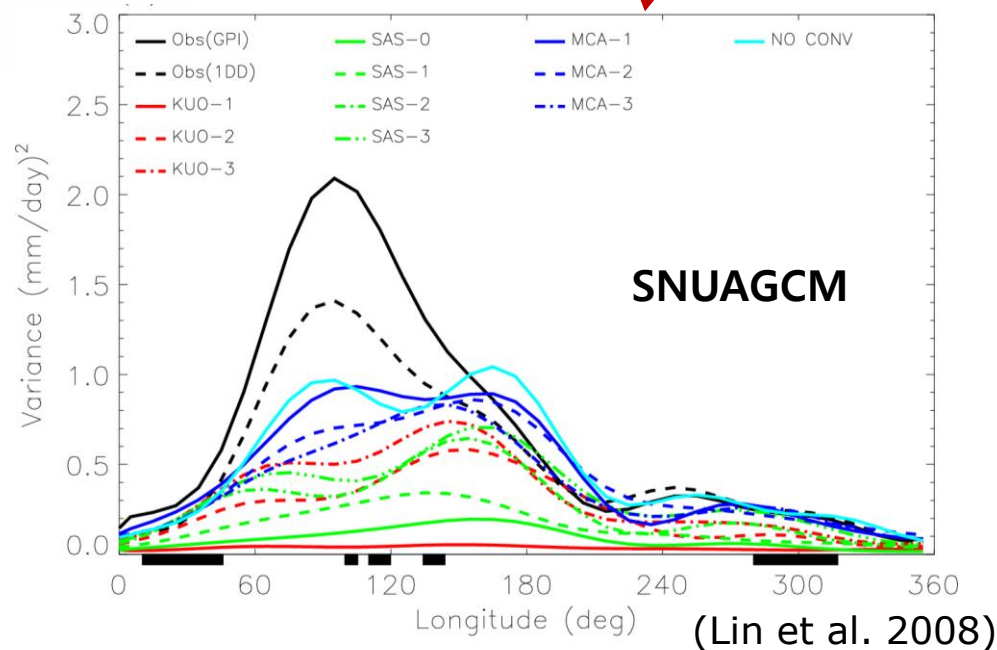
MJO Variance (eastward wavenumber 1-6, periods 30-70days)



different colors
- different climate models

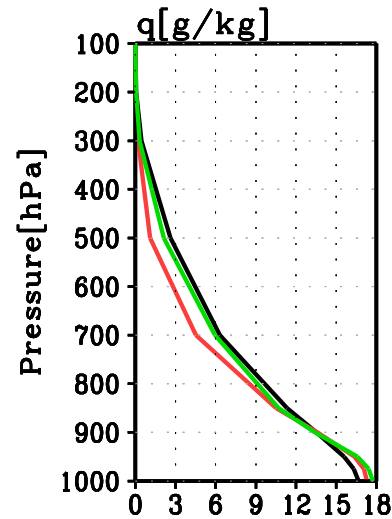
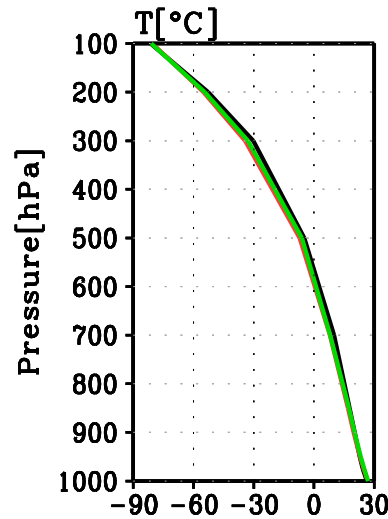
different colors
- different convection schemes

Convection scheme
: represent model diversity in
MJO variability



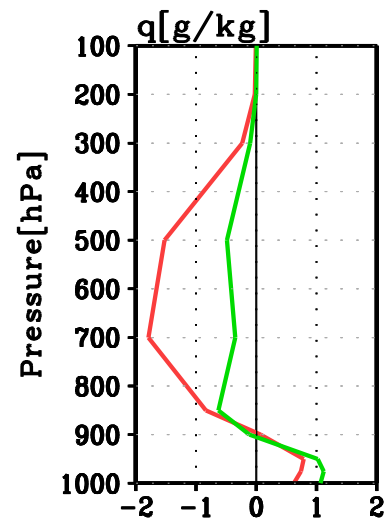
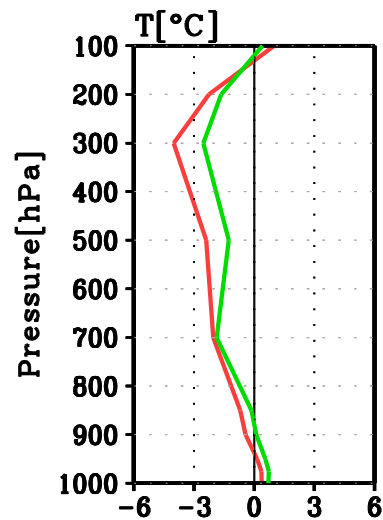
Vertical profile of specific humidity and temperature (5years) (60E-180E, 15S-15N)

Total

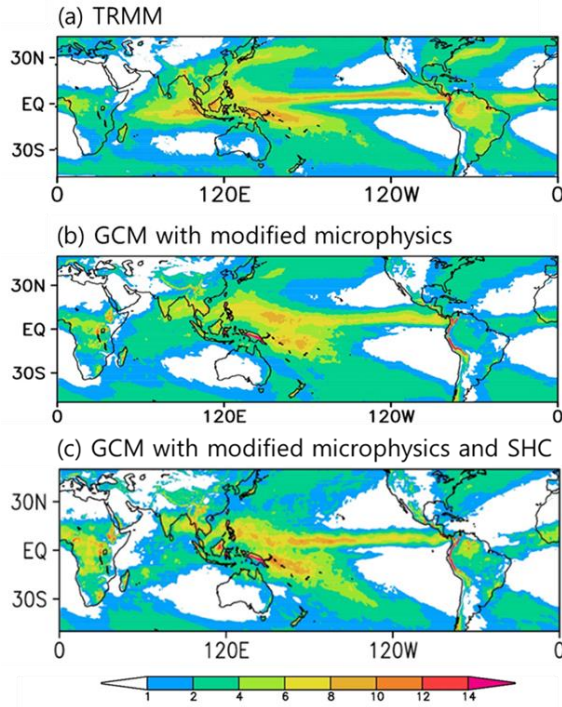


- OBS (ERA-int, 10yrs)
- MP-AGCM with SC
- MP-AGCM with SC&DC (scale-adaptive DC)

Bias
(model-obs)

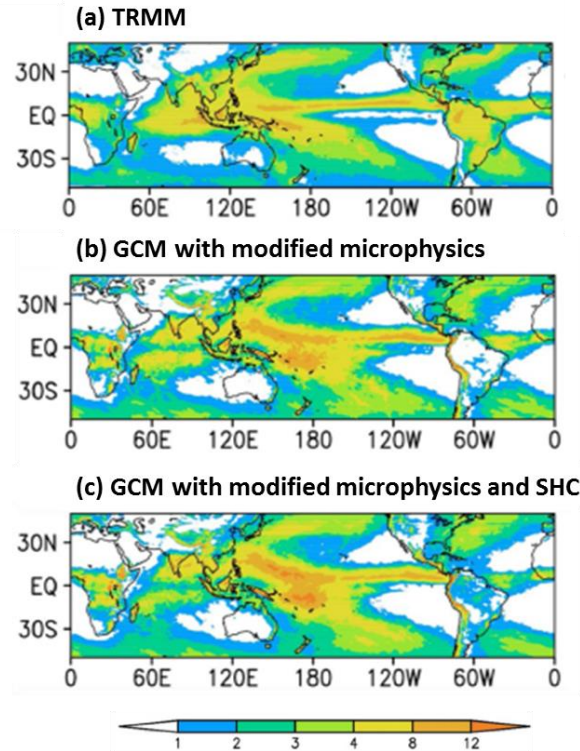


Various results with different treatments of cloud microphysics



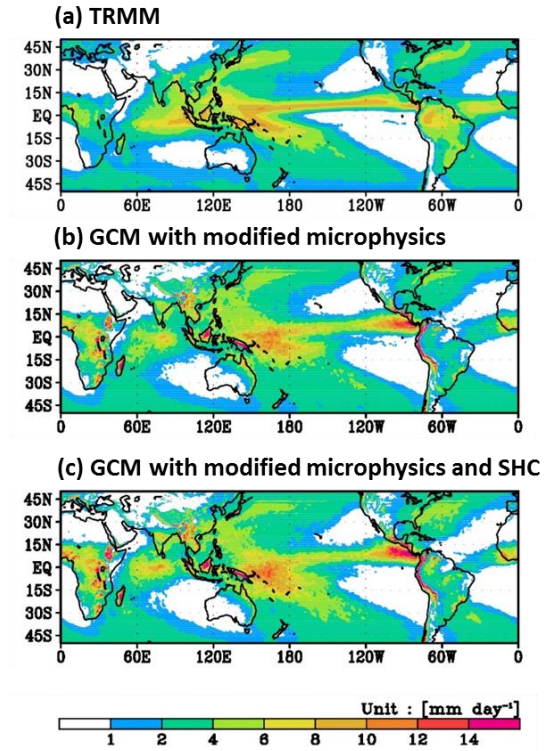
Kang et al. (2015)

RHC: 75%
 TV: 50%
 1800s time step
 300s MP sub-time
 1s tv time step



Kang et al. (2016)

RHC: 95%
 TV: 50%
 900s time step
 900s MP sub-time
 20s tv time step



RHC: 90%
 TV: 50%
 600s time step
 600s MP sub-time
 20s tv time step

Required computing resources for climate simulation

** Computing resources : 1,000 CPU*

IPCC model (200km)	2 hours for 10 years simulation
Explicit global CRM (1km)	50 years for 10 year simulation

GCM with cloud microphysics (50km)	2 weeks for 10 years simulation
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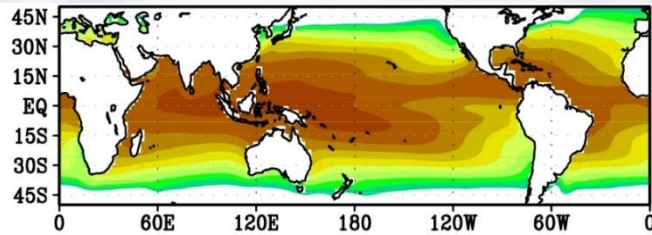


This is suitable for climate researches as a next generation model

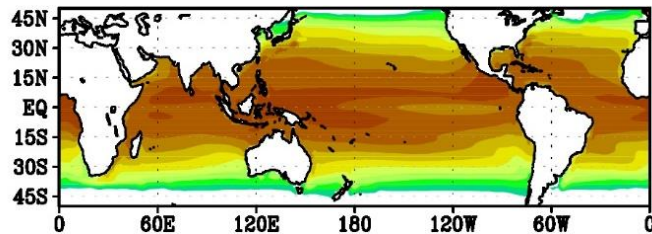
Comparison between MP-CGCM and conventional CGCM

SST

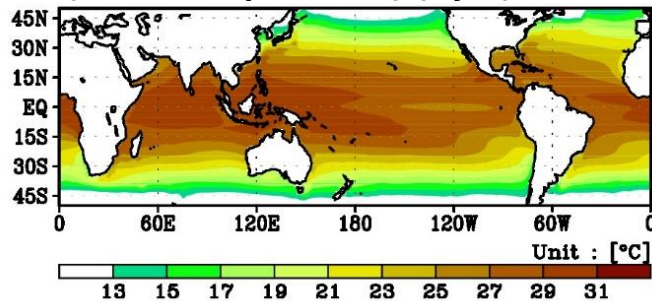
ERSST (10yrs)



conventional CGCM (5yrs)

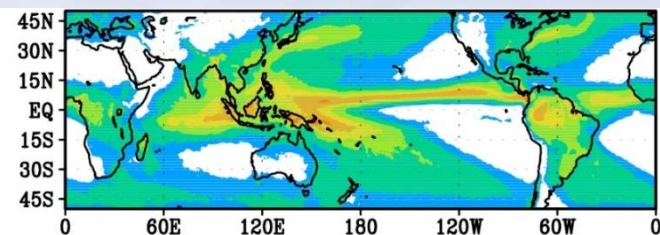


MP-CGCM with SC&DC (scale-adaptive DC) (5yrs)

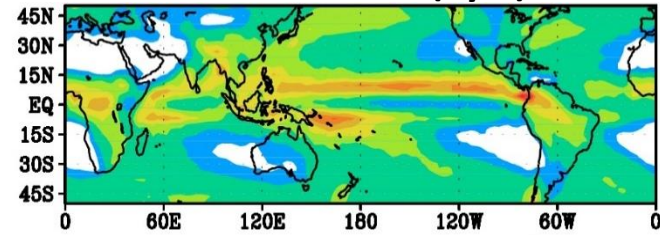


PRCP

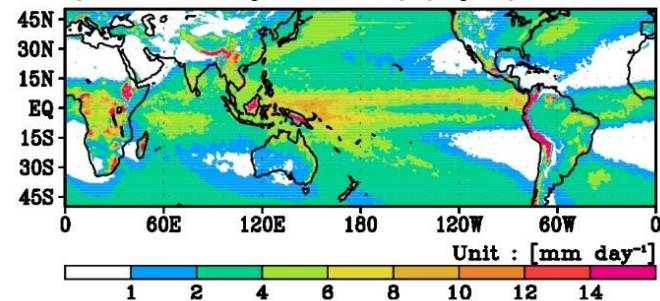
TRMM (10yrs)



conventional CGCM (5yrs)



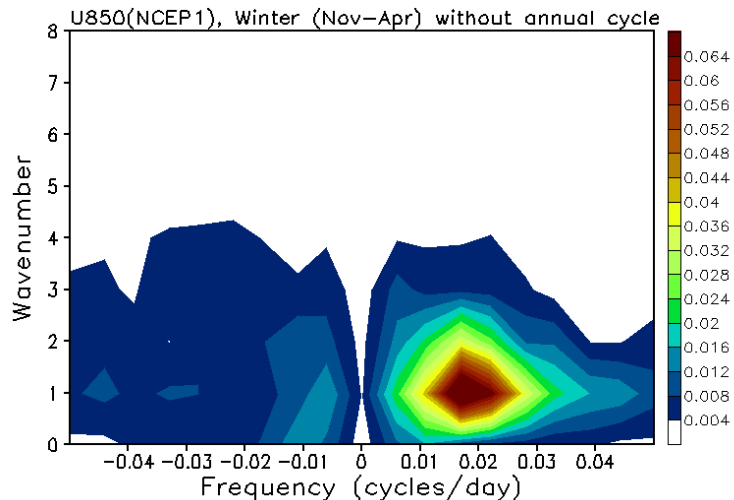
MP-CGCM with SC&DC (scale-adaptive DC) (5yrs)



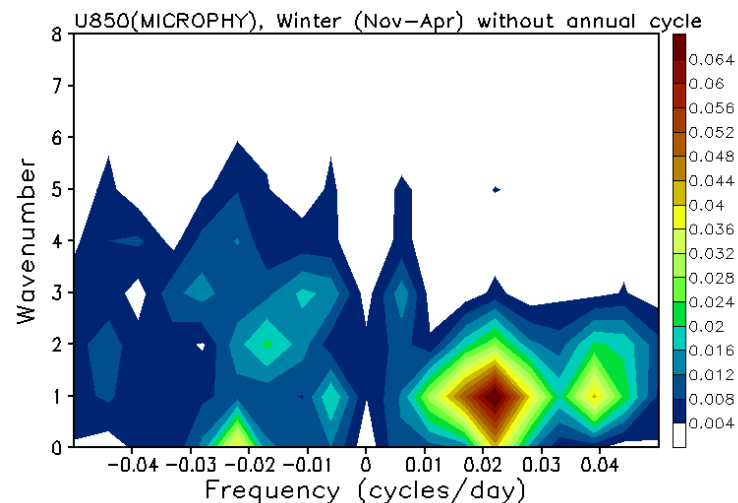
Frequency-wavenumber power spectrum (10S-10N)

U850 Winter(NOV-APR)

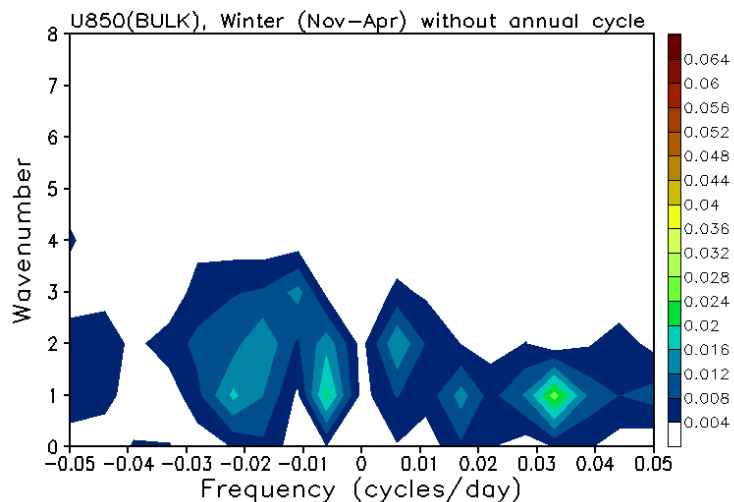
NECP1 (20yrs)



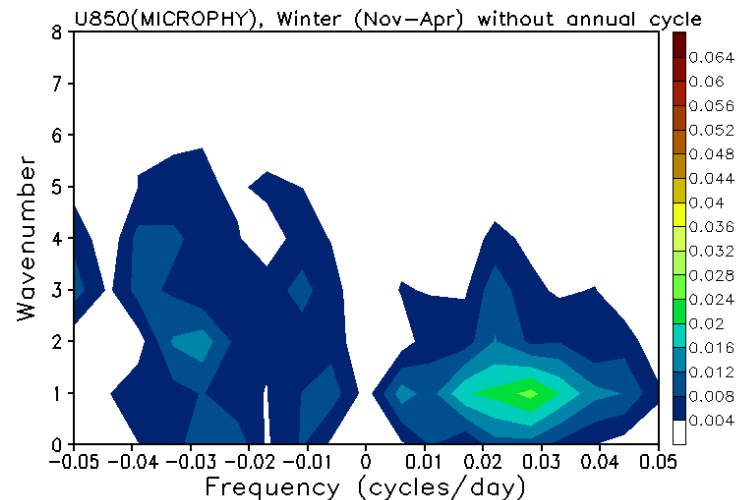
Modified microphysics and shallow convection



Parameterization (BULK scheme)



Modified Microphysics



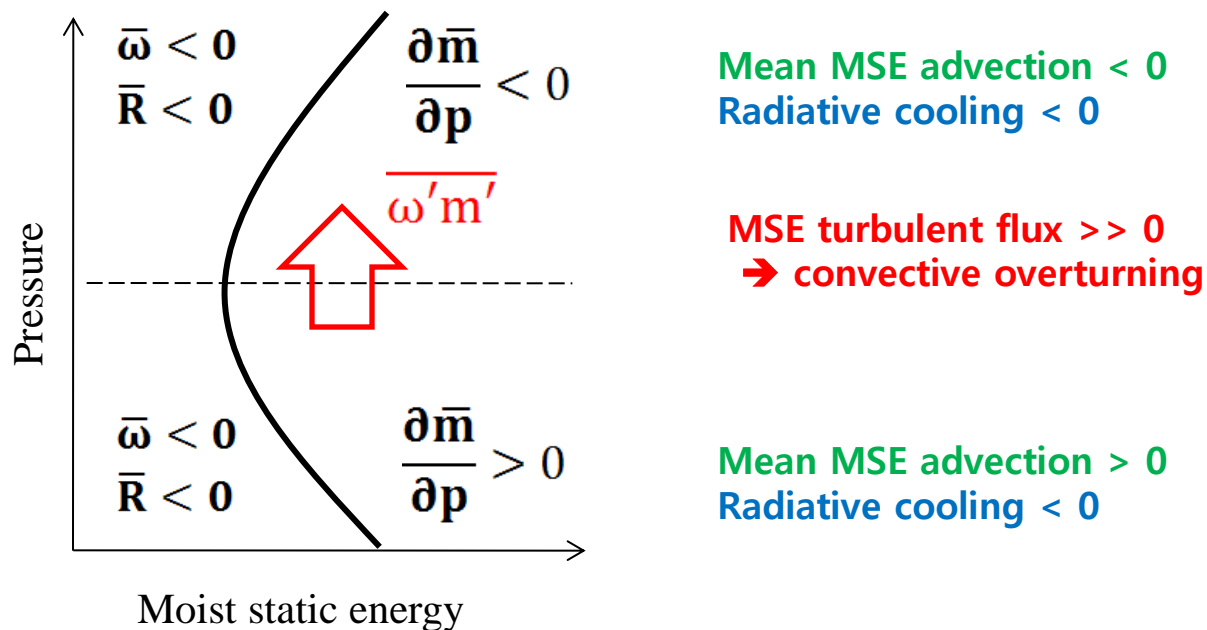
Dynamical consideration on convection

❖ Reynolds averaged m equation (time-mean, averaged over the tropics)

$$0 = -\bar{\omega} \frac{\partial \bar{m}}{\partial p} - \frac{\partial}{\partial p} \overline{\omega' m'} + \bar{R}$$

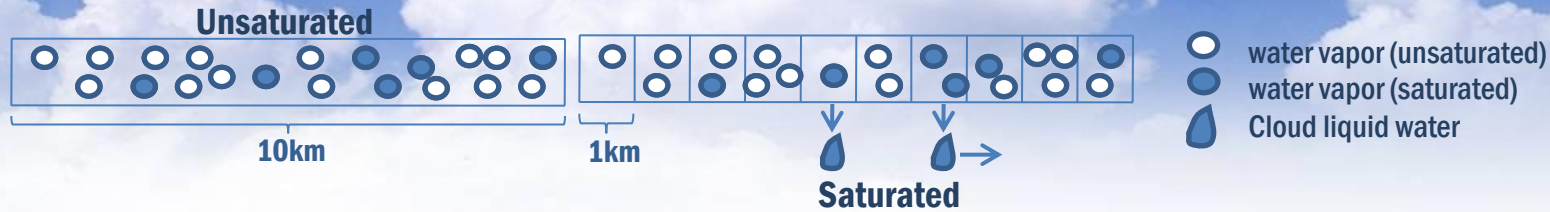
MSE mean advection MSE turbulent flux

Radiative cooling (<0)

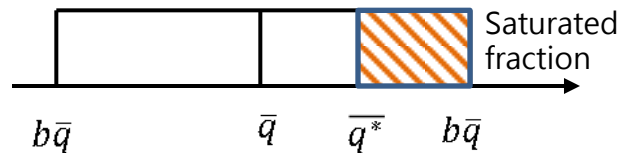


Modification of cloud microphysics

✓ Condensation using sub-grid scale variability



Parameterization of sub-grid scale variability



$$C_{frc} = \frac{(1+b)\bar{q} - \bar{q}^*}{2b\bar{q}} \quad \begin{aligned} &, (1+b)\bar{q} \leq \bar{q}^* \\ &, (1-b)\bar{q} < \bar{q}^* < (1+b)\bar{q} \\ &, (1-b)\bar{q} \geq \bar{q}^* \end{aligned}$$

(Le Treut and Li 1991)

✓ Terminal velocity

- At coarse resolution, accretion processes is weak
- Decrease of terminal velocity
 - ⇒ increase of cloud hydrometer
 - ⇒ increase of accretion

$$U_R = \frac{a\Gamma(4+b)}{6\lambda_R^b} \left(\frac{\rho_0}{\rho}\right)^{1/2}, \quad \lambda_R = \left(\frac{\pi\rho_w n_{oR}}{\rho q_R}\right)^{0.25}$$

- a => 2.14 m/s⁻¹ to 1.8 m s⁻¹

Global distribution of light & heavy precipitation (annual)

(Kang et al. 2015)

TRMM

GCM with conventional parameterization

GCM with microphysics and shallow convection

